New York State Medicaid Home and Community-Based Services

**Heightened Scrutiny Evidence Packet**

**Setting Information**

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| **Provider Name**  Madison York Home for Adults | | |
| **Location of Setting**  61-80 Woodhaven Boulevard Rego Park, New York 11374 **Note: for Prong 3 settings only include the name of the city and not the full address.** | **Type of Setting**  Adult Home/Assisted Living Program | **Medicaid Home and Community-Based Services Being Provided at the Setting**  Personal care and other HCBS are provided as needed. |

**Heightened Scrutiny Prong**

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| **Prong 1: Setting is in a publicly or privately operated facility that provides inpatient institutional treatment.**  **Prong 2: Setting is in a building on the grounds of, or adjacent to, a public institution.**  **Prong 3: Setting has the effect of isolating individuals from the broader community.** |

**Qualification for Prong**

Describe briefly in the box below how the setting meets the prong indicated (what facility is it in or located on the grounds of, or adjacent to, etc.?)

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| The facility is located adjacent to Dry Harbor Nursing Home and Rehabilitation Center. |

**Provider Compliance Summary**

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| **Requirements for All HCBS Settings** | | |
| **Compliant?** | **Federal Requirement** | **Summary** |
| *42 CFR 441.301(c)(4)(i)*  Yes  Partial  No | Settings are integrated and support full access of individuals receiving Medicaid HCBS to the greater community, including opportunities to seek employment and work in competitive integrated settings, engage in community life, control personal resources, and receive services in the community, to the same degree of access as individuals not receiving Medicaid HCBS. | Madison York HFA is located among other residences and commercial businesses in Queens County. Residents have access to the greater community. Residents can seek employment or volunteer opportunities if they choose. Residents have the opportunity, as they are interested and able, to participate in outings or run errands with their housemates/roommates, family, friends, or even independently.  Individuals may access the community through events planned by facility staff or independent of the facility. Residents can come and go as they choose and are able. Residents have the opportunity and control of their resources needed for outings. Residents have control of their personal resources unless they have a designated payee/ authorized by the facility to manage their finances. Residents can choose their medical providers. The facility will assist the residents to apply for Medicaid-funded transportation and Access-a-ride services through New York City Transit. |
| *42 CFR 441.301(c)(4)(ii)*  Yes  Partial  No | Settings are selected by the individual from among setting options including non-disability specific settings and an option for a private unit in a residential setting. The setting options are identified and documented in the person-centered service plan and are based on the individual’s needs, preferences, and for residential settings, resources available for room and board. | Settings are selected by each resident. This is a voluntary participation, non-disability specific setting. Individuals receiving services are medically eligible for placement in a nursing home but based on an individuals’ score on a uniform assessment tool (i.e., UAS-NY), it has been determined the individuals’ specific needs can be met in the Madison York HFA with home care services, case management, and other supports. The facility offers both private and semi-private rooms. Residents are informed of their room options at pre-admission. Residents who are in semi-private room have choice of roommate based on availability and compatibility. Facility staff have deployed person-centered policies to ensure application of person-centered characteristics within the person-centered service plan |
| *42 CFR 441.301(c)(4)(iii)*  Yes  Partial  No | Settings ensure an individual’s rights of privacy, dignity, respect, and freedom from coercion and restraint. | Madison York HFA ensures that each residents’ rights are protected. It is each resident’s right to confidential treatment of personal and health records to have courteous, fair and respectful care and treatment at all times, and to be free from coercion and restraint.  Privacy is available in residents’ private room, as applicable, or in any of the sitting areas identified throughout. Each resident has the option to procure in-room telephone, their needs and preferences are respected, and each is provided lockable storage in his or her room. Residents are provided a copy of their rights upon admission. Residents are orientated to the Grievance Form and its location and are free to express complaints without fear of reprisal. The facility does not use restraints. |
| *42 CFR 441.301(c)(4)(iv)*  Yes  Partial  No | Settings optimize, but do not regiment, individual initiative, autonomy, and independence in making life choices, including but not limited to, daily activities, physical environment, and with whom to interact. | Each resident controls his or her own schedule and activities. Based on observation, Madison York HFA does not have any barriers preventing individuals’ movement. As observed, Madison York HFA offers many gathering spaces. Madison York HFA develops an activity schedule with tasks and activities appropriate to individual attributes. Residents may participate in facility-scheduled activities and events or use their time as they choose. Participation in activities is encouraged, but not required. Residents can come and go as they choose. Residents are able to make personal decisions regarding all aspects of their lives (i.e., daily schedule, who they spend time with, where they go, what they eat, etc.) and staff are supportive of their decisions. |
