#### **CAH I 1915 (c) Waiver**

| Standard/Quality  Conflicts  Silent Compliant  All Settings:  All Settings:  All participants live in the home of family member, friend, relative, or guardian. Person centered service planning guidance to the procession of the p |   |           | Degree of 0 | Compliance                                       |             |  |  |
|--|---|-----------|-------------|--|-------------|--|--|
| All participants live in the home of family member, friend, relative, or gaustian. Person-centered service planning guidance has been developed to ensure that all testings protect the protections under the final flace applicable to all settings, and will be transed above them. 2021 The CAN JI Handbook with be resisted by March, 2021 to recoprete all applicable to an destings, and will be transed above from the final flace applicable to an destings, and will be transed above from the final flace applicable to an destings, and will be transed above from the final flace applicable to the second and the protections are protected from the final flace applicable to the second applicable to the | Standard/Quality                                      | Conflicts | Silent      |  | Compliant   | Documentation/Citations  |  |
| L. Fully integrated into the broader community to the same degree of access as individuals not receiving whether the same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not received in the community in the same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access and access access and access a   | All Settings:   | Commicto  | onene       |  | Compilation |  |  |
| L. Fully integrated into the broader community to the same degree of access as individuals not receiving whether the same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not receiving whether same degree of access as individuals not received in the community in the same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access as individuals and access whether same degree of access and access access and access a   |   |           |             |  |             | All and this sea blooms of family and the first and relative and resident Downson and an invalidation and the season of the seas |  |
| Let Fully integrated into the broader community to the X determined selection of the broader community to the X determined selection of the broader community to the X determined selection of the broader community in the person-entered care planing process.   |   |           |             |  |             |  |  |
| Glick here for Cart All Pillative Care Provider Application   Medical HCSS   |   |           |             |  |             | be issued before March, 2019. The CAH I/II Handbook will be revised by March, 2019 to incorporate all applicable standards   |  |
| Medical KCSS.  - opportunities to seek employment/ work in   |   |           | Х           |  |             |  |  |
| - opportunities to seek employment / work in   |   |           |             |  |             |  |  |
| - engage in community life   |   |           |             |  |             |  |  |
| control personal resources   |   |           |             |  |             |  |  |
| 2. Selected by the individual among options 2. Selected by the individual among options 3. Selected by the individual among options 4. See above response    Including non-disability specific settings and an option for a private unit in a residential setting.   |   |           |             |  |             |  |  |
| 2. Selected by the individual among options  | control personal resources                            |           | X           |  |             | see above response   |  |
| including non-disability specific settings and an option for a private unit in a residentified and documented in the X see above response person-centered service plan — the options are identified and documented in the X see above response person-centered service plan — the options are based on the individual's needs, X see above response perferences, and for residential settings, resources available for room and board.  3. Ensure an individual's rights of privacy. X see above response Ensure an individual's rights of glinity and respect. X see above response Ensure an individual's rights of flightly and respect. X see above response Ensure an individual's rights of fleedom from coercion X see above response Ensure an individual's rights of dignity and respect. X see above response Ensure an individual's rights of dignity and respect. X see above response Ensure an individual rights of dignity and respect. X see above response Ensure an individual respo | receive services in the community                     |           | Х           |  |             | see above response   |  |
| including non-disability specific settings and an option for a private unit in a residentified and documented in the X see above response person-centered service plan — the options are identified and documented in the X see above response person-centered service plan — the options are based on the individual's needs, X see above response perferences, and for residential settings, resources available for room and board.  3. Ensure an individual's rights of privacy. X see above response Ensure an individual's rights of glinity and respect. X see above response Ensure an individual's rights of flightly and respect. X see above response Ensure an individual's rights of fleedom from coercion X see above response Ensure an individual's rights of dignity and respect. X see above response Ensure an individual's rights of dignity and respect. X see above response Ensure an individual rights of dignity and respect. X see above response Ensure an individual respo | 2. Selected by the individual among options           |           | Х           |  |             | see above response   |  |
| option for a private unit in a residential setting. the options are identified and documented in the person-centered service plan the options are based on the individual's needs, preferences, and for residential settings, resources available for room and board.  3. Ensure an individual's rights of privacy. Ensure an individual's rights of dignity and respect. Ensure an individual's rights of freedom from coercion and restraint.  4. Optimize and doesn't regiment individual  If the controlled settings in the controlled settings in the controlled settings.  5. Facilitate individual choice regarding services and supports, and who provides them.  Provider Owned or Controlled Settings:  N/A  See above response   See above response  X see above response  N/A  |   |           |             | I  |             |  |  |
| the options are identified and documented in the prison-centered service planthe options are based on the individual's needs,  |   |           |             |  |             |  |  |
| person-centered service plan -the options are based on the individual's needs, preferences, and for residential settings, resources available for room and board.  3. Ensure an individual's rights of privacy. Ensure an individual's rights of dignity and respect. Ensure an individual's rights of dignity and respect. Ensure an individual's rights of freedom from coercion and restraint.  4. Optimize and doesn't regiment individual initiative, autonomy, and independence in making life choices, including but not limited to, daily activities, physical environment, and with whom to interact.  5. Facilitate individual choice regarding services and supports, and who provides them.  Provider Owned or Controlled Settings: N/A  6. A specific place that can be owned, rented or  |   |           | Х           |  |             | see ahove response   |  |
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| preferences, and for residential settings, resources available for room and board.  3. Ensure an individual's rights of privacy. Ensure an individual's rights of dignity and respect. X Ensure an individual's rights of freedom from coercion X and restraint.  4. Optimize and doesn't regiment individual initiative, autonomy, and independence in making life choices, including but not limited to, daily activities, physical environment, and with whom to interact.  5. Facilitate individual choice regarding services and supports, and who provides them.  Provider Owned or Controlled Settings: N/A  N/A  See above response  X see above response  | ·   |           | Х           |  |             | see ahove response   |  |
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| Ensure an individual's rights of dignity and respect.  Ensure an individual's rights of freedom from coercion AX  Ensure an individual's rights of freedom from coercion AX  A. Optimize and doesn't regiment individual Initiative, autonomy, and independence in making Iffe choices, including but not limited to, daily activities, physical environment, and with whom to interact.  5. Facilitate individual choice regarding services and supports, and who provides them.  Provider Owned or Controlled Settings:  N/A  6. A specific place that can be owned, rented or   | 3. Ensure an individual's rights of privacy.          |           | Х           |  |             | see above response   |  |
| Ensure an individual's rights of freedom from coercion  and restraint.  4. Optimize and doesn't regiment individual initiative, autonomy, and independence in making life choices, including but not limited to, daily activities, physical environment, and with whom to interact.  5. Facilitate individual choice regarding services and supports, and who provides them.  Provider Owned or Controlled Settings:  N/A  6. A specific place that can be owned, rented or  | Ensure an individual's rights of dignity and respect. |           | Х           |  |             |  |  |
| and restraint.  4. Optimize and doesn't regiment individual initiative, autonomy, and independence in making life choices, including but not limited to, daily activities, physical environment, and with whom to interact.  5. Facilitate individual choice regarding services and supports, and who provides them.  Provider Owned or Controlled Settings:  N/A  6. A specific place that can be owned, rented or  |   |           | Х           |  |             |  |  |
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| initiative, autonomy, and independence in making  life choices, including but not limited to, daily activities, physical environment, and with whom to interact.  5. Facilitate individual choice regarding services and supports, and who provides them.  Provider Owned or Controlled Settings:  N/A  6. A specific place that can be owned, rented or   | 6 Outining and describe a singular dividual           |           | V           |  |             |  |  |
| life choices, including but not limited to, daily activities, physical environment, and with whom to interact.  5. Facilitate individual choice regarding services and supports, and who provides them.  Provider Owned or Controlled Settings:  N/A  6. A specific place that can be owned, rented or   |   |           | Х           |  |             | see above response   |  |
| activities, physical environment, and with whom to interact.  5. Facilitate individual choice regarding services and supports, and who provides them.  Provider Owned or Controlled Settings:  N/A  6. A specific place that can be owned, rented or   |   |           |             |  |             |  |  |
| to interact.  5. Facilitate individual choice regarding services  and supports, and who provides them.  Provider Owned or Controlled Settings:  N/A  6. A specific place that can be owned, rented or  |   |           |             |  |             |  |  |
| 5. Facilitate individual choice regarding services X see above response and supports, and who provides them.  Provider Owned or Controlled Settings:  N/A  6. A specific place that can be owned, rented or  |   |           |             |  |             |  |  |
| and supports, and who provides them.  Provider Owned or Controlled Settings:  N/A  6. A specific place that can be owned, rented or  | to interact.  |           |             |  |             |  |  |
| and supports, and who provides them.  Provider Owned or Controlled Settings:  N/A  6. A specific place that can be owned, rented or  | 5. Facilitate individual choice regarding services    |           | Х           |  |             | see above response   |  |
| Provider Owned or Controlled Settings:  N/A  6. A specific place that can be owned, rented or  |   |           |             | <del>!                                    </del> |             |  |  |
| 6. A specific place that can be owned, rented or   | and depoted and the provided them                     |           |             |  |             |  |  |
|  | Provider Owned or Controlled Settings:                |           | N,          | /A   |             |  |  |
| occupied under a legally enforceable agreement   | 6. A specific place that can be owned, rented or      |           |             |  |             |  |  |
|  | occupied under a legally enforceable agreement        |           |             |  |             |  |  |

