SPARCS Update on the new Submission Process

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Agenda

1. Update

2. Questions

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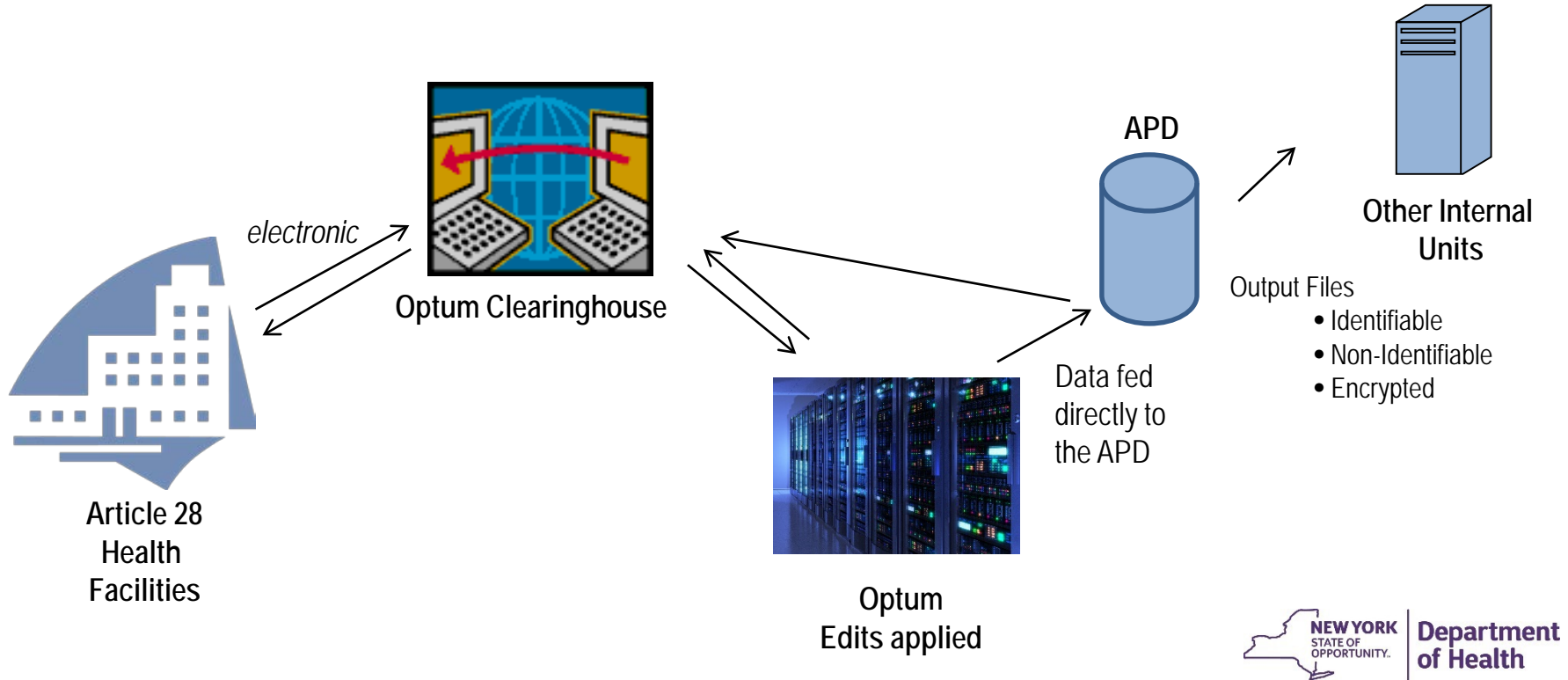# Update on the SPARCS Submission Process

Reasons for Change

Modifications to the original file structure have led to a system which is:

- Inconsistent with claim submission to payers.
- Inefficient, inflexible and difficult to modify.
- Built on outdated technology.
- Not collecting all relevant content available in the transaction.
- At times, delayed processing and response to submitted files.

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SPARCS New Submission Process

- NYSDOH has partnered with Optum Government Solutions, Inc (Optum) to develop a new processing system.

