Richard F. Daines, M.D. Commissioner

September 7, 2007 DPACS: 07 - 13

433 River Street, Suite 303, Troy, New York 12180-2299

Dear Chief Executive Officer:

As you may be aware, the New York Patient Occurrence Reporting and Tracking System (NYPORTS) is experiencing technical difficulties that may be interfering with your ability to report adverse events to the Department. The purpose of this letter is to clarify the Department's expectations with respect to adverse event reporting and to explain the reporting process that all facilities must follow until the technical issues are resolved.

While the Department is working with our contractors to address these issues, it is expected that facilities continue to report adverse events to the Department within existing timeframes. The Department encourages facilities to attempt submission of its adverse events through the electronic system. However, if attempts are unsuccessful or if a facility is currently unable to gain access to the system, the appropriate documentation must be submitted to the Department's Regional NYPORTS coordinators via fax. A listing of the Department's Regional NYPORTS coordinators has been attached to this letter for your convenience. Additionally, Word versions of both the NYPORTS Short Form and the Root Cause Analysis framework are available. These documents can be modified electronically, printed, and then faxed to the Department to fulfill reporting requirements. Adverse event reports must not be e-mailed to the Department due to concerns about confidentiality and data protection.

It is our understanding that some facilities have been unable to electronically report events to NYPORTS for several weeks, and in some cases, longer. If these events have not been electronically reported and have not been faxed to the Regional NYPORTS coordinators, please ensure that these events are reported as soon as possible.

At this time we are working to identify the source of the problem and make the necessary corrections. Once NYPORTS has been restored, facilities will need to enter these cases into the electronic system. Due to internal data controls, Department staff are unable to enter adverse events for an individual hospital.

We apologize for the inconvenience that these systems issues have caused. As soon as NYPORTS is fully functional, you will be notified by letter, as well as, by an announcement on the NYPORTS Bulletin Board.

Sincerely,

Martin J. Conroy Director Division of Primary & Acute Care Services

NYS Department of Health Regional NYPORTS Coordinators

BUFFALO (Western Region): MARY BETH QUINN-

Phone: (716) 847-4357 Fax: 716-847-3916

INCLUDES COUNTIES: Niagara, Orleans, Genesee, Erie, Wyoming, Allegany,

Chautauqua, Cattauraugus.

ROCHESTER (Western Region): LYNNE DEY-

Phone: (585) 423-8045 Fax: (585-423-8028)

INCLUDES COUNTIES: Monroe, Wayne, Ontario, Livingston, Seneca, Yates,

Schuyler, Steuben, Chemung.

SYRACUSE (Central Field Office): SANDRA ROTUNNO, SHARON AUSTIN, AND

RUSS GROGAN Phone: (315) 477-8561 Fax: (315) 477-8586

INCLUDES COUNTIES: St Lawrence, Jefferson, Lewis, Herkimer, Oswego,

Oneida, Onondaga, Madison, Cayuga, Cortland, Chenango, Tioga,

Broome, Tompkins

CAPITAL DISTRICT (Northeast Region): COLLEEN KEWLEY AND RITA CEDAR

Phone: (518) 408-5329 Fax: (518) 408-5392

INCLUDES COUNTIES: Clinton, Franklin, Essex, Hamilton, Warren, Fulton, Saratoga, Washington, Rensselaer, Albany, Schoharie, Otsego, Delaware, Greene,

Columbia, Schenectady, Montgomery.

<u>HUDSON VALLEY</u> (New York Metropolitan): RHONDA ASKINAZI AND YVONNE HUNTER-TULLOCH

Phone: (914) 654-7011 Fax: (914) 654-7023

INCLUDES COUNTIES: Ulster, Dutchess, Sullivan, Orange, Putnam, Westchester,

Rockland.

NEW YORK CITY (New York Metropolitan): MARIANNE OROS

Phone: (212) 417-5990 Fax: (212) 417 6207

INCLUDES COUNTIES: Bronx, New York, Queens, Kings, Richmond

LONG ISLAND (New York Metropolitan): MARY WALTERS-FRANCO AND TONI SCIARRO- HARDI

Phone: (631) 851-4300 Fax: (631) 851-4327

INCLUDES COUNTIES: Nassau, Suffolk.