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|   |           | Degree of 0 | Compliance             | ·         |                         |
|---|-----------|-------------|------------------------|-----------|-------------------------|
| Standard/Quality  | Conflicts | Silent      | Partially<br>Compliant | Compliant | Documentation/Citations |
| by the individual receiving services.                   |           |             |                        |           |                         |
| The individual has, at a minimum, the same              |           |             |                        |           |                         |
| responsibilities and protections from eviction that     |           |             |                        |           |                         |
| tenants have under the jurisdiction's                   |           |             |                        |           |                         |
| landlord/tenant law or equivalent.                      |           |             |                        |           |                         |
|   |           | 1           | 1                      | T         | T                       |
| 7. Each individual has privacy in their sleeping or     |           |             |                        |           |                         |
| living unit:  |           | ,           | 1                      | T         |                         |
| units have entrance doors lockable by the               |           |             |                        |           |                         |
| individual with only appropriate staff having keys;     |           |             |                        |           |                         |
| individuals sharing units have a choice of              |           |             |                        |           |                         |
| roommates in that setting;                              |           | •           |                        |           |                         |
| Individuals have the freedom to furnish and             |           |             |                        |           |                         |
| decorate their sleeping or living units within the      |           |             |                        |           |                         |
| lease or other agreement.                               |           |             |                        |           |                         |
|   |           |             |                        |           | 1                       |
| 8. Individuals have the freedom and support to:         |           |             | 1                      | Т         |                         |
| control their own schedules and activities;             |           |             |                        |           |                         |
| have access to food at any time.                        |           |             |                        |           |                         |
| 9. Individuals are able to have visitors of their       |           | 1           | 1                      |           |                         |
| choosing at any time.                                   |           | <u> </u>    |                        |           |                         |
| choosing at any time.                                   |           |             |                        |           |                         |
| 10. The setting is physically accessible to the         |           |             |                        |           |                         |
| individual.   |           | 1           |                        |           |                         |
|   |           |             |                        |           |                         |
| Heightened Scrutiny:                                    |           | YES         | /NO                    |           |                         |
| 11. Is the setting in a facility that also provides     |           | N1          | /A                     |           |                         |
| inpatient institutional services?                       |           | IN,         | /A                     |           |                         |
|   |           |             |                        |           | 1                       |
| 12. Is the setting in a facility on the grounds of      |           |             |                        |           |                         |
| or immediately adjacent to a public institution?        |           |             |                        |           |                         |
| 13. Does the setting serve to isolate the individual in |           |             |                        |           |                         |
| receipt of Medicaid funded HCBS from the broader        |           |             |                        |           |                         |
| · ·   |           |             |                        |           |                         |
| community?  |           |             |                        |           |                         |