- Optum is providing:

  - data submission process
  - editing process
  - loading process into the All Payer Database (APD)

- The time schedule for building the new system was ambitious (completed in under 12 months)

SPARCS New Submission Process

electronic

Optum Clearinghouse

Article 28
Health
Facilities

Optum
Edits applied

APD

Data fed
directly to
the APD

Output Files
- Identifiable
- Non-Identifiable
- Encrypted

Other Internal
Units

SPARCS New Submission Process

Successes

- The facilities have been provisioned over to the new system

- Over 2.5 million records have been accepted into the system since going live on March 26th

- Compliance reports are up on the public website

- Audit and submission reports are coming soon

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SPARCS New Submission Process

Provisioning Process

- When staff need to be added or deleted; please send an email to: sparcs.submissions@health.ny.gov

- SPARCS staff will enter a ticket in the Optum provisioning system

- The transaction/process will take 1 – 2 business days

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SPARCS New Submission Process

Secure File Transfer Process (SFTP)

- Allows facilities to submit files larger than 5 MB

- Facilities needing to add, modify or drop this service need to contact SPARCS operations via email at: sparcs.submissions@health.ny.gov

- SPARCS staff will submit a ticket to Optum
  - This process takes 2 – 3 weeks to complete
    - Setup the account
    - Work with facility IT staff to establish 'pipeline' on both ends
    - Test process

SPARCS New Submission Process

Secure File Transfer Process (SFTP)

- Known issues that are being worked on:

  - Ability to drop and/or add vendors without causing multiple accounts and viewpoints for the facility

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SPARCS New Submission Process

Edits

- Follows the standard X12 and National Uniform Billing Committee (NUBC) edits.
  - Optum is reviewing the NUBC revenue code edit requiring HCPC codes on certain revenue codes (N0002)
    - Once completed, the edit will be modified, tested and promoted.

- For a couple of New York State data elements, edits are straightforward:
  - Payment Typology => Current version only, version 7
  - Cardiac => valid values

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SPARCS New Submission Process

Help Desk

- Except for those mentioned, issues with the system should be sent to Optum's Help Desk:

    - Call 844-225-3719
        - 8am to 7pm Monday thru Friday

    - Web address:
        - https://optumconnectivityportal.force.com/OptumConnectivityCustomerPortal/s/

SPARCS New Submission Process

Help Desk

- Questions related to program or policy, should be sent to SPARCS operations staff at: sparcs.submissions@health.ny.gov

    - Examples of program or policy questions include:
        - ICD-10 edit matrix
        - Next steps when your system may not have needed elements for a submission
        - Provisioning
        - Compliance
        - Payment mapping

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SPARCS New Submission Process

Transition Compliance

Claim Submission

- 2017 Q4 Data
    - Jun 30th – Facility submissions are 95% complete
    - Sep 30th – Facility submissions are 100% complete
- 2018 Q1 Data
    - Jul 31st – Facility submissions are 95% complete
    - Oct 31st – Facility submissions are 100% complete
- 2018 Q2 Data
    - Aug 31st – Facility submissions are 95% complete
    - Nov 30th – Facility submissions are 100% complete

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SPARCS New Submission Process

Quality Compliance

- With the flow of data coming in now and the completion of the access process for analysts, over the next 6 months the quality of the data reporting will be analyzed.

- This process will be done by both program staff within the Department of Health and by a data quality committee of internal and external experts with health care data.

- Any issues found will be forwarded to the facility for their review and, if necessary, instructions on how to correct the submitted data.

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SPARCS New Submission Process

Reference Documentation Sources

X12 Health Care Service: Data Reporting Implementation Guide
- 5010 837-R Health Care Service: Data Reporting
- Guide ID: X225
- http://store.x12.org/store/healthcare-5010-original-guides

Official UB-04 Data Specifications Manual
- National Uniform Billing Committee (NUBC) / American Hospital Association
- http://www.nubc.org/

SPARCS New Submission Process

Finally

- This presentation is part of a series of presentations and webinars related to the transition to the new system.

- The series is available on our public web site: https://www.health.ny.gov/statistics/sparcs/submission/

- We are working with Optum to have timely information for the weekly updates section.

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QUESTIONS

Contact Information

E-mail: SPARCS.submissions@health.ny.gov

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