

****Important Notification Regarding Requirements for Submission of Controlled Substance Dispensed Data****

Dear Pharmacy Proprietor/Supervising Pharmacist:

Article 33 of the Public Health Law and Part 80 of Title 10 the New York Codes, Rules and Regulations require all pharmacies registered within New York State to electronically submit information regarding dispensed controlled substances to the New York State Department of Health Bureau of Narcotic Enforcement (BNE) in a timely and accurate manner. BNE currently accepts data submitted using the American Society for Automation in Pharmacy (ASAP) version 4.0, 4.1, and 4.2. Data submitted to BNE supports the Prescription Monitoring Program (PMP) Registry. It is important to note that data files that are rejected or records that are processed with errors do not display in the PMP Registry and are not available for practitioner or pharmacist review.

Effective October 1, 2014, all data submitted to BNE as a file upload or transfer must be submitted using the ASAP 4.2 standard of reporting. Data submitted using ASAP versions 4.0 and 4.1 will not be accepted on or after October 1, 2014.

The following important changes related to the ASAP 4.2 submission requirements will go into effect October 1, 2014:

Field Name	Data Element	Edit Effective October 1, 2014
Date Sold	DSP17	This field will be required . This field is used to capture the date the controlled substance left the pharmacy (delivery to the patient, not the date it was filled, if the dates differ). Date Filled (DSP05) will continue to be a required data element. If the prescription is filled and delivered to the patient on the same day, the Date Sold and Date Filled fields will contain the same date. An error will result if the field is empty, null or submitted with all zeroes. The date must be a valid date between date written and current date in the following format; 'CCYYMMDD'.
Species Code	PAT20	This field will be required . This field is used to differentiate a prescription for a human being from one prescribed for an animal. An error will result if the field is empty or null. This field must be submitted with a value of '01' (Human) or '02' (Veterinary)
Name of Animal	PAT23	This field will be required if PAT20 = '02' (Veterinary Patient). Alphanumeric characters and may contain "—", "“”" and "。”"
DEA Number Suffix	PRE03	A warning will be generated if this field is left blank or null and the DEA Number field (PRE02) contains an institutional DEA number. This is not a required field for data submission.

In addition to the changes going into effect October 1, 2014, the following requirements are currently in effect for electronic prescriptions for controlled substances. All pharmacies accepting electronic prescriptions for controlled substances must report the following data elements using the ASAP 4.2 standard:

Field Name	Data Element	Description of Edit
Electronic Prescription Reference Number	DSP20	This field is required if AIR01 = 'NY' and AIR02 = 'e' (electronic prescription). The value must be alphanumeric.
Electronic Prescription Order Number	DSP21	This field is required if AIR01 = 'NY' and AIR02 = 'e' (electronic prescription). The value must be alphanumeric.

All reporting organizations should inform their pharmacy vendor service of the new requirements immediately to allow for adequate time to implement the necessary changes to meet the October deadline.

Please refer to BNE's *Submitter's Guide to Electronic Data Transmission Appendix A* for data file specifications that go into effect October 1, 2014. *Appendix A* is available on BNE's webpage at the following link:

http://www.health.ny.gov/professionals/narcotic/electronic_data_transmission/

If you have specific questions related to this letter, you may contact BNE staff by emailing narcotic@health.state.ny.us or by calling 1-866-811-7957. For general questions related to data submissions and error corrections, please visit the BNE webpage at <http://www.health.ny.gov/professionals/narcotic>.

Sincerely,

Terence J. O'Leary
Director
Bureau of Narcotic Enforcement