### CAH II 1915 (c) Waiver

|  |           | Degree of | Compliance             |           |   |
|--|-----------|-----------|------------------------|-----------|---|
| Standard/Quality                                       | Conflicts | Silent    | Partially<br>Compliant | Compliant | Documentation/Citations   |
| All Settings:  | 551111655 | 0         |                        | oopa      |   |
| -  |           |           |                        |           |   |
|  |           |           |                        |           | All participants live in the home of family member, friend, relative, or gaurdian. Person-centered service planning guidance has been developed to ensure that all settings provide the protections under the Final Rule applicable to all settings, and will |
|  |           |           |                        |           | be issued before March, 2019. The CAH I/II Handbook will be revised by March, 2019 to incorporate all applicable standards  |
| Fully integrated into the broader community to the     |           | Х         |                        |           | determined silent in this chart in the person-centered care planning process.   |
| same degree of access as individuals not receiving     |           | Λ         |                        |           | Click here for CAH I/II Palliative Care Provider Application  |
| Medicaid HCBS.   | -         |           |                        |           | Click here for Care at Home Handbook  |
| opportunities to seek employment/ work in              |           | Х         |                        |           | Click here for Mediciad Care At Home Waivers Participanct Survey  |
| engage in community life                               |           | X         |                        |           | see above response  |
| control personal resources                             |           | Х         |                        |           | see above response  |
| receive services in the community                      |           | Х         |                        |           | see above response  |
| ·  | !         |           | 1                      |           |   |
| 2. Selected by the individual among options            |           | Х         |                        |           | see above response  |
| including non-disability specific settings and an      |           |           | •                      |           | ·   |
| option for a private unit in a residential setting.    |           |           |                        |           |   |
| the options are identified and documented in the       |           | Х         |                        |           | see above response  |
| person-centered service plan                           |           |           |                        |           |   |
| the options are based on the individual's needs,       |           | Х         |                        |           | see above response  |
| preferences, and for residential settings, resources   |           |           |                        |           |   |
| available for room and board.                          |           |           |                        |           |   |
|  |           |           |                        |           |   |
| 3. Ensure an individual's rights of privacy.           |           | Х         |                        |           | see above response  |
| Ensure an individual's rights of dignity and respect.  |           | Х         |                        |           |   |
| Ensure an individual's rights of freedom from coercion |           | Х         |                        |           |   |
| and restraint.   |           |           |                        |           |   |
|  | 1         |           | ,                      |           |   |
| 4. Optimize and doesn't regiment individual            |           | Х         |                        |           | see above response  |
| initiative, autonomy, and independence in making       |           |           |                        |           |   |
| life choices, including but not limited to, daily      | <u> </u>  |           |                        |           |   |
| activities, physical environment, and with whom        | <u> </u>  |           |                        |           |   |
| to interact.   |           |           |                        |           |   |
|  | 1         |           |                        |           | T .   |
| 5. Facilitate individual choice regarding services     |           | Х         |                        |           | see above response  |
| and supports, and who provides them.                   | l         |           |                        |           |   |
| Provider Owned or Controlled Settings:                 |           | N         | /A                     |           |   |
| 6. A specific place that can be owned, rented or       |           |           |                        |           |   |
| occupied under a legally enforceable agreement         |           |           |                        |           |   |
|  | •         |           |                        |           |   |

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| the individual receiving services.  The individual has, at a minimum, the same responsibilities and protections from evident that seeping or received that seeping or received that seeping or required to the principle of the seeping or received that seeping or required to the principle of the seeping or received that seeping or required to the principle of the seeping or received that seeping or required to the principle of the seeping or required to the seeping or responsible to the received to the seeping or required to the see |   |           | Degree of 0 | Compliance |           |                         |
|--|---|-----------|-------------|------------|-----------|-------------------------|
| The individual has, at a minimum, the same repossibilities and protections from eviction that tenants have under the jurisdictions underdy/chema to we required the company of their seeping or living unit:  List hindividual has privacy in their seeping or living unit: units have entrance doors lockable by the landividual with only appropriate staff having lexy; Individual sharing units have a choice of roommates in that setting: Individual sharing units have a choice of roommates in that setting: Individual sharing units have a choice of roommates in that setting: Individual sharing units have a choice of roommates in that setting: Individual share the freedom to furnish and decorate their seeping or living units within the lease or other agreement.  8. Individuals have the freedom and support to: control their own schedules and activities; have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual in decorate their institutional services?  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately abject to solidate the individual in   | Standard/Quality  | Conflicts | Silent      |            | Compliant | Documentation/Citations |
| responsibilities and protections from eviction that tenants have under the prisidictions and the control of the control of the prisidiction of the control o | by the individual receiving services.                   |           |             |            |           |                         |
| teansts have under the jurisdiction's landering transition or equivalent.  7. Each individual has privacy in their skeeping or living unit:  — unit have entrance doors lockable by the individual with only appropriate staff having keys. — individuals with only appropriate staff having keys. — individuals sharing units have a choice of living in that setting in operation of turnish and decorate their skeeping or living units within the lease or other agreement.  8. Individuals have the freedom and support to: — control their own schedules and activities; — have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual in living a facility that also provides inpatient institutional services?  11. Is the setting in a facility that also provides impatient institutional services?  13. Does the setting serve to isolate the individual in   | The individual has, at a minimum, the same              |           |             |            |           |                         |
| Jandiord/fenant law or equivalent.  7. Each individual has privacy in their steeping or  | responsibilities and protections from eviction that     |           |             |            |           |                         |
| Z. Each individual has privacy in their sleeping or living unit:  - units have entrance doors lockable by the individual with only appropriate staff having keys;  - individuals with only appropriate staff having keys;  - individuals have the freedom to furnish and decrare their sleeping or living units within the lease or other agreement.  8. Individuals have the freedom and support to:control their own schedules and activities;have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual.  Heightened Scrutiny:  Ves/NO  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility that also provides of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  | tenants have under the jurisdiction's                   |           |             |            |           |                         |
| living unit:  units have entrance doors lockable by the individual with only appropriate staff having keys;  individuals sharing units have a choice of roommates in that setting;  individuals have the freedom to furnish and decorate their sleeping or living units within the lease or other agreement.  8. Individuals have the freedom and support to: control their own schedules and activities; have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual.  Heightneed Scrutiny:  YES/NO  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institutional?  13. Does the setting in a facility on the grounds of or immediately adjacent to a public institutional?  | landlord/tenant law or equivalent.                      |           |             |            |           |                         |
| living unit:  units have entrance doors lockable by the individual with only appropriate staff having keys;  individuals sharing units have a choice of roommates in that setting;  individuals have the freedom to furnish and decorate their sleeping or living units within the lease or other agreement.  8. Individuals have the freedom and support to: control their own schedules and activities; have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual.  Heightneed Scrutiny:  YES/NO  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institutional?  13. Does the setting in a facility on the grounds of or immediately adjacent to a public institutional?  | 7 Fach individual has privacy in their sleening or      | 1         |             |            |           |                         |
| units have entrance doors lockable by the Individual with only appropriate staff having keys; individuals sharing units have a choice of roommates in that setting; individuals have the freedom to furnish and decorate their sleeping or living units within the lease or other agreement.  8. Individuals have the freedom and support to: control their own schedules and activities; have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual in the setting in a facility that also provides in patient institutional services?  12. Is the setting in a facility that das provides or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in   |   |           | 1           |            |           |                         |
| individuals with only appropriate staff having keys;individuals having units have a choice of roommates in that setting:individuals have the freedom to furnish and decorate their sleeping or living units within the lease or other agreement.  8. Individuals have the freedom and support to:control their own schedules and activities;have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual.  14. Individuals.  15. Individuals are able to have visitors of their choosing at any time.  16. The setting is physically accessible to the individual.  17. In the setting in a facility that also provides impatient institutional services?  18. Individual accessible to the grounds of or immediately adjacent to a public institution?  18. In the setting in a facility on the grounds of or immediately adjacent to a public institution?  18. Does the setting serve to isolate the individual in   |   |           | I           |            |           |                         |
| individuals sharing units have a choice of roommates in that setting; individuals have the freedom to furnish and decorate their sleeping or living units within the lease or other agreement.  8. Individuals have the freedom and support to: control their own schedules and activities; have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual.  Heightened Scrutiny:  YES/NO  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  |   |           | J.          |            |           |                         |
| roommates in that setting: - Individuals have the freedom to furnish and decorate their sleeping or living units within the lease or other agreement.  8. Individuals have the freedom and support to:control their own schedules and activities;have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individuals.  Heightened Scrutiny:  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  |   |           | I           |            |           |                         |
| Individuals have the freedom to furnish and decorate their sleeping or living units within the lease or other agreement.  8. Individuals have the freedom and support to: control their own schedules and activities; have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual.  Heightened Scrutiny:  Heightened Scrutiny:  12. Is the setting in a facility that also provides impatient institutional services?  13. Does the setting serve to isolate the individual in   |   |           | l           |            |           |                         |
| decorate their sleeping or living units within the lease or other agreement.  8. Individuals have the freedom and support to:control their own schedules and activities;have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual.  Heightened Scrutiny:  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  |   |           | I           |            |           |                         |
| lease or other agreement.  |   |           | l           |            |           |                         |
| Individuals have the freedom and support to:control their own schedules and activities;have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual.  Heightened Scrutiny:  YES/NO  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in   |   |           |             |            |           |                         |
| control their own schedules and activities; -have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual.  Heightened Scrutiny:  Heightened Scrutiny:  YES/NO  11. Is the setting in a facility that also provides inpatient institutional services?  N/A  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  | icase of other agreement.                               |           |             |            |           |                         |
| control their own schedules and activities; -have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual.  Heightened Scrutiny:  Heightened Scrutiny:  YES/NO  11. Is the setting in a facility that also provides inpatient institutional services?  N/A  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  | 8. Individuals have the freedom and support to:         |           |             |            |           |                         |
| have access to food at any time.  9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual.  Heightened Scrutiny:  YES/NO  11. Is the setting in a facility that also provides inpatient institutional services?  N/A  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in   |   |           |             |            |           |                         |
| 9. Individuals are able to have visitors of their choosing at any time.  10. The setting is physically accessible to the individual.  Heightened Scrutiny:  Heightened Scrutiny:  YES/NO  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  | ·   |           |             |            |           |                         |
| choosing at any time.  10. The setting is physically accessible to the individual.  Heightened Scrutiny:  YES/NO  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  | ,   |           | <u> </u>    |            |           |                         |
| 10. The setting is physically accessible to the individual.  Heightened Scrutiny:  Heightened Scrutiny:  YES/NO  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in   | 9. Individuals are able to have visitors of their       |           |             |            |           |                         |
| individual.  Heightened Scrutiny:  YES/NO  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in   | choosing at any time.                                   |           |             |            |           |                         |
| individual.  Heightened Scrutiny:  YES/NO  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in   |   |           |             |            |           |                         |
| Heightened Scrutiny:  11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  | 10. The setting is physically accessible to the         |           |             |            |           |                         |
| 11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  | individual.   |           |             |            |           |                         |
| 11. Is the setting in a facility that also provides inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  |   | •         |             |            |           |                         |
| inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  | Heightened Scrutiny:                                    |           | YES         | /NO        |           |                         |
| inpatient institutional services?  12. Is the setting in a facility on the grounds of or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  | 11. Is the setting in a facility that also provides     |           |             |            |           |                         |
| or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  |   | 1         | N,          | /A         |           |                         |
| or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  |   | •         |             |            |           | ·                       |
| or immediately adjacent to a public institution?  13. Does the setting serve to isolate the individual in  | 12. Is the setting in a facility on the grounds of      |           |             |            |           |                         |
| 13. Does the setting serve to isolate the individual in  |   | 7         |             |            |           |                         |
|  |   |           |             |            |           |                         |
|  | 13. Does the setting serve to isolate the individual in |           |             |            |           |                         |
| receipt of inedicald funded mcBS from the broader  | receipt of Medicaid funded HCBS from the broader        |           |             |            |           |                         |
| community?   | community?  |           |             |            |           |                         |

