



retroactively. Currently, policies for Medicaid enrollment and determination for retroactive coverage vary by county although as enrollment moves to the New York State of Health Marketplace the process for enrolling individuals will be standardized.

Step Two

Step Two is to determine if the member is eligible for Health Home services. To be eligible for Health Home services, an individual must have two chronic conditions or one single qualifying condition. New York State has chosen HIV, Serious Mental Illness (SMI), and for children Serious Emotional Disturbance (SED) and Complex Trauma as single qualifying conditions. Having one chronic condition and being at risk of developing another condition **does not** qualify an individual as Health Home eligible in New York State. In summary, New York State's Health Home eligibility definition is as follows:

- The individual **must** be enrolled in Medicaid
- Medicaid members eligible to be enroll in a Health Home **must** have:
 - Two or more chronic conditions
 - OR**
 - One single qualifying chronic condition:
 - ✓ HIV/AIDS or
 - ✓ Serious Mental Illness (SMI) (Adults) or
 - ✓ Serious Emotional Disturbance (SED) or Complex Trauma (Children)

Substance use disorders (SUDS) are considered chronic conditions, but do not by themselves qualify an individual for Health Home services. Individuals with SUDS must have another chronic condition (as described below) to qualify.

Note that the diagnostic eligibility criteria must be verified for both list assigned recipients, MAPP HHTS Referral Portal referrals and for community referrals. The State identifies and list assigns potential members for Health Home services based on diagnosis codes used on claims and encounter data which may not be complete or which may not accurately assess the individual's current condition. Other sources such as medical records or assessments must be used to document diagnostic eligibility. Qualifying chronic conditions are any of those included in the "Major" categories of the 3MTM Clinical Risk Groups (CRGs) as described in the list below.

Major Category: Alcohol and Substance Use Disorder

- Alcohol and Liver Disease
- Chronic Alcohol Abuse
- Cocaine Abuse
- Drug Abuse – Cannabis/NOS/NEC
- Substance Abuse
- Opioid Abuse
- Other Significant Drug Abuse



Major Category: Mental Health

- Bi-Polar Disorder
- Conduct, Impulse Control, and Other Disruptive Behavior Disorders
- Dementing Disease
- Depressive and Other Psychoses
- Eating Disorder
- Major Personality Disorders
- Psychiatric Disease (Except Schizophrenia)
- Schizophrenia

Major Category: Cardiovascular Disease

- Advanced Coronary Artery Disease
- Cerebrovascular Disease
- Congestive Heart Failure
- Hypertension
- Peripheral Vascular Disease

Major Category: Developmental Disability

- Intellectual Disability
- Cerebral Palsy
- Epilepsy
- Neurological Impairment
- Familial Dysautonomia
- Prader-Willi Syndrome
- Autism

Major Category: Metabolic Disease

- Chronic Renal Failure
- Diabetes

Major Category: Respiratory Disease

- Asthma
- Chronic Obstructive Pulmonary Disease

Major Category: Other

Step Three

Step three is to determine appropriateness for Health Home services. Individuals who are Medicaid eligible **and** have active Medicaid **and** meet diagnostic eligibility criteria are **not** necessarily appropriate for Health Home care management. An individual can have two



chronic conditions and be managing their own care effectively. An individual must be assessed and found to have significant behavioral, medical, or social risk factors to deem them appropriate for Health Home services. Appropriateness for Health Home services must be determined for list assigned members, MAPP HHTS Referral Portal referrals, as well as community referrals. While list assigned members have a risk score established by the State (and some community referrals may be on State lists and have a pre-assigned risk score) this score is based on claims and encounter data which may not be current. An assessment must be performed for all presumptively eligible individuals to evaluate whether the person has significant risk factors.

Determinants of medical, behavioral, and/or social risk can include:

- Probable risk for adverse events (e.g., death, disability, inpatient or nursing home admission, mandated preventive services, or out of home placement);
- Lack of or inadequate social/family/housing support, or serious disruptions in family relationships;
- Lack of or inadequate connectivity with healthcare system;
- Non-adherence to treatments or medication(s) or difficulty managing medications;
- Recent release from incarceration, detention, psychiatric hospitalization or placement;
- Deficits in activities of daily living, learning or cognition issues; **OR**
- Is concurrently eligible or enrolled, along with either their child or caregiver, in a Health Home

The November 2012 Medicaid Update Health Home Special Edition requires providers who accept community referrals to establish Medicaid and diagnostic eligibility as well as assess the risk level of clients to determine appropriateness of Health Home services for these referrals. In a Health Home Implementation webinar on March 2014 it was clarified that list assigned members must also be assessed for eligibility and appropriateness in the same way as community referrals and evidence of this assessment will be required to support billing for Health Home services.