| *42 CFR 441.301(c)(4)(v)*  Yes  Partial  No | Settings facilitate individual choice regarding services and supports, and who provides them. | Madison York HFA may only care for a person who voluntarily chooses to participate in the program after having been provided with sufficient information to make an informed choice. Residents can tour the facility. Upon interview, it was confirmed that residents are able to choose who provides the services they voluntarily receive. The facility ensures individuals are supported in developing care plans that support his/her needs and preferences**.** |
| **Additional Requirements for Provider-Owned or Controlled Settings** | | |
| **Compliant?** | **Federal Requirement** | **Summary** |
| ***Standards for Provider-Owned and Controlled Residential and Non-Residential Settings*** | | |
| *42 CFR 441.301(c)(4)(vi)(C)*  Yes  Partial  No | Individuals have the freedom and support to control their schedules and activities; and have access to food any time. | Residents have the freedom and supports to control their schedules and activities. Per staff, mealtimes are designated, however, the residents can eat their meal when they choose. Residents are assigned specific seats for dining; however, they can always change seats if needed. Residents have access to food throughout the day and may also choose to keep food in their rooms. Residents may choose when to participate in activities and when to eat their meals. The facility has scheduled activities that residents may choose to participate in or not. Residents are able to determine how they spend their time (participate in planned activities or not). Residents have the freedom and support to control their daily schedules. |
| *42 CFR 441.301(c)(4)(vi)(D)*  Yes  Partial  No | Individuals are able to have visitors of their choosing at any time. | Madison York HFA welcomes visitors at any time. For safety reasons, visitors are required to sign in/out. Preferred visiting hours are 9am to 8pm daily. There are several private spaces where residents can meet visitors,including in their private rooms. Outside of the preferred visiting hours, all guests are welcome in resident common areas only. Accommodations are provided for residents to have a private place to meet their visitors. Guests must be buzzed in by the front desk staff; the front desk is staffed 24 hours a day. Residents are able to have visitors at any time of their choosing and can decide who they would like to visit with. |
| *42 CFR 441.301(c)(4)(vi)(E)*  Yes  Partial  No | The setting is physically accessible to the individual.  (Not modifiable) | As observed, the facility is physically accessible; individuals have access to all common areas. The facility is free of inhibiting barriers. Assistive devices are available for those in need. Residents may come and go as they choose. Entry or egress is not inhibited from the facility. |
| *42 CFR 441.301(c)(4)(vi)(F)*  Yes  Partial  No | Any modifications of the additional conditions under 441.301(c)(4)(vi)(A) through (D) for provider-owned and controlled settings must be supported by a specific assessed need and justified in the person-centered service plan. | Care plan changes are assessed, discussed, and documented accordingly. Remediation is in progress to procure an independent assessor/case management agency to determine the individual’s need for HCBS and develop a person-centered service plan. This is to ensure conflict-free case management. We expect remediation of this to be completed by July 1, 2024. |
| ***Standards for Provider-Owned and Controlled Residential Settings Only*** | | |
| *42 CFR 441.301(c)(4)(vi)(A)*  Yes  Partial  No  Not Applicable | The unit or dwelling is a specific physical place that is owned, rented, or occupied under a legally enforceable agreement by the individual receiving services, and the individual has the same responsibilities and protections from eviction as all tenants under landlord/tenant law of the State, county, city or other designated entity. In settings  where tenant laws do not apply, a lease, residency agreement or other written agreement is in place providing protections to address eviction processes and appeals comparable to those provided under the jurisdiction’s landlord/tenant law. | Upon admission, each resident is provided with, and signs, a written admission agreement. The admission agreement includes required elements to protect the resident’s rights and specifies the services the resident can expect of Madison York HFA, as well as specifies conditions under which the admission agreement may be terminated, including right to pursue a challenge to termination in court. |
| *42 CFR 441.301(c)(4)(vi)(B)*  Yes  Partial  No  Not Applicable | Each individual has privacy in their sleeping or living unit: (1) Units have entrance doors lockable by the individual, with only appropriate staff having keys to doors. (2) Individuals sharing units have a choice of roommates in that setting. (3) Individuals have the freedom to furnish and decorate their sleeping or living units within the lease or other agreement. | Residents have privacy in their sleeping units. Residents have keys to their rooms and to the facility’s entrances, with only appropriate staff having keys to doors. All rooms and bathroom doors are lockable, to ensure privacy. If applicable, individuals in semi-private rooms have choice of roommate based upon availability and compatibility. As observed, residents have the opportunity to furnish and decorate their rooms as they wish. |

**Recommendation**

As required by 42 CFR 441.301(c)(5), the State of New York submits this request for heightened scrutiny review for the setting identified above. The State has compiled evidence that the setting is integrated in and supports full access of individuals to the greater community, is selected by the individual from among disability and non-disability specific settings, ensures individual rights, and promotes individual initiative, autonomy, choice, and independence.