# CAH III 1915 (c) Waiver

|  |           | Degree of ( | Compliance |           |   |
|--|-----------|-------------|------------|-----------|---|
| Standard/Quality                                       |           |             | Partially  |           | Documentation/Citations   |
|  | Conflicts | Silent      | Compliant  | Compliant |   |
| All Settings:  |           |             | _          | -         |   |
|  |           |             |            |           |   |
|  |           |             |            |           | All participants live in the home of family member, friend, relative, or gaurdian.    |
|  |           |             |            |           | Person-centered service planning guidance has been developed to ensure that all       |
|  |           |             |            |           | settings provide the protections under the Final Rule applicable to all settings, and |
|  |           |             |            |           | will be issued before March, 2019. The CAH Handbook Waivers III, IV, VI will be       |
|  |           |             |            |           | revised by March, 2019 to incorporate all applicable standards determined silent in   |
| 1. Fully integrated into the broader community to the  |           | Х           |            |           | this chart in the person-centered care planning process.                              |
| same degree of access as individuals not receiving     |           |             |            |           | Click here for Care at Home Waivers III, IV, VI Eligibility                           |
| Medicaid HCBS.   |           |             |            |           | Click here fore GIS 15 MA/02  |
| opportunities to seek employment/ work in              |           | Х           |            |           | see above response  |
| engage in community life                               |           | Х           |            |           | see above response  |
| control personal resources                             |           | Х           |            |           | see above response  |
| receive services in the community                      |           | Х           |            |           | see above response  |
|  |           |             |            |           |   |
| 2. Selected by the individual among options            |           | Х           |            |           | see above response  |
| including non-disability specific settings and an      |           |             |            |           |   |
| option for a private unit in a residential setting.    |           |             |            |           |   |
| the options are identified and documented in the       |           | Х           |            |           | see above response  |
| person-centered service plan                           |           |             |            |           |   |
| the options are based on the individual's needs,       |           | Х           |            |           | see above response  |
| preferences, and for residential settings, resources   |           |             |            |           |   |
| available for room and board.                          |           |             |            |           |   |
|  |           |             |            |           |   |
| 3. Ensure an individual's rights of privacy.           |           | Х           |            |           | see above response  |
| Ensure an individual's rights of dignity and respect.  |           | Х           |            |           |   |
| Ensure an individual's rights of freedom from coercion |           | Х           |            |           |   |
| and restraint.   |           |             |            |           |   |
|  |           |             |            |           |   |
| 4. Optimize and doesn't regiment individual            |           | Х           |            |           | see above response  |
| initiative, autonomy, and independence in making       |           |             |            |           |   |
| life choices, including but not limited to, daily      |           |             |            |           |   |