Health Homes, Managed Care Organizations, and network care management partners should have policies and procedures that document the responsibilities for establishing and verifying diagnostic eligibility and need criteria, but the Medicaid biller remains ultimately responsible. As described in the New York State Plan Amendment (SPA) recent claims and/or encounter data or other clinical data should be used to verify medical and psychiatric diagnoses. It is expected that documentation of Medicaid eligibility, diagnostic eligibility, and risk assessment be maintained as defined by agreements between the Managed Care Organization, the Health Home, and the network care management agency.

Generally, it is the care management agency that determines eligibility for Health Home services. For managed care members, the Managed Care Plans and other providers often have more detailed information on a member's diagnosis and care utilization.



Managed Care Plans also review list assigned candidates provided by the State and make the final assignment for Health Home services, thus they are well-positioned to determine or assist in determining eligibility.

Note that the Health Home Outreach and Engagement rate can be billed until eligibility criteria have been established and documented, for up to three months. If an individual is determined not to meet diagnostic and risk eligibility criteria, billing for outreach should cease or the member should be dis-enrolled from the Health Home if the member is no longer eligible and the care manager should make a referral to a more appropriate level of care.

| Health Home Chronic Conditions |
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| Acquired Hemiplegia and Diplegia |
| Acquired Paraplegia |
| Acquired Quadriplegia |
| Acute Lymphoid Leukemia w/wo Remission |
| Acute Non-Lymphoid Leukemia w/wo Remission |
| Alcoholic Liver Disease |
| Alcoholic Polyneuropathy |
| Alzheimer's Disease and Other Dementias |
| Angina and Ischemic Heart Disease |
| Anomalies of Kidney or Urinary Tract |
| Apert's Syndrome |
| Aplastic Anemia/Red Blood Cell Aplasia |
| Ascites and Portal Hypertension |
| Asthma |
| Atrial Fibrillation |
| Attention Deficit / Hyperactivity Disorder |
| Benign Prostatic Hyperplasia |
| Bi-Polar Disorder |
| Blind Loop and Short Bowel Syndrome |
| Blindness or Vision Loss |
| Bone Malignancy |
| Bone Transplant Status |
| Brain and Central Nervous System Malignancies |
| Breast Malignancy |
| Burns - Extreme |
| Cardiac Device Status |
| Cardiac Dysrhythmia and Conduction Disorders |
| Cardiomyopathy |
| Cardiovascular Diagnoses requiring ongoing evaluation and treatment |



Health Home Chronic Conditions

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| Cataracts |
| Cerebrovascular Disease w or w/o Infarction or Intracranial Hemorrhage |
| Chromosomal Anomalies |
| Chronic Alcohol Abuse and Dependency |
| Chronic Bronchitis |
| Chronic Disorders of Arteries and Veins |
| Chronic Ear Diagnoses except Hearing Loss |
| Chronic Endocrine, Nutritional, Fluid, Electrolyte and Immune Diagnoses |
| Chronic Eye Diagnoses |
| Chronic Gastrointestinal Diagnoses |
| Chronic Genitourinary Diagnoses |
| Chronic Gynecological Diagnoses |
| Chronic Hearing Loss |
| Chronic Hematological and Immune Diagnoses |
| Chronic Infections Except Tuberculosis |
| Chronic Joint and Musculoskeletal Diagnoses |
| Chronic Lymphoid Leukemia w/wo Remission |
| Chronic Metabolic and Endocrine Diagnoses |
| Chronic Neuromuscular and Other Neurological Diagnoses |
| Chronic Neuromuscular and Other Neurological Diagnoses |
| Chronic Non-Lymphoid Leukemia w/wo Remission |
| Chronic Obstructive Pulmonary Disease and Bronchiectasis |
| Chronic Pain |
| Chronic Pancreatic and/or Liver Disorders (Including Chronic Viral Hepatitis) |
| Chronic Pulmonary Diagnoses |
| Chronic Renal Failure |
| Chronic Skin Ulcer |
| Chronic Stress and Anxiety Diagnoses |
| Chronic Thyroid Disease |
| Chronic Ulcers |
| Cirrhosis of the Liver |
| Cleft Lip and/or Palate |
| Coagulation Disorders |
| Cocaine Abuse |
| Colon Malignancy |
| Complex Cyanotic and Major Cardiac Septal Anomalies |
| Conduct, Impulse Control, and Other Disruptive Behavior Disorders |
| Congestive Heart Failure |
| Connective Tissue Disease and Vasculitis |
| Coronary Atherosclerosis |
| Coronary Graft Atherosclerosis |



