



## COST PROPOSAL DETAIL

Task/Deliverable (See 3.1 Deliverables)	Due Date	Basis for price quoted	Price
<i>3.1(1) Site-level Compliance</i>			
Develop evaluation tool for provider self-assessments, include strategies for stakeholder involvement, including participant surveys.	60 days from contract start	Fee for development of one tool.	
Develop comprehensive menu of remediation plan/strategies/options for compliance.	60 days from contract start	Fee for development of a single comprehensive menu of strategies/options for compliance.	
Develop an evaluation tool to assess remediation plans.	90 days from contract start	Fee for development of one tool.	
Implement approved remediation plans, including any required re-visits.	Ongoing thru 1/31/19	Fee <u>per site</u> for implementation of remediation strategies resulting in compliance paid upon compliance.	
<i>3.1(2) Heightened Scrutiny</i>			
Provide a report on the review and outcome of completed assessments including identification of all Heightened Scrutiny sites (those presumed institutional).	300 days from contract start	Fee for one Report	
Develop evidence packages, including public input.	Ongoing through 12/1/18	Fee <u>per package</u> developed.	
<i>3.1(3) Monitor/Ongoing Compliance</i>			
Develop plan for ongoing monitoring and compliance with DOH and cross-agency workgroup.	720 days from contract start.	Fee for development of a single comprehensive plan.	
<i>3.1(4) Systemic Compliance</i>			
Review of findings for any settings that are out of compliance due to a state-level barrier (regulation, policy, guidance, certification, etc). Inform DOH of any noncompliant settings uncovered in this review along with the specific state-level barrier to compliance.	330 days from contract start.	Fee for one report of all non-compliant settings with applicable state-level barrier to compliance.	

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Task	Party Responsible		Frequency	Bid Price
	Successful Bidder	Department of Health		
<p>1. Send Self Assessments to Providers for Compliance with Final Rule  <b>Payment Terms: N/A</b></p>		X		
<p>2. Develop a single tool to validate self-assessments and determine compliance with settings requirements.  <b>Payment Terms: Paid upon completion of the tool.</b></p>	X		One-Time, within 60 days of contract start.	\$_____ one-time fee
<p>3. Validate the State’s Assessment of Provider compliance with the final rule through site visits and participant surveys. At least one site visit per setting will be required. <b>Payment Terms: Payment will be made monthly for each setting validated during the prior month. Payment will be made contingent upon contractor’s evidence of this validation, including but not limited to interview transcripts, photographs, site visit findings and a completed validation tool.</b></p>	X		Ongoing	\$_____ per setting validated
<p>4. In consultation with the DOH and cross agency workgroup, create a single, comprehensive reference document with the types of deficiencies that may exist at a setting and, for each, a menu of remediation strategies that could be used to make the setting compliant with the Federal Rule.  <b>Payment Terms: Paid upon completion of this reference document.</b></p>	X		One Time within 60 days of contract start.	\$_____ one-time fee
<p>5. Report to DOH on the settings that:  a. Fail to meet all compliance criteria but could with some corrective action.  b. Meet all compliance criteria  c. Will not meet characteristics, incompatible with HCBS  <b>Payment Terms: No discrete payment will be made for this deliverable. It is expected the contractor will be able to produce this report from the database.</b></p>	X		Monthly	
<p>6. For settings that require corrective action to comply with Rule, the contractor will notice both the setting operator and the DOH. The contractor and setting operator will develop a site-based transition plan with timelines and deliverables to move toward</p>	X		Years 2-3	

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<p>compliance before August 2018. Once this plan is approved by the DOH or oversight agency/office (i.e. OPWDD) the DOH will issue a Statement of Deficiency to non-compliant providers and request reports to be submitted to the DOH and contractor detailing progress on deliverables with defined deadlines related to proposed corrective action. Contractor may have to visit site to provide Technical Assistance and monitor progress.</p> <p><b>Payment Terms: Payment will be made monthly per setting contingent upon submission of a final, satisfactory, site-based transition plan.</b></p>				\$_____ per site specific transition plan created
<p>7. For settings that will not meet characteristics or attempt compliance, i.e. settings that are unable or unwilling to comply, notification will be made to them of their options including forfeiting federal match, being removed from Medicaid provider list, moving individuals in receipt of Medicaid funded HCBS to compliant settings, or closure.</p> <p><b>Payment Terms: N/A</b></p>		X		
<p>8. Maintain in the Access database provided by DOH, a record of all data relating to validation activities, including communications sent to each setting by DOH and responses rec'd from all settings.</p> <p><b>Payment Terms: No discrete payment for this deliverable.</b></p>	X		Ongoing	
<p>9. Follow-up with providers that are unresponsive to the initial statement of deficiency 45 days from the date deficiency notice was sent.</p> <p><b>Payment Terms: No discrete payment for this deliverable.</b></p>	X		Years 2-3	
<p>10. As needed, schedule site visits with the settings in order to confirm the implementation of corrective action plans using the implementation schedule provided by the setting.</p> <p><b>Payment Terms: No discrete payment for this deliverable.</b></p>	X		Years 2-3	

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	Successful Bidder	Department of Health		
<p>11. Document the settings compliance using photographs, interview transcripts and evaluation tool and prepare a final compliance report for each setting that addresses all deficiencies noted in original report.  <b>Payment Terms: Payment will be made monthly for each setting that achieves full compliance. Payment will be made upon the contractor providing satisfactory evidence of setting compliance, including site visit documentation if required.</b></p>	X		Years 2-3	\$_____per setting that achieves full compliance that was originally deemed non-compliant in step 6
<p>12. Document deficiencies that persist at any setting that does not meet compliance requirements within the specified time frames in the transition plan.  <b>Payment Terms: Payment will be made for qualitative report describing efforts made by contractor to bring setting into compliance.</b></p>	X		As required	\$_____per setting that fails to meet compliance that was originally deemed non-compliant in step 6
<p>13. Validate the State’s Identification of Sites that require Heightened Scrutiny by the Secretary of Health and Human Services/CMS.  <b>Payment Terms: No discrete payment for this deliverable.</b></p>	X		Years 1-3	
<p>14. Develop Packages of Evidence illustrating these sites are not institutional in nature but appropriate home and community based settings.  <b>Payment Terms: Payment will be made per package developed.</b></p>	X		Years 1-3	\$_____per evidence package
<p>15. Submit evidence packages to CMS for heightened scrutiny sites on a rolling or ongoing basis.  <b>Payment Terms: N/A</b></p>		X		
<p>16. Develop plan for ongoing monitoring and compliance with DOH and cross agency workgroup.  <b>Payment Terms: Paid upon completion of this plan.</b></p>	X		Year 3	\$_____one-time fee