CAH III 1915 (c) Waiver

|   |           | Degree of C | Compliance |           |                         |
|---|-----------|-------------|------------|-----------|-------------------------|
| Standard/Quality                                    |           |             | Partially  |           | Documentation/Citations |
|   | Conflicts | Silent      | Compliant  | Compliant |                         |
| activities, physical environment, and with whom     |           |             |            |           |                         |
| to interact.  |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 5. Facilitate individual choice regarding services  |           | X           |            |           | see above response      |
| and supports, and who provides them.                |           |             |            |           |                         |
|   |           |             |            |           |                         |
| Provider Owned or Controlled Settings:              |           | N/          | 'A         |           |                         |
| 6. A specific place that can be owned, rented or    |           |             |            |           |                         |
| occupied under a legally enforceable agreement      |           |             |            |           |                         |
| by the individual receiving services.               |           |             |            |           |                         |
| The individual has, at a minimum, the same          |           |             |            |           |                         |
| responsibilities and protections from eviction that |           |             |            |           |                         |
| tenants have under the jurisdiction's               |           |             |            |           |                         |
| landlord/tenant law or equivalent.                  |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 7. Each individual has privacy in their sleeping or |           |             |            |           |                         |
| living unit:  |           |             |            |           |                         |
| units have entrance doors lockable by the           |           |             |            |           |                         |
| individual with only appropriate staff having keys; |           |             |            |           |                         |
| individuals sharing units have a choice of          |           |             |            |           |                         |
| roommates in that setting;                          |           |             |            |           |                         |
| Individuals have the freedom to furnish and         |           |             |            |           |                         |
| decorate their sleeping or living units within the  |           |             |            |           |                         |
| lease or other agreement.                           |           |             |            |           |                         |
|   | ı         |             |            |           |                         |
| 8. Individuals have the freedom and support to:     |           | T           | T          | Ī         |                         |
| control their own schedules and activities;         |           |             |            |           |                         |
| have access to food at any time.                    |           |             |            |           |                         |
|   | T         | 1           | 1          | Ī         |                         |
| 9. Individuals are able to have visitors of their   |           |             |            |           |                         |
| choosing at any time.                               |           |             |            |           |                         |

CAH III 1915 (c) Waiver

|   |           | Degree of 0 | Compliance |           |                         |
|---|-----------|-------------|------------|-----------|-------------------------|
| Standard/Quality  |           |             | Partially  |           | Documentation/Citations |
|   | Conflicts | Silent      | Compliant  | Compliant |                         |
|   |           |             |            |           |                         |
| 10. The setting is physically accessible to the         |           |             |            |           |                         |
| individual.   |           |             |            |           |                         |
|   |           |             |            |           |                         |
| Heightened Scrutiny:                                    |           | YES         | /NO        |           |                         |
| 11. Is the setting in a facility that also provides     |           | N,          | /^         |           |                         |
| inpatient institutional services?                       |           | IN,         | /A         |           |                         |
|   |           |             |            |           |                         |
| 12. Is the setting in a facility on the grounds of      |           |             |            |           |                         |
| or immediately adjacent to a public institution?        |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 13. Does the setting serve to isolate the individual in |           |             |            |           |                         |
| receipt of Medicaid funded HCBS from the broader        |           |             |            |           |                         |
| community?  |           |             |            |           |                         |

# CAH IV 1915 (c) Waiver

|  |           | Degree of | Compliance |           |   |
|--|-----------|-----------|------------|-----------|---|
| Standard/Quality                                       |           |           | Partially  |           | Documentation/Citations   |
|  | Conflicts | Silent    | Compliant  | Compliant |   |
| All Settings:  |           | -         | •          | •         |   |
|  |           |           |            |           |   |
|  |           |           |            |           |   |
|  |           |           |            |           | All participants live in the home of family member, friend, relative, or gaurdian.    |
|  |           |           |            |           | Person-centered service planning guidance has been developed to ensure that all       |
|  |           |           |            |           | settings provide the protections under the Final Rule applicable to all settings, and |
|  |           |           |            |           | will be issued before March, 2019. The CAH Handbook Waivers III, IV, VI will be       |
|  |           |           |            |           | revised by March, 2019 to incorporate all applicable standards determined silent in   |
| Fully integrated into the broader community to the     |           | Х         |            |           | this chart in the person-centered care planning process.                              |
| same degree of access as individuals not receiving     |           |           |            |           | Click here for Care at Home Waivers III, IV, VI Eligibility                           |
| Medicaid HCBS.   |           |           |            | 1         | Click here for GIS 15 MA/02   |
| opportunities to seek employment/ work in              |           | Х         |            |           | see above response  |
| engage in community life                               |           | Х         |            |           | see above response  |
| control personal resources                             |           | Х         |            |           | see above response  |
| receive services in the community                      |           | Х         |            |           | see above response  |
|  | _         | _         |            | 1         |   |
| 2. Selected by the individual among options            |           | Х         |            |           | see above response  |
| including non-disability specific settings and an      |           |           |            |           |   |
| option for a private unit in a residential setting.    |           | •         | 1          | 7         |   |
| the options are identified and documented in the       |           | Х         |            |           | see above response  |
| person-centered service plan                           |           |           | _          |           |   |
| the options are based on the individual's needs,       |           | Х         |            |           |   |
| preferences, and for residential settings, resources   |           |           |            |           | see above response  |
| available for room and board.                          |           |           |            |           |   |
|  |           | 1         | _          | _         |   |
| 3. Ensure an individual's rights of privacy.           |           | Х         |            |           | see above response  |
| Ensure an individual's rights of dignity and respect.  |           | Х         |            |           |   |
| Ensure an individual's rights of freedom from coercion |           | Х         |            |           |   |
| and restraint.   |           |           |            |           |   |
|  |           | _         | _          |           |   |
| 4. Optimize and doesn't regiment individual            |           | Х         | <u> </u>   |           | see above response  |
| initiative, autonomy, and independence in making       |           |           |            |           |   |
| life choices, including but not limited to, daily      |           |           |            |           |   |

CAH IV 1915 (c) Waiver

|   |           | Degree of C | Compliance |           |                         |
|---|-----------|-------------|------------|-----------|-------------------------|
| Standard/Quality                                    |           |             | Partially  |           | Documentation/Citations |
|   | Conflicts | Silent      | Compliant  | Compliant |                         |
| activities, physical environment, and with whom     |           |             |            |           |                         |
| to interact.  |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 5. Facilitate individual choice regarding services  |           | Х           |            |           | see above response      |
| and supports, and who provides them.                |           |             |            |           |                         |
|   |           |             |            |           |                         |
| Provider Owned or Controlled Settings:              |           | N/          | /A         |           |                         |
| 6. A specific place that can be owned, rented or    |           |             |            |           |                         |
| occupied under a legally enforceable agreement      |           | •           | •          |           |                         |
| by the individual receiving services.               |           |             |            |           |                         |
| The individual has, at a minimum, the same          |           |             |            |           |                         |
| responsibilities and protections from eviction that |           |             |            |           |                         |
| tenants have under the jurisdiction's               |           |             |            |           |                         |
| landlord/tenant law or equivalent.                  |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 7. Each individual has privacy in their sleeping or |           |             |            |           |                         |
| living unit:  |           |             |            |           |                         |
| units have entrance doors lockable by the           |           |             |            |           |                         |
| individual with only appropriate staff having keys; |           |             |            |           |                         |
| individuals sharing units have a choice of          |           |             |            |           |                         |
| roommates in that setting;                          |           |             |            |           |                         |
| Individuals have the freedom to furnish and         |           |             |            |           |                         |
| decorate their sleeping or living units within the  |           |             |            |           |                         |
| lease or other agreement.                           |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 8. Individuals have the freedom and support to:     |           |             |            |           |                         |
| control their own schedules and activities;         |           |             |            |           |                         |
| have access to food at any time.                    |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 9. Individuals are able to have visitors of their   |           |             |            |           |                         |
| choosing at any time.                               |           |             |            |           |                         |

