SPARCS Translation Project

Stakeholder Meeting
October 18, 2017

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Agenda

1. **Welcome**

2. **Optum Intake Sign-Up and Training**

3. **SPARCS Response Files**

4. **General SPARCS Updates**

5. **Adjourn**

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Optum Intake

Speaker: Amy A. Johnson

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Optum ID Registration

1. NYSDOH SPARCS provided Optum with a list of users by facility

2. Optum will send an email to each user asking them to register for their Optum ID

3. The email will come from Optum@donotreply.com and titled Welcome to Intelligent EDI

4. Users will follow instructions in email and log on to Optum site to register. Required information:

   ✓   Name, email, and 3 security questions

   ✓   User identifies user name and password

5. If users already have an Optum ID there will be a notification saying you already have an ID and ask if users want to continue

6. All other system permissions will be granted by Optum

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Optum Intake Training

1. Optum Intake training will be provided to facilities via Webinar

2. Training will occur in January 2018 over a two week time frame

    ✓ 45 minute training session

    ✓ Six (6) sessions per day

3. Training objectives:

    ✓ Users will learn how to access the SPARCS EDI portal

    ✓ Learn how to submit 837R

    ✓ Learn how to access reports in the portal

SPARCS Response Files

Speaker: Brian Erickson

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Optum Response Files

- Optum EDI processing generates response files in multiple formats:

  - Machine readable standard X12 response transactions
    - TA1/999 – File and Transaction Set Acknowledgement
    - 277CA – Claim Acknowledgement
  - Submitter Report
  - Error CSV

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TA1/999 Acknowledgment

```
ISA*00*        *00*        *ZZ*350         *ZZ*141338307      *171016*1838*^*00501*000000175*0*P*:~
TA1*012017138*170518*0713*A*000~
GS*FA*SPARCS*141338307*20171016*1838*175*X*005010X231A1~
ST*999*0001*005010X231A1~
AK1*HC*1*005010X223A2~
AK2*837*987654*005010X225A2~
IK5*A~AK9*A*1*1*1~
SE*6*0001~
GE*1*175~
IEA*1*000000175~
```

227 Acknowledgment

TRN*2*ABH123456~
STC*A1:21:40*20171016*WQ*5015********H46257 The Implementation Convention Reference value is not the same as the
    Version/Release/Industry Identifier Codes found in GS08.~
STC*A1:21:40*20171016*WQ*5015********H24391 Missing HIPAA Required 'Claim Identifier' in 'L_GS'.~
STC*A1:21:40*20171016*WQ*5015********H10023 Unexpected segment (PAT)~
STC*A1:21:85*20171016*WQ*5015********H20204 Code Value 'SJ' at element 'NM101' in segment 'Billing Provider Name'
    is valid in the X12 standard, but not in this HIPAA implementation.~
STC*A1:21:85*20171016*WQ*5015********H20205 Incomplete loop (2010AA). Missing HIPAA-required N3 (Billing Provider Address).~
STC*A1:21:85*20171016*WQ*5015********H20205 Incomplete loop (2010AA). Missing HIPAA-required N4 (Billing Provider City,
    State, ZIP Code).~
STC*A1:21:QC*20171016*WQ*5015********H24391 Missing HIPAA Required 'Individual Relationship Code' in '2000B'.~
STC*A1:21:QC*20171016*WQ*5015********H20203 Element PAT09 is present, though marked 'Not Used'~
STC*A1:21:QC*20171016*WQ*5015********H20203 Element DMG05 is present, though marked 'Not Used'~
STC*A1:21:QC*20171016*WQ*5015********H20203 Element DMG10 is present, though marked 'Not Used'~
STC*A1:21:QC*20171016*WQ*5015********H20203 Element DMG11 is present, though marked 'Not Used'~
STC*A1:153:QC*20171016*WQ*5015********H25378 The Property and Casualty Claim Number is not a HIPAA requirement.~
STC*A1:21*20171016*WQ*5015********H24391 Missing HIPAA Required 'Assignment or Plan Participation Code' in '2300'.~
STC*A1:21*20171016*WQ*5015********H24391 Missing HIPAA Required 'Benefits Assignment Certification Indicator' in '2300'.~
STC*A1:21*20171016*WQ*5015********H24391 Missing HIPAA Required 'Release of Information Code' in '2300'.~
STC*A1:21*20171016*WQ*5015********H20205 Incomplete loop (2320). Missing HIPAA-required OI (Other Insurance
    Coverage Information).~
STC*A1:584*20171016*WQ*5015********W24413 Submitters are encouraged to routinely send a Line Item Control Number
on all service lines.~REF*BLT*111~
DTP*472*RD8*20170310-20170311~

