



DSRIP INDEPENDENT ASSESSOR

**FAS NO. 15649
FUNDING AVAILABILITY SOLICITATION
BIDDERS CONFERENCE
MAY 27, 2014**

ABOUT THIS BIDDERS CONFERENCE

- Please sign in.
- Questions answered today are not necessarily definitive. Please consult the Q&A section on the FAS website for the definitive answers to questions preliminarily answered at today's bidders conference.

SCHEDULE OF KEY EVENTS

- Written Questions Due - May 30, 2014
- Response to Written Questions on or About - June 6, 2014
- Proposal Due Date - June 23, 2014
- Awards Made - July 3, 2014
- Contract/Work Start Date (Anticipated) - July 15, 2014



PROCUREMENT OVERVIEW

- Department of Health seeks to procure one contractor to serve for an independent assessor for the contract period July 15, 2014 to March 31, 2019.
- The vendor selected as the DSRIP Assessor and any of its affiliates will not be selected as a contractor or subcontractor providing the DSRIP Support Team services.
- Prospective vendors may respond to both procurements.

PROCUREMENT OVERVIEW

DSRIP Assessor tasks include:

- Creating an application and application review tool.
- Creating a process for a transparent and impartial review of all project plans.
- Recommending project approvals to the state using the CMS criteria.
- Assembling an independent review panel chosen by the Department of Health based on standards set forth in the DSRIP STCs.

PROCUREMENT OVERVIEW (CONTINUED)

DSRIP Assessor tasks include:

- Conducting a transparent / impartial mid-point assessment of project performance.
- Assisting with the ongoing monitoring of performance and reporting deliverables for the duration of the DSRIP program.
- Establish Learning Collaboratives
- Reviewing COPA and ACO applications affiliated with PPSs.

CORE ASSESSOR EXPERTISE

- Expertise in Integrated Health Care Delivery (governance, performance measurement, population health management, quality measurement and improvement, hospital service restructuring, strategic contracting)
- Project Management Expertise / Experience in Health Care Transformation
- Understanding of Health Care Delivery in New York State
- Expertise in Payment Reform
- Knowledge of Health Care Workforce Restructuring
- Experience with DSRIP in Other States

ASSESSOR INDEPENDENCE

- Federal waiver authorization requires the state to procure an “independent” DSRIP assessor for the purposes described in this FAS. Accordingly, the assessor must:
 - ✓ Not be an employee or entity of the New York State Department of Health;
 - ✓ Not have any business relationship with any of the prospective applicants and Performing Provider Systems it is assessing; and
 - ✓ Act as an independent, unbiased third party with the capabilities to assess each application, without input from the state, based upon the scoring criteria approved by CMS.

D.3. CONFLICT OF INTEREST

As part of the proposal submission, the bidder (and/or any subcontractor) must disclose any and all relationships that may be construed as actual or potential conflicts of interest. In cases where such relationship(s) and/or interests exist, the bidder must describe how, including the process by which, an actual or potential conflict of interest and/or disclosure of confidential information relating to this contract will be avoided. The bidder's disclosure must include any relationship or interest, financial, beneficial or otherwise, which is in conflict with the proper discharge of their responsibilities under this FAS, including but not limited to any business relationship or financial interest with health care providers that receive DSRIP funding (e.g. members of Performing Provider Systems). If no conflicts exist, indicate that as well.

C.1. DSRIP PROJECT PLAN APPLICATIONS

Responsibilities include:

- Create the DSRIP Project Plan Application / Template (Plans have 14 required items),
- Create the DSRIP Project Plan Review Tool,
- Communicate with DSRIP Project Plan applicants, as needed, to provide feedback and carry out application review duties,
- Conduct Initial Review of all submitted DSRIP Project Plans,
- Convene DSRIP Project Plan Review Panel and manage the Public Hearing

C.1. DSRIP PROJECT PLAN APPLICATIONS

Responsibilities include:

- Submit a recommendation report to DOH accepting or denying applicant based the submitted DSRIP Project Plan,
- Provide application review supporting documentation to the state.
- Submit a recommendation report to DOH to accept or deny applicant based on DSRIP Project Plan,
- Provide application review supporting documentation to the state.

