

New York State Systemic Assessment Index of Rules, Regulations, Protocols and Policies Reviewed

Department of Health (DOH)-Office of Health Insurance Programs (OHIP)

Care at Home (CAH) I 1915 (c) Waiver:

1. Care at Home - A Handbook for Parents: Helping Families Care for a Physically Disabled Child at Home.
2. CAH I/II Medicaid Waiver Palliative Care Provider Application
3. New York State Department of Health 2007 Medicaid Care at Home Waivers Participant Study

CAH II 1915 (c) Waiver:

1. Care at Home - A Handbook for Parents: Helping Families Care for a Physically Disabled Child at Home.
2. CAH I/II Medicaid Waiver Palliative Care Provider Application
3. New York State Department of Health 2007 Medicaid Care at Home Waivers Participant Study

CAH III 1915 (c) Waiver:

1. GIS 15 MA/02 – Care at Home III, IV and VI Waivers: Policy Updates Regarding Waiver Eligibility
2. Care at Home Waivers Eligibility Requirements

CAH IV 1915 (c) Waiver:

1. GIS 15 MA/02 – Care at Home III, IV and VI Waivers: Policy Updates Regarding Waiver Eligibility
2. Care at Home Waivers Eligibility Requirements

CAH VI 1915 (c) Waiver:

1. GIS 15 MA/02 – Care at Home III, IV and VI Waivers: Policy Updates Regarding Waiver Eligibility
2. Care at Home Waivers Eligibility Requirements

Nursing Home Transition and Diversion (NHTD) 1915 (c) Waiver:

1. NHTD Medicaid Waiver Program
2. NHTD Diversion Home and Community-Based Services Waiver
3. NHTD Housing Subsidy Program

Traumatic Brain Injury (TBI) 1915 (c) Waiver:

1. TBI Waiver
2. HCBS NYSDOH Medicaid Waiver for Individuals with TBI Program Manual
3. NYSDOH TBI Program Housing Program Guidelines
4. TBI Housing Subsidy Program: Housing Quality Standards Checklist

1115 Demonstration Project (NY Partnership Plan) – MMMC & MLTC:

A. Mainstream Medicaid Managed Care (MMMC):

1. MMMC Model Contract

B. Managed Long Term Care (MLTC):

Fully Integrated Duals Advantage (FIDA) FIDA:

1. FIDA Model Contract

Medicaid Advantage Plus (MAP):

1. MAP Model Contract

Program of All Inclusive Care for the Elderly (PACE):

1. PACE Model Contract

Partial Plan:

1. Managed Long Term Care Partial Capitation Contract

Social Adult Day Care (SADC):

1. Newly Adopted Social Adult Day Care Regulations
2. MAP Model Contract

Adult Day Health Centers (ADHC):

1. Part 425 Adult Day Health Care (Statutory Authority: Public Health Law, section 2803(2))
2. Adult Day Health Care Program (ADHCP) Registrant Review
3. NYSDOH Nursing Home and ICF Surveillance ADHCP
4. ADHCP Bill of Rights
5. Title 10 NYCRR (Adult Day Health Care Programs and Managed Long Term Care) Amendment of Part 425

Community First Choice Option (CFCO):

1. State Plan Amendment (SPA) #13-0035 Amount, Duration, and Scope of Medical and Remedial Care and Services Provided to the Categorically Needy

DOH-Office of Primary Care and Health Systems Management (OPCHSM)

DOH 1115 Demonstration Project Adult Homes:

New York Codes, Rules and Regulation, Title 18 (18 NYCRR):

1. 18 NYCRR 487.5 Resident protections
2. 18 NYCRR 487.7 Resident services
3. 18 NYCRR 494.7 Environmental standards
4. 18 NYCRR 494.4 Admission and retention standards
5. 18 NYCRR 488.5 Resident protections
6. 18 NYCRR 488.8 Food service
7. 18 NYCRR 488.3 General provisions

DOH-Office of Public Health (OPH)-AIDS Institute

AIDS Adult Day Health Care Program:

Amendment of Part 425 and 759 of Title 10 NYCRR (Adult Day Health Care Programs and Managed Long Term Care) (10 NYCRR):

1. 10 NYCRR 425.7 Registrant care plan
2. 10 NYCRR 759.5 Comprehensive Care Planning
3. 10 NYCRR 425.4 General requirements for operation
4. 10 NYCRR 751.9 Patients' rights

Guidelines for Adult Day Health Care Programs Caring for Patients with AIDS or HIV Disease

HIV/AIDS Supportive Housing:

1. HIV Supportive Housing Contracts

Office for People with Developmental Disabilities (OPWDD)