Submitter Report - Summary

Summary						

Report Generated		Wed Jan 16 21:53:11 2013				

Transmission Summary	Accept		Reject		Total	
	Units	Dollars	Units	Dollars	Units	Dollars
File Name						
837P_eight_providersA1_ICD9_3_good.txt	12	$14,434.82	0	$0.00	12	$14,434.82
Interchange(s) Control Number, Interchange Sender ID						
000000790, 34-39495350	12	$14,434.82	0	$0.00	12	$14,434.82
Functional Group(s) Control Number, Version/Release ID						
1, 004010X098A1	12	$14,434.82	0	$0.00	12	$14,434.82
Transaction ID and Transaction Set Control Number						
837P, 0001	12	$14,434.82	0	$0.00	12	$14,434.82
Billing Provider Primary ID, Provider Site Number, Billing Provider Name						
534929986, WEBBER	1	$73.08	0	$0.00	1	$73.08
000000401, HUNTER	3	$6,100.00	0	$0.00	3	$6,100.00
154789686, HUNTER	1	$1,000.00	0	$0.00	1	$1,000.00
492953986, WEBBER	1	$44.33	0	$0.00	1	$44.33
495329986, WEBBER	1	$73.08	0	$0.00	1	$73.08
000400004, HUNTER	3	$6,100.00	0	$0.00	3	$6,100.00
478159686, HUNTER	1	$1,000.00	0	$0.00	1	$1,000.00
294953698, WEBBER	1	$44.33	0	$0.00	1	$44.33

Error/Message Summary

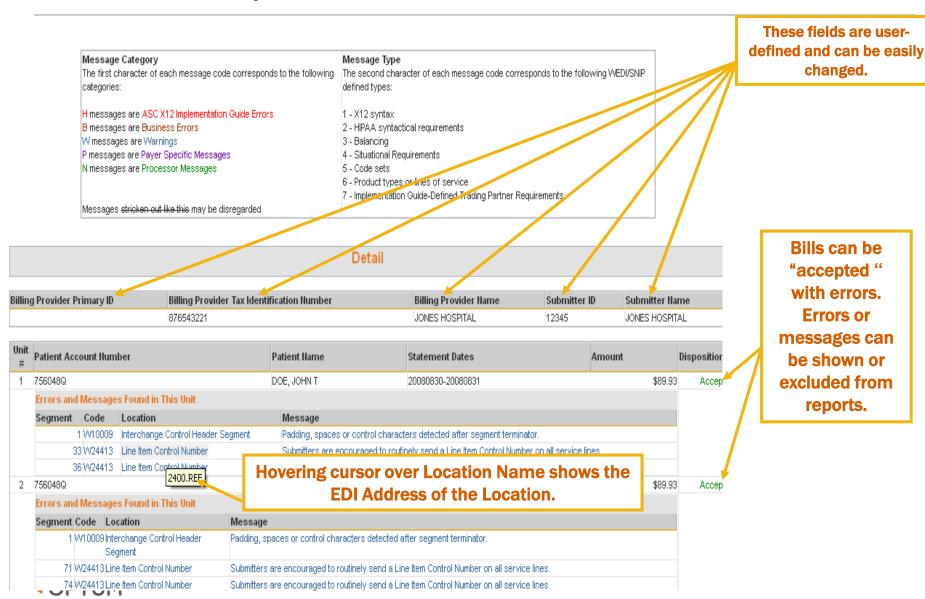| Code	Location	Count	Message
H20204	Emergency Indicator	50	Code Value 'N' at element 'SV109' is valid in the X12 standard, but not in this HIPAA implementation
W10046	Line Item Charge Amount	44	Syntax Error for SV102, trailing zeros following the decimal point should be suppressed.
B31000	Service Date	42	The 'Date - Service Date' cannot be after the Transaction Set Creation Date (BHT-04)