CAH IV 1915 (c) Waiver

|   |           | Degree of C | Compliance |           |                         |
|---|-----------|-------------|------------|-----------|-------------------------|
| Standard/Quality  |           |             | Partially  |           | Documentation/Citations |
|   | Conflicts | Silent      | Compliant  | Compliant |                         |
|   |           |             |            |           |                         |
| 10. The setting is physically accessible to the         |           |             |            |           |                         |
| individual.   |           |             |            |           |                         |
|   |           |             |            |           |                         |
| Heightened Scrutiny:                                    |           | YES,        | /NO        |           |                         |
| 11. Is the setting in a facility that also provides     |           | N/          | / A        |           |                         |
| inpatient institutional services?                       |           | IN/         | A          |           |                         |
|   |           |             |            |           |                         |
| 12. Is the setting in a facility on the grounds of      |           |             |            |           |                         |
| or immediately adjacent to a public institution?        |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 13. Does the setting serve to isolate the individual in |           |             |            |           |                         |
| receipt of Medicaid funded HCBS from the broader        |           |             |            |           |                         |
| community?  |           |             |            |           |                         |

CAH VI 1915 (c) Waiver

|  |           | Degree of | Compliance |           |   |
|--|-----------|-----------|------------|-----------|---|
| Standard/Quality   |           |           | Partially  |           | Documentation/Citations   |
|  | Conflicts | Silent    | Compliant  | Compliant |   |
| All Settings:  |           |           |            |           |   |
|  |           |           |            |           | All participants live in the home of family member, friend, relative, or gaurdian.    |
|  |           |           |            |           | Person-centered service planning guidance has been developed to ensure that all       |
|  |           |           |            |           | settings provide the protections under the Final Rule applicable to all settings, and |
|  |           |           |            |           | will be issued before March, 2019. The CAH Handbook Waivers III, IV, VI will be       |
|  |           |           |            |           | revised by March, 2019 to incorporate all applicable standards determined silent in   |
|  |           | 1         | •          | •         | this chart in the person-centered care planning process.                              |
| Fully integrated into the broader community to the   |           | Х         |            |           | Click here for GIS 15 MA/02   |
| same degree of access as individuals not receiving   |           |           |            |           |   |
| Medicaid HCBS.   |           |           |            | _         | Click here for Care at Home Waivers III, IV, VI Eligibility                           |
| opportunities to seek employment/ work in  |           | Х         |            |           | see above response  |
| engage in community life   |           | Х         |            |           | see above response  |
| control personal resources   |           | Х         |            |           | see above response  |
| receive services in the community  |           | Х         |            |           | see above response  |
| 2. Calcada di ha dha in dinidual annon a maisne  | 1         | T v       |            | 4         | T   |
| 2. Selected by the individual among options  |           | Х         |            |           | see above response  |
| including non-disability specific settings and an  |           |           |            |           |   |
| option for a private unit in a residential setting. the options are identified and documented in the |           | Х         |            | T         | ron above recognice   |
| person-centered service plan   |           | ^         |            | <u>l</u>  | see above response  |
| the options are based on the individual's needs,   |           | Х         |            |           | see above response  |
| preferences, and for residential settings, resources   |           | ^         |            |           | see above response  |
| available for room and board.  | -         |           |            |           |   |
| available for footh and board.   |           |           |            |           |   |
| 3. Ensure an individual's rights of privacy.   |           | Х         |            |           | see above response  |
| Ensure an individual's rights of dignity and respect.  |           | Х         |            |           | see above response  |
| Ensure an individual's rights of freedom from coercion   |           | Х         |            |           | see above response  |
| and restraint.   |           | •         | •          | •         |   |
|  | •         |           |            |           |   |
| 4. Optimize and doesn't regiment individual  |           | Х         |            |           | see above response  |
| initiative, autonomy, and independence in making   |           |           |            |           |   |
| life choices, including but not limited to, daily  |           |           |            |           |   |

CAH VI 1915 (c) Waiver

|   |           | Degree of C | Compliance |           |                         |
|---|-----------|-------------|------------|-----------|-------------------------|
| Standard/Quality                                    |           |             | Partially  |           | Documentation/Citations |
|   | Conflicts | Silent      | Compliant  | Compliant |                         |
| activities, physical environment, and with whom     |           |             |            |           |                         |
| to interact.  |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 5. Facilitate individual choice regarding services  |           | X           |            |           | see above response      |
| and supports, and who provides them.                |           |             |            |           |                         |
|   |           |             |            |           |                         |
| Provider Owned or Controlled Settings:              |           | N/          | 'A         |           |                         |
| 6. A specific place that can be owned, rented or    |           |             |            |           |                         |
| occupied under a legally enforceable agreement      |           |             |            |           |                         |
| by the individual receiving services.               |           |             |            |           |                         |
| The individual has, at a minimum, the same          |           |             |            |           |                         |
| responsibilities and protections from eviction that |           |             |            |           |                         |
| tenants have under the jurisdiction's               |           |             |            |           |                         |
| landlord/tenant law or equivalent.                  |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 7. Each individual has privacy in their sleeping or |           |             |            |           |                         |
| living unit:  |           |             |            |           |                         |
| units have entrance doors lockable by the           |           |             |            |           |                         |
| individual with only appropriate staff having keys; |           |             |            |           |                         |
| individuals sharing units have a choice of          |           |             |            |           |                         |
| roommates in that setting;                          |           |             |            |           |                         |
| Individuals have the freedom to furnish and         |           |             |            |           |                         |
| decorate their sleeping or living units within the  |           |             |            |           |                         |
| lease or other agreement.                           |           |             |            |           |                         |
|   | ı         |             |            |           |                         |
| 8. Individuals have the freedom and support to:     |           | T           | T          | Ī         |                         |
| control their own schedules and activities;         |           |             |            |           |                         |
| have access to food at any time.                    |           |             |            |           |                         |
|   | T         | 1           | 1          | Ī         |                         |
| 9. Individuals are able to have visitors of their   |           |             |            |           |                         |
| choosing at any time.                               |           |             |            |           |                         |