| Health Home Chronic Conditions |
|---|
| Crystal Arthropathy |
| Curvature or Anomaly of the Spine |
| Cystic Fibrosis |
| Defibrillator Status |
| Dementing Disease |
| Depression |
| Depressive and Other Psychoses |
| Developmental Language Disorder |
| Developmental Delay NOS/NEC/Mixed |
| Diabetes w/wo Complications |
| Digestive Malignancy |
| Disc Disease and Other Chronic Back Diagnoses w/wo Myelopathy |
| Diverticulitis |
| Drug Abuse Related Diagnoses |
| Ear, Nose, and Throat Malignancies |
| Eating Disorder |
| Endometriosis and Other Significant Chronic Gynecological Diagnoses |
| Enterostomy Status |
| Epilepsy |
| Esophageal Malignancy |
| Extrapyramidal Diagnoses |
| Extreme Prematurity - Birthweight NOS |
| Fitting Artificial Arm or Leg |
| Gait Abnormalities |
| Gallbladder Disease |
| Gastrointestinal Anomalies |
| Gastrostomy Status |
| Genitourinary Malignancy |
| Genitourinary Stoma Status |
| Glaucoma |
| Gynecological Malignancies |
| Hemophilia Factor VIII/IX |
| History of Coronary Artery Bypass Graft |
| History of Hip Fracture Age > 64 Years |
| History of Major Spinal Procedure |
| History of Transient Ischemic Attack |
| HIV Disease |
| Hodgkin's Lymphoma |
| Hydrocephalus, Encephalopathy, and Other Brain Anomalies |
| Hyperlipidemia |
| Hypertension |



| Health Home Chronic Conditions |
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| Hyperthyroid Disease |
| Immune and Leukocyte Disorders |
| Inflammatory Bowel Disease |
| Intestinal Stoma Status |
| Joint Replacement |
| Kaposi's Sarcoma |
| Kidney Malignancy |
| Leg Varicosities with Ulcers or Inflammation |
| Liver Malignancy |
| Lung Malignancy |
| Macular Degeneration |
| Major Anomalies of the Kidney and Urinary Tract |
| Major Congenital Bone, Cartilage, and Muscle Diagnoses |
| Major Congenital Heart Diagnoses Except Valvular |
| Major Liver Disease except Alcoholic |
| Major Organ Transplant Status |
| Major Personality Disorders |
| Major Respiratory Anomalies |
| Malfunction Coronary Bypass Graft |
| Malignancy NOS/NEC |
| Mechanical Complication of Cardiac Devices, Implants and Grafts |
| Melanoma |
| Migraine |
| Multiple Myeloma w/wo Remission |
| Multiple Sclerosis and Other Progressive Neurological Diagnoses |
| Neoplasm of Uncertain Behavior |
| Nephritis |
| Neurodegenerative Diagnoses Except Multiple Sclerosis and Parkinson's |
| Neurofibromatosis |
| Neurogenic Bladder |
| Neurologic Neglect Syndrome |
| Neutropenia and Agranulocytosis |
| Non-Hodgkin's Lymphoma |
| Obesity (BMI at or above 25 for adults and BMI at or above the 85 th percentile for children) |
| Opioid Abuse |
| Osteoarthritis |
| Osteoporosis |
| Other Chronic Ear, Nose, and Throat Diagnoses |
| Other Malignancies |
| Pancreatic Malignancy |

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| Health Home Chronic Conditions |
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| Pelvis, Hip, and Femur Deformities |
| Peripheral Nerve Diagnoses |
| Peripheral Vascular Disease |
| Persistent Vegetative State |
| Phenylketonuria |
| Pituitary and Metabolic Diagnoses |
| Plasma Protein Malignancy |
| Post-Traumatic Stress Disorder |
| Postural and Other Major Spinal Anomalies |
| Prematurity - Birthweight < 1000 Grams |
| Progressive Muscular Dystrophy and Spinal Muscular Atrophy |
| Prostate Disease and Benign Neoplasms - Male |
| Prostate Malignancy |
| Psoriasis |
| Psychiatric Disease (except Schizophrenia) |
| Pulmonary Hypertension |
| Recurrent Urinary Tract Infections |
| Reduction and Other Major Brain Anomalies |
| Rheumatoid Arthritis |
| Schizophrenia |
| Secondary Malignancy |
| Secondary Tuberculosis |
| Sickle Cell Anemia |
| Significant Amputation w/wo Bone Disease |
| Significant Skin and Subcutaneous Tissue Diagnoses |
| Spina Bifida w/wo Hydrocephalus |
| Spinal Stenosis |
| Spondyloarthropathy and Other Inflammatory Arthropathies |
| Stomach Malignancy |
| Tracheostomy Status |
| Valvular Disorders |
| Vasculitis |
| Ventricular Shunt Status |
| Vesicostomy Status |
| Vesicoureteral Reflux |

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