



## Department of Health

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Commissioner

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Acting Executive Deputy Commissioner

October 26, 2021

DAL: DAL 21-18  
Subject: Enhancing the Quality of Adult Living (EQUAL) Program for SFY 2021-2022

Dear Operator:

The Department of Health (“Department”) is pleased to announce the availability of funding under the Enhancing the Quality of Adult Living (EQUAL) Program. Operators of Adult Homes and Enriched Housing Programs who provide services to individuals receiving Supplemental Security Income (SSI), State Supplemental Program (SSP) benefits, Safety Net (SN) assistance, and/or Medicaid (with respect to residents of assisted living programs) are eligible for EQUAL Program payments. The purpose of this program is to enhance the quality of care and life experience for residents receiving SSI, SSP, SN, and/or Medicaid by providing additional funding to licensed and certified Adult Care Facility (ACF) operators. Funding is utilized to improve or expand services and/or enhance the facility’s physical environment.

It is anticipated that a total of \$6,532,000 will be available in 2021-2022 EQUAL funding as outlined below:

**Local Assistance Funding: \$3,266,000.00**

Local Assistance Projects will be available to support improvements to the quality of life for adult care facility residents by funding projects including clothing allowances, resident training to support independent living skills, improvements in food quality, outdoor leisure projects, and cultural, recreational and other leisure events.

**Capital Improvement Projects: \$3,266,000.00**

Capital Improvement Project funds will be available to support the enhancement of the physical environment of the facility and promote a higher quality of life for residents.

All facility operators that successfully apply will receive a per-person amount based on the numbers of SSI, SSP, SN, and/or Medicaid (with respect to ALPs) beneficiaries residing in the facility as reported to the Department by the facility via the second quarter 2021 Quarterly Statistical Information Report. An additional funding allotment will be provided to facilities with a capacity of 100 beds and under.

EQUAL payments shall be made for the purpose of providing quality care and services to eligible residents to better meet their needs and improve the physical environment of a facility. The total award amount, per facility, shall be designated at 50% Local Assistance and 50% Capital Improvement Projects. Funds will not be awarded to subsidize daily operational expenses such as staffing or utilities and may not supplant the obligations of the facility operator to provide a safe, comfortable living environment for residents in a good state of repair and sanitation. Expenditures must be made for the purpose of enhancing both residents’ quality of care and life experience in the ACF. The Department reserves the right to, at its sole discretion, randomly audit awardees to ensure expenditure compliance. Negative audit findings can result in required repayment of funds to the Department and/or completion or correction of cited deficiencies within the time period specified by the Department.

The Operator shall be bound by the requirements, terms and conditions of the EQUAL Program as provided in Social Services Law section 461-s, compliance with applicable regulations, and other procedural requirements related to the program. This includes, but is not limited to, the timely completion of reports on the Health Commerce System (HCS), such as census reports, financial reports, and all surveys applicable to ACFs.

The EQUAL SFY 2021-2022 application is an electronic application that will be posted on the Health Commerce System (HCS) effective October 26, 2021.

The EQUAL Program application can be accessed by logging onto HCS at: <https://commerce.health.state.ny.us>. On the HCS Home Page, click "My Applications" → "HCBC" → "Data Entry" and at the "Select an Activity" drop down menu → select "ACF EQUAL Application SFY 2021-2022." **Please carefully review the instructions.**

- Applicants electing to participate **must** complete Sections A-E, including all certifications in Section E, of the HCS application.
- Applications must be electronically submitted by **5:00 pm on November 19, 2021**. After this date and time, the application will no longer be available, and **hard copies will not be accepted**. **Applications that do not include all required, complete materials by 5:00 pm on November 19, 2021 will be deemed incomplete and ineligible for funding.**
- Facility operators who do not apply by the prescribed deadline will be interpreted as declining to participate in the EQUAL Program.

Facilities deemed eligible for funding will receive a notification of intent to award. Upon receipt, such facilities have thirty (30) calendar days to submit a 2021-2022 EQUAL Proposed Spending Plan. The proposed plan must include either the Resident Council Representative Approval or, for those facilities without a Resident Council, a Resident Petition in Support. Submissions must be completed using the appropriate form (Attachment 1). Failure to submit a proposed spending plan within thirty (30) calendar days may be considered forfeiture and the funding may be reallocated to other awardees pursuant to the Department's funding methodology.

Upon award, the facility will be required to maintain a current Exhibit A: Payment and Expenditure Tracking Form with all relevant receipts on file and present such documentation to the Department upon request. Facilities must submit Exhibit A and Exhibit B: Equal Program Certification Page and relevant receipts to the Department via email to [equal@health.ny.gov](mailto:equal@health.ny.gov) no later than one year from the date the funds were received. All expenditures must be consistent with the approved expenditure plan.

Facility operators who do not have an established Statewide Financial System (SFS) account must register for one by completing the "New York State Office of the State Comptroller Substitute Form W-9: Request for Taxpayer Identification Number and Certification." Completed forms should be emailed to [sfsvidr@health.ny.gov](mailto:sfsvidr@health.ny.gov). Please expedite your application to allow for additional processing. Once you submit your completed Substitute Form W-9, the Office of the State Comptroller's Vendor Management Unit will contact you directly to complete the process of establishing a vendor identification number, which is required to set up your SFS account and receive your EQUAL Program Funds. Additional information can be obtained at the following sites:

OSC: <http://www.osc.state.ny.us/vendors/index.htm>

SFS: <http://www.sfs.ny.gov/>

Questions regarding the EQUAL Program should be directed to Ms. Georgina Mellas, of my staff, at (518) 408-1133, or by email at [equal@health.ny.gov](mailto:equal@health.ny.gov). We look forward to receiving your application.

Sincerely,

Heidi L. Hayes, Acting Director  
Division of Adult Care Facilities  
and Assisted Living Surveillance

cc: T. Egan  
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