C.2. MID-POINT ASSESSMENT

Responsibilities include:

- Create a DSRIP Mid-point Assessment Review Plan,
- Create a DSRIP Mid-point Assessment Review Tool,
- Conduct Initial Review of all submitted DSRIP Mid-point Reports,
- Conduct a focused review of certain high-risk projects,
- Communicate with DSRIP Performing Provider System, as needed, to carry out mid-point assessment duties,
- DSRIP Mid-point Assessment Report and Recommendations,
- Provide Mid-point Assessment Supporting Documentation to the state.

C.3. REPORTING AND MONITORING

Responsibilities include:

- Develop templates for all DSRIP reports (as needed),
- Develop review tools for all DSRIP reports,
- Review, monitor and provide oversight of all DSRIP Reports for accuracy and compliance,
- Ensure reporting capabilities are compatible with State Data Portal,
- Provide monthly Reporting Review Summaries for each Performing Provider System to DOH,
- Create all Reporting Summaries for CMS,
- Conduct Annual On-Site Visits of all Performing Provider Systems, and
- Develop Summary Reports of Visits for the State.

C.4. AT-RISK PROJECT IDENTIFICATION, GUIDANCE AND MONITORING

Responsibilities include:

- Identifying at-risk DSRIP Projects,
- Creating Additional Benchmarks for At Risk Projects, and
- Monitoring additional benchmarks for At Risk Projects

C.5. TECHNICAL ASSISTANCE FOR DSRIP PROJECTS

Responsibilities include:

- Clarifying and providing feedback on reporting procedures;
- Community needs assessment;
- Logic model to achieve DSRIP project objectives;
- Quality measurement implementation and improvement analytics;
- Targeted assistance needed to drive specific performance improvement in target areas in project plan; and
- Reporting of technical assistance given to projects to DOH.

C.6. DATA REPORTING AND STORAGE SYSTEM

Responsibilities include:

- Creating and Monitoring Centralized Storage and Retrieval of Deliverables.
- Maintenance of Database.
- The assessor shall maintain an information system, likely resident in the State's Medicaid Data Warehouse and associated provider portal, that collects, analyzes, integrates and reports, to carry out its given tasks during the DSRIP demonstration.

C.7. DSRIP LEARNING COLLABORATIVES

Responsibilities include:

- Establish Framework for Learning Collaborative,
- Convene and Manage Learning Collaborative Meetings,
- Create Learning Collaborative Reports, and
- Learning Collaborative Subcontractor Oversight Plan.

C.8. STAFFING PLAN AND CORE MANAGEMENT TEAM

- DSRIP assessor contractor must maintain a core management team in Albany, directly responsible for overseeing the day-to-day project operations.
- The management team must include the individual with principal responsibility of overseeing the day-to-day operations of the contract who will be available to consult with DOH.
- Other core management team members must be knowledgeable, in the aggregate, of all functions required under this FAS.
- The DSRIP assessor contractor must provide the following positions:
”Project Manager, Project Management Assistant, Program Manager (MRT Project Management Office), Program Evaluator Lead, Data Analysts and Reporting Lead, and Program Manager (DSRIP Program Administration).

C.9. ASSESSOR TIMELINE

Major Timeline Dates:

- 7/15/14 Contract Starts
- 10/3/14 Finalized DSRIP Project Plan Application and Review Tool
- 11/30/14 NYS submits DSRIP Quarterly Monitoring Report (**ongoing**)
- 12/7/14 Assessor begins review of DSRIP Project Plan Application
- 2/2/15 COPA Review Due (**ongoing**)
- 1/22/15 Assessment of and recommendations for proposed PPS assessment plans

C.9. ASSESSOR TIMELINE

Major Timeline Dates:

- 2/20/15 Assessor delivers findings of DSRIP Project Plan Review Panel to State
- 2/27/15 State announces final decisions of DSRIP applications
- 7/ 2015 Learning Collaborative DY1 (ongoing)
- 7/15/17 DSRIP Mid-Point Assessment

C.10. CERTIFICATE OF PUBLIC ADVANTAGE (COPA)

Responsible for successfully performing the COPA review according to the criteria provided in this FAS and in New York State statute and regulation:

- Information related to the current organizational structure of each party to a cooperative agreement or planning process;
- A description of the primary service area, including available health care resources, and the community health needs of such area;
- Details of the cooperative agreement or planning process and the proposed collaborative arrangement;

C.10. CERTIFICATE OF PUBLIC ADVANTAGE (COPA)

- The projected impact of the cooperative agreement or planning process on health care utilization, spending and the costs and prices of health care services in the primary service area;
- Benefits of the cooperative agreement or planning process;
- Projected cost savings to the health care system and efficiencies over a five year period and how they will be achieved; and
- Information related to monitoring and supervision.