Summary information indicates number of accepted/rejected bills and dollars. Click on individual Billing Providers to go directly to details.

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Submitter Report - Detail

Message Category

The first character of each message code corresponds to the following categories:

H messages are ASC X12 Implementation Guide Errors
B messages are Business Errors
W messages are Warnings
P messages are Payer Specific Messages
N messages are Processor Messages

Messages stricken out like this may be disregarded

Message Type

The second character of each message code corresponds to the following WEDI/SNIP defined types:

1 - X12 syntax
2 - HIPAA syntactical requirements
3 - Balancing
4 - Situational Requirements
5 - Code sets
6 - Product types or lines of service
7 - Implementation Guide-Defined Trading Partner Requirements

These fields are user-defined and can be easily changed.

Detail

Billing Provider Primary ID	Billing Provider Tax Identification Number	Billing Provider Name	Submitter ID	Submitter Name
	876543221	JONES HOSPITAL	12345	JONES HOSPITAL

Unit #	Patient Account Number	Patient Name	Statement Dates	Amount	Disposition
1	756048Q	DOE, JOHN T	20080830-20080831	$89.93	Accept

Errors and Messages Found in This Unit

Segment	Code	Location	Message
1	W10009	Interchange Control Header Segment	Padding, spaces or control characters detected after segment terminator.
33	W24413	Line Item Control Number	Submitters are encouraged to routinely send a Line Item Control Number on all service lines.
36	W24413	Line Item Control Number	

2400.REF

Unit #	Patient Account Number	Patient Name	Statement Dates	Amount	Disposition
2	756048Q			$89.93	Accept

Errors and Messages Found in This Unit

Segment	Code	Location	Message
1	W10009	Interchange Control Header Segment	Padding, spaces or control characters detected after segment terminator.
71	W24413	Line Item Control Number	Submitters are encouraged to routinely send a Line Item Control Number on all service lines.
74	W24413	Line Item Control Number	Submitters are encouraged to routinely send a Line Item Control Number on all service lines.

Bills can be "accepted" with errors. Errors or messages can be shown or excluded from reports.

Hovering cursor over Location Name shows the EDI Address of the Location.

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Submitter Report – Error Count