Services Transition (HCBS) 1915 (c) Waiver – Residential:

1. 14 CRR-NY 633.4 Rights and Responsibilities of Persons Receiving Services
2. 14 CRR-NY 633.15 Management of Personal Funds
3. Administrative Memorandum (ADM)-#2015-01: Service Documentation for Community Habilitation Services Provided to Individuals Residing in Certified and Non-Certified Locations
4. Amendments to 14 NYCRR Parts 633, 635, 671, & 686 Final Regulations (Person-Centered Planning)
5. 14 CRR-NY 635-10.2 Intent
6. Medicaid Service Coordination Vendor Manual
7. 14 CRR-NY 633.16 Person-Centered Behavioral Intervention
8. ADM-#201004 Program Standards: Individualized Service Plan (ISP) Format and Timeframes for Review and Distribution
9. ADM-#2012-06 Plan of Care Support Services (PCSS) Program Standards and Documentation Requirements for Billing
10. 14 CRR-NY 635-7.3 Safety and Welfare Requirements for all Facilities (except for family care homes and individualized residential alternatives [IRAs] housing eight or fewer persons)

OPWDD HCBS 1915 (c) Waiver -- Day Settings:

1. ADM 2015-08 Service Documentation for Supported Employment (SEMP) Services
2. 14 CRR-NY 633.4 Rights and Responsibilities of Persons Receiving Services
3. Administrative Memorandum (ADM)-#2015-01: Service Documentation for Community Habilitation Services Provided to Individuals Residing in Certified and Non-Certified Locations
4. 14 CRR-NY 633.15 Management of Personal Funds
5. Amendments to 14 NYCRR Parts 633, 635, 671, & 686 Final Regulations (Person-Centered Planning)
6. ADM- #2015-07- Service Documentation for Pathway to Employment Service
7. 14 CRR-NY 635-10.2 Intent
8. Medicaid Service Coordination Vendor Manual
9. 14 CRR-NY 633.16 Person-Centered Behavioral Intervention
10. 14 CRR-NY 635-10.4 635-10.4 Allowable Services
11. ADM-#201004 Program Standards: Individualized Service Plan (ISP) Format and Timeframes for Review and Distribution
12. ADM-#2012-06 Plan of Care Support Services (PCSS) Program Standards and Documentation Requirements for Billing

Office of Mental Health (OMH)

Serious Emotional Disturbance (SED) 1915 (c) Waiver:

Home and Community-Based Services Waiver Guidance Document Division of Children and Families:

- 1.100.1 Background, Philosophy, Goals, Target Population, Services, Stakeholders, Funding, Serious Emotional Disturbance (SED) Criteria
2. 500.4 Phase II – Steps 4, 5, and 6

Office of Mental Health (OMH) 1115 Demo Health and Recovery Plan (HARP):

1. Transition of Behavioral Health Benefit into Medicaid Managed Care and Health and Recovery Program Implementation
2. Health Home Standards and Requirements for Health Homes, Care Management Providers and Managed Care Organizations
3. Health Homes for Individuals in HARPs & HARP Eligibles in HIV SNPs
4. Federal Adult Behavioral Health HCBS Person-Centered Planning Process Requirements/Characteristics
5. New York Codes Rules and Regulations (NYCRR) Part 595 Operation of Residential Programs for Adults
6. Supported Housing Guidelines
7. New York State: Health and Recovery Plan (HARP) Adult Behavioral Health Home and Community Based Services (BH HCBS) Provider Manual
8. Medicaid Managed Care Model Contract

OMH 1115 Demo Supported Housing:

1. Supported Housing Guidelines

OMH 1115 Demo Supportive Single Residence Occupancy Programs (SP-SRO):

1. Supported Housing Guidelines

OMH 1115 Demo Community Residence Single Room Occupancy Programs (CR-SRO):

1. New York Codes Rules and Regulations (NYCRR) Part 595 Operation of Residential Programs for Adults

OMH 1115 Demo Apartment Treatment Sites:

1. New York Codes Rules and Regulations (NYCRR) Part 595 Operation of Residential Programs for Adults

Office of Children and Family Services (OCFS)

Bridge to Health (B2H) MedF 1915 (c) Waiver:

1. Administrative Directive (ADM): 15-OCFS-ADM-21 Supporting Normative Experiences for Children, Youth, and Young Adults in Foster Care: Applying a Reasonable and Prudent Parent Standard
2. New York Code, Rule, and Regulations (NYCRR) 18 CRR-NY 441.25 Reasonable and Prudent Parent Standard
3. 15-OCFS-ADM-18 New York State Bill of Rights for Children and Youth in Foster Care
4. 88-INF-40 The 1988 Model Foster Parent Manual
5. 18 CRR-NY 443.3 Certification or Approval of Foster Family Homes
6. 18 CRR-NY 430.11 Appropriateness of Placement
7. 18 CRR-NY 441.25 Reasonable and Prudent Parent Standard
8. 18 CRR-NY 441.10 Work Experiences for Children
9. 18 CRR-NY 430.10 Necessity of Placement
10. 06-OCFS-INF-10 Meeting the Clothing Needs of Foster Care Youth Ages 12 through 20 Years in Out of Home Placement
11. 18 CRR-NY 441.12 Money and Personal Property
12. 18 CRR-NY 441.15 Special Services
13. 18 CRR-NY 428.6 Family Assessments and Service Plans (content)
14. 13-OCFS-ADM-08 Revised Model Contract for Purchase of Foster Care Services
15. 12-OCFS-INF-04 Educational Stability of Foster Children: OCFS, State Education Department and NYS Uniform Court System Field Guidance
16. 90-INF-43 Foster Care: Department Policy on Educational Information Related to Foster Children
17. NYCRR Part 428 Standards for Uniform Case Records and Family and Child Assessments and Service Plans
18. 82-ADM-16 Implementation of Departmental Regulation 441.18 on Children's Privacy Rights
19. 18 CRR-NY 441.18 Children's Privacy Rights
20. 18 CRR-NY 441.19 Appropriate Custodial Conduct
21. 18 CRR-NY 441.17 Restraint of Children in Care
22. 18 CRR-NY 430.12 Diligence of Effort

23. 18 CRR-NY 428.9 Service Plan Review for Foster Care and Other Out-of-Home Placement Cases
24. 18 CRR-NY 442.6 Sleeping Accommodations
25. 18 CRR-NY 447.2 Requirements for Each Agency Boarding Home
26. 18 CRR-NY 448.3 Requirements for Each Group Home
27. 18 CRR-NY 443.3 Certification or Approval of Foster Family Homes
28. 18 CRR-NY 303.1 Social Services District Policy

Bridges to Health Developmental Disabilities B2H DD 1915 (c) Waiver:

1. Administrative Directive (ADM): 15-OCFS-ADM-21 Supporting Normative Experiences for Children, Youth, and Young Adults in Foster Care: Applying a Reasonable and Prudent Parent Standard
2. New York Code, Rule, and Regulations (NYCRR) 18 CRR-NY 441.25 Reasonable and Prudent Parent Standard
3. 15-OCFS-ADM-18 New York State Bill of Rights for Children and Youth in Foster Care
4. 88-INF-40 The 1988 Model Foster Parent Manual
5. 18 CRR-NY 443.3 Certification or Approval of Foster Family Homes
6. 18 CRR-NY 430.11 Appropriateness of Placement
7. 18 CRR-NY 441.25 Reasonable and Prudent Parent Standard
8. 18 CRR-NY 441.10 Work Experiences for Children
9. 18 CRR-NY 430.10 Necessity of Placement
10. 06-OCFS-INF-10 Meeting the Clothing Needs of Foster Care Youth Ages 12 Through 20 Years in Out of Home Placement
11. 18 CRR-NY 441.12 Money and Personal Property
12. 18 CRR-NY 441.15 Special Services
13. 18 CRR-NY 428.6 Family Assessments and Service Plans (content)
14. 13-OCFS-ADM-08 Revised Model Contract for Purchase of Foster Care Services
15. 12-OCFS-INF-04 Educational Stability of Foster Children: OCFS, State Education Department and NYS Uniform Court System Field Guidance
16. 90-INF-43 Foster Care: Department Policy on Educational Information Related to Foster Children
17. NYCRR Part 428 Standards for Uniform Case Records and Family and Child Assessments and Service Plans
18. 82-ADM-16 Implementation of Departmental Regulation 441.18 on Children's Privacy Rights
19. 18 CRR-NY 441.18 Children's Privacy Rights
20. 18 CRR-NY 441.19 Appropriate Custodial Conduct
21. 18 CRR-NY 443.3 Certification or Approval of Foster Family Homes
22. 18 CRR-NY 441.17 Restraint of Children in Care
23. 18 CRR-NY 430.12 Diligence of Effort
24. 18 CRR-NY 428.9 Service Plan Review for Foster Care and Other Out-of-Home Placement Cases

25. 18 CRR-NY 442.6 Sleeping Accommodations
26. 18 CRR-NY 447.2 Requirements for Each Agency Boarding Home
27. 18 CRR-NY 448.3 Requirements for Each Group Home
28. 18 CRR-NY 303.1 Social Services District Policy