C.11. ACCOUNTABLE CARE ORGANIZATION (ACO) APPLICATION REVIEWS

Reviewing applications for certificates of authority for ACOs affiliated with Performing Provider Systems:

- applicant's ability to provide, manage, and coordinate health care within the ACO network, the sufficiency of the proposed ACO's plans for quality assurance and improvement, the governance, leadership, and management structure of the proposed ACO,
- the character and competence of the ACO's principals, and the relationship of the proposed ACO to payers.
- Carrying out any other duties related to the development and oversight of ACOs.

BID FORM / COST PROPOSAL

Bids must be submitted on the Attachment H Bid Form for each of the 6 deliverable items indicated in the shaded cells on the bid form - for the application volume thresholds indicated (A.1-25, B.26-50, C. 51-75, D.76 +):

- DSRIP Plan Application Duties
- DSRIP Performing Provider System Mid-Point Assessment Duties
- Learning Collaborative Duties
- DSRIP Database / Centralized Electronic Information Repository
- DSRIP Reporting, Monitoring, Technical Assistance, and At-Risk Projects
- DSRIP COPA Applicant Reviews and ACO Application Reviews

ATTACHMENT H BID FORM

Bid Form		DY 0	DY 1	DY 2	DY 3	DY 4	DY 5	DY 6	
Deliverable		7/15/14 - 03/31/15	4/1/15 - 12/31/15	1/1/16 - 12/31/16	1/1/17 - 12/31/17	1/1/18 - 12/31/18	1/1/19 - 12/31/19	1/1/20 - 2/28/20	Total
1	DSRIP Project Plan Application Duties								
A	Total Cost	1 - 25 Apps							0
B	Total Cost	26 - 50 Apps							0
C	Total Cost	51 - 75 Apps							0
D	Total Cost	76+ Apps							0
2	DSRIP PPS Mid-Point Assessment Duties								
A	Total Cost	1 - 25 PPS							0
B	Total Cost	26 - 50 PPS							0
C	Total Cost	51 - 75 PPS							0
D	Total Cost	76+ PPS							0
3a	Learning Collaborative Duties (DY1-DY3)								
A	Total Cost	1 - 25 PPS							0
B	Total Cost	26 - 50 PPS							0
C	Total Cost	51 - 75 PPS							0
D	Total Cost	76+ PPS							0
3b	Learning Collaborative Duties (DY4 & DY5)								
A	Total Cost	1 - 25 PPS							0
B	Total Cost	26 - 50 PPS							0
C	Total Cost	51 - 75 PPS							0
D	Total Cost	76+ PPS							0
4a	DSRIP Database / Centralized Electronic Information Repository								
A	Total Cost	1 - 25 Apps							0
B	Total Cost	26 - 50 Apps							0
C	Total Cost	51 - 75 Apps							0
D	Total Cost	76+ Apps							0
4b	DSRIP Database / Centralized Electronic Information Repository								
A	Total Cost	1 - 25 PPS							0
B	Total Cost	26 - 50 PPS							0
C	Total Cost	51 - 75 PPS							0
D	Total Cost	76+ PPS							0

D.6. METHOD OF AWARD

- The FAS review team will recommend to the Commissioner the bidder determined to be best qualified perform the FAS project specifications. The evaluation criteria will include:
 - ✓ Work experience related to performing the DSRIP assessor's functions;
 - ✓ Level of staffing resources and their professional expertise;
 - ✓ Technological capacity and innovation;
 - ✓ Reporting and data analytic capacity;

-continued-

D.6. METHOD OF AWARD (CONTINUED)

- ✓ Health care quality assessment and evaluation expertise;
- ✓ Health care economics evaluation expertise;
- ✓ Quality of responses to Technical Evaluation; and
- ✓ Bid price
- ✓ In performing this evaluation, the review team may consider any other relevant information derived from the respondent's current or past employers as well as the Department's previous experience with the respondent's work performance.

E.3. SUBMISSION OF PROPOSALS

- Interested bidders should submit 12 complete sets of both the technical and cost proposal: 2 originals and 10 bound exact copies in hardcopy format and an electronic copy in a standard searchable PDF format on a closed session CD-R (not CD-RW), with copy/read permissions only.
- The hardcopy sets and CD of the technical proposal should be packaged, labeled and sealed separately from the hardcopy sets and CD of the cost proposal. The separate technical and cost packages should be mailed as one parcel.