**Instructions for Completing Sections One through Four**

**The following four (4) sections may be expanded in length to capture evidence of HCBS Final Rule compliance. However, a complete heightened scrutiny packet may be no longer than ten (10) pages in length. The ten (10) pages should include documentation that demonstrates support of the statements made here. Any additional supporting documentation should be kept by agencies/offices/units for the recommended amount of time.**

**Section One**

**On-Site Visit Observation**

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| **Date(s) Conducted**  9/27/2019, 12/27/2019, 01/09/2020 | **State Agency/Entity that Conducted the On-Site Visit** New York State Department of Health. |
| **Description of the Setting**  Madison York Home for Adults is an Adult Home and Assisted Living Program. The facility provides long-term residential care, room, board, housekeeping, personal care, and supervision to five or more adults. The facility provides services to persons who are medically eligible for nursing home placement but in a less medically intensive, lower cost setting. The ALP provides personal care, room, board, housekeeping, supervision, home health aides, personal emergency response services, nursing, physical therapy, occupational therapy, speech therapy, medical supplies and equipment, adult day health care, home health services, and the case management services of a registered professional nurse.  The total bed capacity is 202 adult home, of which 200 are ALP beds. The facility is located in an urban area situated among residences and commercial businesses. There are several adult care facilities located within a three-mile radius. The facility is free of barriers inhibiting access to or egress from the location. The facility is free from barriers inhibiting movement. The facility is physically accessible by all individuals. Residents have access to all common areas of the facility. Assistive devices, such as walkers, are available to residents as prescribed. The facility also provides licensed home care services. The facility has both private and semi-private rooms. Bedrooms and bathrooms are both equipped with locks to provide privacy. Residents may decorate the room their rooms as they wish. Residents have access to food throughout the day. Vending machines are available for residents to make purchases as they want.  Outings are scheduled and encouraged, but the choice whether to participate rests with the residents. The facility assists the residents to apply for Medicaid-funded transportation and  Access-A-Ride services through New York City Transit. There are also several bus tops within a 2-3 minute walk. Residents may go on outings with anyone they wish to go with. Regularly planned outings including shopping and dining are some of the favored activities of the residents. The facility holds a monthly Resident Council meeting in which residents are encouraged to make suggestions of outings. Resident schedules are designed by the resident, and flexible according to the resident’s individual circumstances. Activities are adapted to individual needs and preferences of the residents. | |

**Section Two**

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| **Community Integration Observations and Input from Individuals Served (without observation by staff), Family Members/Guardians, and Staff Individual Interviews**  At the time of the onsite visit, two residents were interviewed without observation by staff. The following information was gathered:  Individual A stated she is able to go into the community to do things she likes. She stated she doesn’t request things, she chooses what she wants to do on her own decisions. She stated her friend or staff assist her if needed. Individual A stated that she does not have to go on errands if she doesn’t want to. She chose to live at Madison York Home for Adults and there was no other place she wanted to live. Individual A was able to chose to reside in a private room. She was explained room options at her admission. Individual A stated she is able to have visitors at any time. She stated that if visitors come after visiting hours they can come downstairs to the guest room (guest common areas).  Individual B stated they like to go into the community to do things she likes. She enjoys going out on errands, and usually goes independently. She really enjoys “Fashion on Wheels”. Fashion on Wheels is basically a little boutique set up in a truck that travels the streets for people to shop at. Individual B stated she chooses what activities she wants to do in her community and within the facility. Individual B choose to live at Madison York Home for Adults. She was able to choose a private bedroom, which is what she wanted.  **Employee Interviews**  The administrator was interviewed at the time of the onsite visit. The following information was gathered:  The administrator shared that the residents are able to come and go as they please. Residents are welcome to go out with family, friends, peers from the facility, or anyone of their choosing. Residents are also encouraged to participate in facility-planned outings and events. However, it is the residents choice if they wish to join or not. Once per month there is a Resident Council meeting. This give residents an opportunity to share ideas of outings and events they would like to do as well as share any concerns they have. Attendance is not mandatory, but again, residents are encouraged to participate. |

**Section Three**

**Additional Evidence**

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| **The following evidence has been compiled that demonstrates the setting is integrated in, and supports full access of, individuals receiving HCBS into the greater community.**  Click or tap here to enter text.  1. Residents Rights  2. Resident Services: Activity Services Policy  3. Visitor Hours  4. Case Management Services Policy  5. Present Care Planning and Present Directed Services Policy  6. Photo 1 of a bedroom  7. Photo 2 of a bedroom  8. Photo showing use of adaptive equipment.  9. Google Map  10. Website: <https://www.madisonyorkalp.com/> |

**Section Four**

**Public Comments Summary**

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| **Public Comment Period**  **From:** Click or tap to enter a date. **To:** Click or tap to enter a date. |
| **Summary of Public Comments Received for the Setting** |
| **Summary of the State’s Response to the Public Comments Received**  Click or tap here to enter text. |