CAH VI 1915 (c) Waiver

|   |           | Degree of 0 | Compliance |           |                         |
|---|-----------|-------------|------------|-----------|-------------------------|
| Standard/Quality  |           |             | Partially  |           | Documentation/Citations |
|   | Conflicts | Silent      | Compliant  | Compliant |                         |
|   |           |             |            |           |                         |
| 10. The setting is physically accessible to the         |           |             |            |           |                         |
| individual.   |           |             |            |           |                         |
|   |           |             |            |           |                         |
| Heightened Scrutiny:                                    |           | YES         | /NO        |           |                         |
| 11. Is the setting in a facility that also provides     |           | N,          | /^         |           |                         |
| inpatient institutional services?                       |           | IN,         | /A         |           |                         |
|   |           |             |            |           |                         |
| 12. Is the setting in a facility on the grounds of      |           |             |            |           |                         |
| or immediately adjacent to a public institution?        |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 13. Does the setting serve to isolate the individual in |           |             |            |           |                         |
| receipt of Medicaid funded HCBS from the broader        |           |             |            |           |                         |
| community?  |           |             |            |           |                         |

## NHTD 1915 (c) Waiver

|  |           | Degree of | Compliance |           |  |
|--|-----------|-----------|------------|-----------|--|
| Standard/Quality                                       |           |           | Partially  |           | Documentation/Citations  |
|  | Conflicts | Silent    | Compliant  | Compliant |  |
| All Settings:  |           |           |            |           |  |
|  |           |           |            |           | NHTD provides rental subsidies to people living in their own apartments with leases. All participants live in the  |
|  |           |           |            |           | home of family member, friend, relative, or gaurdian. Person-centered service planning guidance has been           |
|  |           |           |            |           | developed to ensure that all settings provide the protections under the Final Rule applicable to all settings, and |
|  |           |           |            |           | will be issued before March, 2019. The NHTD Program Manual will be revised by March, 2019 to incorporate all       |
|  |           | 1         |            |           | applicable standards determined silent in this chart in the person-centered care planning process.                 |
| Fully integrated into the broader community to the     |           | x         |            |           | See above response. Click here for Nursing Home Transition and Diversion Medicaid Waiver Program                   |
| same degree of access as individuals not receiving     |           | I.        |            | I         | Click here for Nursing Home Transition and Diversion Home and Community-Based Services Waiver                      |
| Medicaid HCBS.   | 1         |           |            |           | Click here for Nursing Home Transition and Diversion Housing Subsidy Program                                       |
| opportunities to seek employment/ work in              |           | х         |            |           | see above response   |
| engage in community life                               |           | х         |            |           | see above response   |
| control personal resources                             |           | х         |            |           | see above response   |
| receive services in the community                      |           | х         |            |           | see above response   |
|  |           |           |            |           |  |
| 2. Selected by the individual among options            |           | x         |            |           | see above response   |
| including non-disability specific settings and an      |           |           |            |           |  |
| option for a private unit in a residential setting.    |           |           |            |           |  |
| the options are identified and documented in the       |           | х         |            |           | see above response   |
| person-centered service plan                           |           |           |            |           |  |
| the options are based on the individual's needs,       |           | х         |            |           | see above response   |
| preferences, and for residential settings, resources   |           |           |            |           |  |
| available for room and board.                          |           |           |            |           |  |
| Ensure an individual's rights of privacy.              | 1         | x         | 1          |           | see above response   |
| Ensure an individual's rights of dignity and respect.  |           | x         |            |           | see above response   |
| Ensure an individual's rights of freedom from coercion |           | x         |            |           | see above response   |
| and restraint.   |           | Įr.       |            |           |  |
|  |           |           |            |           |  |
| 4. Optimize and doesn't regiment individual            |           | Х         |            |           | see response above   |
| initiative, autonomy, and independence in making       |           |           |            |           | _  |
| life choices, including but not limited to, daily      |           |           |            |           |  |
| activities, physical environment, and with whom        |           |           |            |           |  |
| to interact.   |           |           |            |           |  |
|  | T         |           |            | 1         |  |
| 5. Facilitate individual choice regarding services     |           | Х         |            |           | see above response   |
| and supports, and who provides them.                   |           |           |            |           |  |

## NHTD 1915 (c) Waiver

|   |           | Degree of C | Compliance |           |                         |
|---|-----------|-------------|------------|-----------|-------------------------|
| Standard/Quality                                    |           |             | Partially  |           | Documentation/Citations |
|   | Conflicts | Silent      | Compliant  | Compliant |                         |
| Provider Owned or Controlled Settings:              | <u> </u>  |             |            |           |                         |
| Provider Owned or Controlled Settings:              |           | N,          | /A         |           |                         |
| 6. A specific place that can be owned, rented or    |           |             |            |           |                         |
| occupied under a legally enforceable agreement      |           |             |            |           |                         |
| by the individual receiving services.               |           |             |            |           |                         |
| The individual has, at a minimum, the same          |           |             |            |           |                         |
| responsibilities and protections from eviction that |           |             |            |           |                         |
| tenants have under the jurisdiction's               |           |             |            |           |                         |
| landlord/tenant law or equivalent.                  |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 7. Each individual has privacy in their sleeping or |           |             |            |           |                         |
| living unit:  |           |             |            |           |                         |
| units have entrance doors lockable by the           |           |             |            |           |                         |
| individual with only appropriate staff having keys; |           |             |            |           |                         |
| individuals sharing units have a choice of          |           |             |            |           |                         |
| roommates in that setting;                          |           |             |            |           |                         |
| Individuals have the freedom to furnish and         |           |             |            |           |                         |
| decorate their sleeping or living units within the  |           |             | •          | •         |                         |
| lease or other agreement.                           |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 8. Individuals have the freedom and support to:     |           |             |            |           |                         |
| control their own schedules and activities;         |           |             |            |           |                         |
| have access to food at any time.                    |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 9. Individuals are able to have visitors of their   |           |             |            |           |                         |
| choosing at any time.                               |           |             |            |           |                         |
|   |           |             |            |           |                         |
| 10. The setting is physically accessible to the     |           |             |            |           |                         |
| individual.   |           |             |            |           |                         |
|   |           |             |            |           |                         |
| Heightened Scrutiny:                                | YES/NO    |             |            |           |                         |
| 11. Is the setting in a facility that also provides |           |             |            |           |                         |
| inpatient institutional services?                   | - NO      |             |            |           |                         |
| inpution institutional services:                    | <u> </u>  |             |            |           |                         |
| 12. Is the setting in a facility on the grounds of  |           |             |            |           |                         |
| 12. IS the setting in a facility of the grounds of  | 1         | N           | Λ          |           |                         |

