

NEW YORK STATE DEPARTMENT OF HEALTH  
MEDICAID MANDATORY GENERIC CLINICAL EXEMPTION REQUEST

Instructions: Sections 1 and 2 must be completed in full. Complete all applicable parts of Section 3 (page 2).  
Request must be signed and dated to be considered. Return completed request to:

Mandatory Generics  
New York State Department of Health  
Office of Health Insurance Programs  
Corning Tower (OCP 720)  
Albany, NY 12237

SECTION 1. REQUESTOR INFORMATION

REQUESTOR/CONTACT PERSON \_\_\_\_\_  
TITLE \_\_\_\_\_  
COMPANY/ORGANIZATION \_\_\_\_\_  
ADDRESS \_\_\_\_\_  
CITY \_\_\_\_\_ STATE \_\_\_\_\_ ZIP CODE \_\_\_\_\_  
PHONE \_\_\_\_\_ FAX \_\_\_\_\_  
E-MAIL \_\_\_\_\_

CHECK ONE

- Manufacturer/Representative  
 Consumer Advocacy Group  
 Practitioner  
 Other \_\_\_\_\_

For State Use Only

Log# \_\_\_\_\_  
Date Received \_\_\_\_\_  
Recommendation Date \_\_\_\_\_  
 Exemption  No Exemption

SECTION 2. PRODUCT TO BE EXEMPTED (The FDA website ([www.fda.gov](http://www.fda.gov)) can be used as a resource for the following information.)

1. Name of BRAND, multi-source product \_\_\_\_\_
2. Is there currently a GENERIC version with a FDA approved "A" bio-equivalence rating?  
\_\_\_\_ YES \_\_\_\_ NO IF NO, STOP HERE
3. GENERIC product name \_\_\_\_\_
4. FDA approval date for GENERIC mm/dd/yy \_\_\_\_\_
5. Date GENERIC made available in US market mm/dd/yy \_\_\_\_\_
6. Patent expiration date for BRAND mm/dd/yy \_\_\_\_\_
7. FDA "Orange Book" therapeutic equivalence code \_\_\_\_\_
8. FDA approved indications:
  - a. \_\_\_\_\_
  - b. \_\_\_\_\_
  - c. \_\_\_\_\_

**SECTION 3. JUSTIFICATION FOR EXEMPTION**

1. Please provide a copy of any valid, evidence based clinical studies that support the following:

A. BRAND provides a superior outcome/result over available GENERIC agents.

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B. Unacceptable variability exists between lots of GENERIC agents in question as compared to BRAND.

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C. Other clinically significant concerns attributable to GENERIC formulation.

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2. Has FDA been notified of untoward outcomes of the GENERIC, or indications of less than effective treatment outcomes based on use of GENERIC? If so, how (e.g., Medwatch, written correspondence)? (Attach copy if available.)

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3. Describe significant clinical implications for treatment failure that results from using the GENERIC version of this drug. \_\_\_\_\_

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4. Please provide endorsements made by nationally accredited medical boards or academies in the related clinical field that supports the use of BRAND instead of GENERIC. (Attach copy.) \_\_\_\_\_

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5. Describe any adverse medical outcomes anticipated for specific patient populations which may result from the use of a bioequivalent GENERIC agent. \_\_\_\_\_

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6. Other clinical or financial issues that should be considered. \_\_\_\_\_

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I \_\_\_\_\_ (print name) certify that I am authorized to submit this request on behalf of the organization identified On This request.

Signature \_\_\_\_\_

Date \_\_\_\_\_