Error/Message Summary

Code	Location	Count	Message
W24413	Line Item Control Number	1795	Submitters are encouraged to routinely send a Line Item Control Number on all service lines.
P2184040	Payer Identifier	237	BCCA: The Submitter ID (1000A/NM109) must be the same value as that submitted in the Application Sender's Code (GS02).
W40486	Claim Pricing/Repricing Information	237	'Repriced Claim Number' (2300 REF with REF-01 = 9C or 9A) was not found but may be expected because 'Claim Pricing/Repricing Information' (2300/HCP) is present
H24235	Subscriber Group Name	237	'Insured Group Name' was not expected because the Insured Group or Policy Number is present
W24411	Claim Pricing/Repricing Information	237	The Claim Pricing/Repricing Information is to be completed by repricers only.
P2184043	Payer Identifier	237	BCCA: The appropriate value for the Receiver Name (1000B/NM103) is 'ANTHEM BLUE CROSS'.
P2184038	Payer Identifier	237	BCCA: The appropriate value for the Interchange Receiver ID (ISA08) is 'BCCA' followed by eleven spaces.
H46506	Claim Information	237	The Attending Provider (2310A) is required on all bills except unscheduled transportation claims.
P2184046	Payer Name	237	BCCA: The appropriate value for the Payer Name is 'ANTHEM BLUE CROSS'.
W10009	Interchange Control Header Segment	237	Padding, spaces or control characters detected after segment terminator.
P2184062	Payer Identifier	237	BCCA: The recommended value for the Component Element Separator (ISA16) is the colon (:)
P2184039			
H45264			the Provider Name segment.
B51071			
H46465			
H46504			
H25375	Billing Provider Address Line	99	The Billing Provider Address must be a street address. Post Office Box or Lock Box addressed are to be sent in the Pay-to-Provider Address
H45318	Subscriber City, State, ZIP Code	97	Subscriber City, State, ZIP Code was not expected because the Subscriber Relationship (SBR-02) is not 18-Self
H45318	Subscriber Address	97	Subscriber Address was not expected because the Subscriber Relationship (SBR-02) is not 18-Self
H45318	Subscriber Demographic	97	Subscriber Demographic Information was not expected because the Subscriber Relationship (SBR-02) is not 18-Self

Error/Message Summary provides listing of all errors and messages, along with an occurrence count, for all units within the file.

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Error CSV - Header

	A	B	C	D	E	F	G
1	Business Unit Number	TransactionSet (837R)	HIPAAVersion (005010X225A2)	Route Queue	Route Disposition	Service Provider Primary ID	Service Provider Tax Identification Number
2	1	837R	005010X225A2	defaultreject	Reject	1225357452	6303
3	1	837R	005010X225A2	defaultreject	Reject	1225357452	6303
4	1	837R	005010X225A2	defaultreject	Reject	1225357452	6303

Business Unit Number
Transaction Set (837R)
HIPAA Version (005010X225A2)
Route Queue
Route Disposition
Service Provider Primary ID
Service Provider Tax Identification Number

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Error CSV – Field Names

Business Unit Number

Transaction Set (837R)

HIPAA Version (005010X225A2)

Route Queue

Route Disposition

Service Provider Primary ID

Service Provider Tax
   Identification Number

Service Provider Name

Submitter ID

Submitter Name

Payer ID

Patient Account Number

Patient Name

Statement Dates

(Unused Identifier Slot)

(Unused Identifier Slot)

(Unused Identifier Slot)

(Unused Identifier Slot)

(Unused Identifier Slot)

(Unused Identifier Slot)

(Unused Identifier Slot)

(Unused Identifier Slot)

(Unused Identifier Slot)

(Unused Identifier Slot)

Error or Message Code

Error or Message Text

Segment Number

Loop Name

Loop Instance

Segment Name

Segment Sequence

Element Sub

Element Data

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Optum Response Files

1. TA1/999, 277 CA file format – Standard

2. Submitter file format – HTML

3. Error file format – CSV

4. Next steps – Review technical requirements

General Updates

Speaker: John Bock

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General SPARCS Updates

Adjustment/Void Processing

Identifying Transaction to be Adjusted/Voided

- If the "Previous Patient Control Number" is submitted in the NTE segment:
    - Facility PFI (Loop 2010AA REF02 when REF01=1J – Facility ID Number)
    - Statement To and From Date (Loop 2300 when DTP01=434
    - Previous Patient Control Number (NTE)

- If the "Previous Patient Control Number" is not submitted in the NTE segment:
    - Facility PFI (Loop 2010AA REF02 when REF01=1J – Facility ID Number)
    - Statement To and From Date (Loop 2300 when DTP01=434
    - Patient Control Number (Loop 2300 – CLM01)

- If no match is found, the transaction will be rejected.

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General SPARCS Updates

Submission Limits

- Discharge dates prior to October 1, 2009 will no longer be accepted
- After October 1, 2019, discharge dates more than 10 years old will no longer be accepted.

Adjourn

John Piddock

Thank you.

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