## NHTD 1915 (c) Waiver

|   |  | Degree of C | Compliance |                         |  |  |  |  |
|---|--|-------------|------------|-------------------------|--|--|--|--|
| Standard/Quality  | Partially Conflicts Silent Compliant Compliant |             | Compliant  | Documentation/Citations |  |  |  |  |
| or immediately adjacent to a public institution?        |  | 14          | 9          |                         |  |  |  |  |
|   |  |             |            |                         |  |  |  |  |
| 13. Does the setting serve to isolate the individual in |  |             |            |                         |  |  |  |  |
| receipt of Medicaid funded HCBS from the broader        | NO   |             |            |                         |  |  |  |  |
| community?  |  |             |            |                         |  |  |  |  |

## TBI 1915 (c) Waiver

|  |           | Degree of | Compliance             |           |   |
|--|-----------|-----------|------------------------|-----------|---|
| Standard/Quality                                       | Conflicts | Silent    | Partially<br>Compliant | Compliant | Documentation/Citations   |
| All Settings:  |           |           |                        |           |   |
|  |           |           |                        |           | The Traumatic Brain Injury Program Housing Provider Manual will be revised by March 2019 to incorporate all applicable standards determined silent in this chart in the person-centered care planning process. Person-centered service planning guidance has been developed to ensure that all settings provide the protections under the Final Rule applicable to all settings, and will be issued before March, 2019. |
| Fully integrated into the broader community to the     |           | Х         |                        |           | Click here for Traumatic Brain Injury Waiver  |
| same degree of access as individuals not receiving     | 1         |           |                        |           | Click here for Traumatic Brian Injury Waiver Manual   |
| Medicaid HCBS.   |           |           |                        |           | Click here for Traumatic Brain Injury Housing Quality Standards Checklist   |
| opportunities to seek employment/ work in              |           | Х         |                        |           | see above response  |
| engage in community life                               |           | Х         |                        |           | see above response  |
| control personal resources                             |           | Х         |                        |           | see above response  |
| receive services in the community                      |           | Х         |                        |           |   |
|  |           | -         |                        |           | see above response  |
| 2. Selected by the individual among options            |           | Х         |                        |           |   |
| including non-disability specific settings and an      | ]         |           |                        |           |   |
| option for a private unit in a residential setting.    |           |           |                        |           | see above response  |
| the options are identified and documented in the       |           | Х         |                        |           |   |
| person-centered service plan                           |           |           |                        |           | see above response  |
| the options are based on the individual's needs,       |           | X         |                        |           |   |
| preferences, and for residential settings, resources   |           |           |                        |           |   |
| available for room and board.                          |           |           |                        |           |   |
|  |           |           |                        |           | see above response  |
| 3. Ensure an individual's rights of privacy.           |           | X         |                        |           | see above response  |
| Ensure an individual's rights of dignity and respect.  |           | X         |                        |           | see above response  |
| Ensure an individual's rights of freedom from coercion |           | X         |                        |           |   |
| and restraint.   |           |           |                        |           |   |
|  |           |           |                        |           | see above response  |
| 4. Optimize and doesn't regiment individual            |           | X         |                        |           |   |
| initiative, autonomy, and independence in making       |           |           |                        |           |   |
| life choices, including but not limited to, daily      |           |           |                        |           |   |
| activities, physical environment, and with whom        |           |           |                        |           |   |
| to interact.   |           |           |                        |           |   |
|  |           |           |                        |           | see above response  |
| 5. Facilitate individual choice regarding services     |           | Х         |                        |           |   |

TBI 1915 (c) Waiver

| Standard/Quality                                    |           | Degree of | Compliance |           | Documentation/Citations   |
|---|-----------|-----------|------------|-----------|---|
|   |           |           | Partially  |           |   |
|   | Conflicts | Silent    | Compliant  | Compliant |   |
| and supports, and who provides them.                |           |           |            |           |   |
|   |           |           |            |           |   |
| Provider-Owned or Controlled Settings:              |           |           |            |           |   |
|   |           |           |            |           | We will issue person-centered planning guidance by March 17, 2019 in accordance with 42 CFR 441.301(c)(4)(vi)(F), stating that any modification of the additional conditions, under 42 CFR 441.301(c)(4)(vi)(A) through (D), will be supported by a specific assessed need and justified in the person-centered service plan for those living or receiving services in provider-owned and controlled residential or non-residential HCB programs. |
| 6. A specific place that can be owned, rented or    |           | x         |            |           |   |
| occupied under a legally enforceable agreement      |           |           |            |           |   |
| by the individual receiving services.               |           |           |            |           | see above response  |
| The individual has, at a minimum, the same          |           | Х         |            |           |   |
| responsibilities and protections from eviction that |           | •         | •          |           |   |
| tenants have under the jurisdiction's               |           |           |            |           |   |
| landlord/tenant law or equivalent.                  |           |           |            |           |   |
| ·   | •         |           |            |           | see above response  |
| 7. Each individual has privacy in their sleeping or |           | Х         |            |           |   |
| living unit:  |           |           |            |           | see above response  |
| units have entrance doors lockable by the           |           | Х         |            |           |   |
| individual with only appropriate staff having keys; |           | •         |            |           | see above response  |
| individuals sharing units have a choice of          |           | Х         |            |           |   |
| roommates in that setting;                          |           |           |            |           | see above response  |
| Individuals have the freedom to furnish and         |           | Х         |            |           |   |
| decorate their sleeping or living units within the  |           | -         |            |           |   |
| lease or other agreement.                           |           |           |            |           |   |
|   |           |           |            |           |   |
| 8. Individuals have the freedom and support to:     |           |           |            |           | see above response  |
| control their own schedules and activities;         |           | Х         |            |           | see above response  |
| have access to food at any time.                    |           | Х         |            |           |   |
|   |           |           |            |           | see above response  |
| 9. Individuals are able to have visitors of their   |           | Х         |            |           |   |

**TBI 1915 (c) Waiver** 

|   |           | Degree of 0 | Compliance             |           |                         |
|---|-----------|-------------|------------------------|-----------|-------------------------|
| Standard/Quality  | Conflicts | Silent      | Partially<br>Compliant | Compliant | Documentation/Citations |
| choosing at any time.                                   |           |             |                        |           |                         |
|   |           |             |                        |           | see above response      |
| 10. The setting is physically accessible to the         |           | Х           |                        |           |                         |
| individual.   |           |             |                        |           |                         |
|   |           |             |                        |           |                         |
| Heightened Scrutiny:                                    |           |             |                        |           |                         |
|   |           | YES         | /NO                    |           |                         |
| 11. Is the setting in a facility that also provides     |           |             | l o                    |           |                         |
| inpatient institutional services?                       |           | IN          | lo                     |           |                         |
|   |           |             |                        |           |                         |
| 12. Is the setting in a facility on the grounds of      |           | N           | lo.                    |           |                         |
| or immediately adjacent to a public institution?        |           | IN          | lo                     |           |                         |
|   |           |             |                        |           |                         |
| 13. Does the setting serve to isolate the individual in |           |             |                        |           |                         |
| receipt of Medicaid-funded HCBS from the broader        |           | N           | lo                     |           |                         |
| community?  |           |             |                        |           |                         |