



Department  
of Health

# Annual Performance Report State Systemic Improvement Plan December EICC Meeting

December 15, 2022

# State Performance Plan/Annual Performance Report (SPP/APR)

# Brief Overview of SPP/APR

- Required by the Individuals with Disabilities Act (IDEA), the U.S. Department of Education's Office of Special Education Programs (OSEP) has directed all states to have a State Performance Plan/Annual Performance Report (SPP/APR).
- The intent of the SPP/APR is to function as both a progress report for OSEP and a report for the state's stakeholders.
- APR evaluates the state's efforts to implement the requirements and purposes of the Part C Early Intervention Program (EIP) of IDEA.
- APR is submitted annually to OSEP by February 1<sup>st</sup> on state performance on federally established compliance and performance indicators.
- States must report on progress in meeting OSEP's target of 100% for compliance indicators (Indicators 1, 7, and 8) and measurable and rigorous targets set by the state for performance indicators (Indicators 2, 3, 4, 5, 6, 9, 10, and 11).
- The IDEA further requires OSEP to review states' SPP/APRs each year and determine if the state: "Meets requirements" of the IDEA, or "Needs Assistance," "Needs Intervention," or "Needs Substantial Intervention" in implementing the requirements of the IDEA.
- All NYS EIP SPP/APRs are posted at [https://www.health.ny.gov/statistics/community/infants\\_children/early\\_intervention/](https://www.health.ny.gov/statistics/community/infants_children/early_intervention/).
- Indicator 9, on Resolution Sessions, is not applicable to New York State Part C and is not reported in New York's SPP/APR for the Part C Early Intervention Program, because the Part C Early Intervention Program has not adopted Part B resolution procedures.

# APR Compliance Indicators

## Targets 100%

### **Indicator 1. Timely service provision**

Children with service initiated within 30 days after the family provides written consent for the services in the Individualized Family Service Plan (IFSP).

### **Indicator 7. Timely IFSP**

Children with IFSPs initiated within 45 days after referral to the EIP.

### **Indicator 8. Timely transition steps and services**

8A. IFSP with transition steps and services discussed with family at least 90 days prior to toddler's third birthday

8B. Notified the State and Local Education Agency at least 90 days prior to toddler's third birthday

8C. Transition conference conducted at least 90 days prior to the toddler's third birthday

# APR Performance Indicators

## Targets set by states

Indicator 2. Receive early intervention services in home or community-based setting

Indicator 3. Child outcomes

3A. Positive social emotional skills

3B. Acquisition and use of knowledge and skills (including early language/communication)

3C. Use of appropriate behaviors to meet their needs

Indicator 4. Family outcomes

4A. Know their rights

4B. Effectively communicate their children's needs

4C. Help their children develop and learn

Indicator 5. Infants less than 1 with an Individualized Family Service Plan (IFSP)

Indicator 6. Infants and toddlers less than 3 with an IFSP

Indicator 9. Hearing requests that went to resolution sessions (not applicable for NY Part C)

Indicator 10. Mediations held that resulted in mediation agreements

Indicator 11. State Systemic Improvement Plan (SSIP) – positive family outcomes

2020-2021 APR  
Submitted February 1, 2022

# Part C Results-Driven Accountability Determinations

OSEP Determination*	Issued in 2014 (PY 2012-13)	Issued in 2015 (PY 2013-14)	Issued in 2016 (PY 2014-15)	Issued in 2017 (PY 2015-16)	Issued in 2018 (PY 2016-17)	Issued in 2019 (PY 2017-18)	Issued in 2020 (PY 2018-19)	Issued in 2021 (PY 2019-20)	Issued in 2022 (PY 2020-21)
Meets Requirements	36 States	22 States	30 States	30 States <b>NY: 84.38%</b>	31 States	28 States <b>NY: 90.97%</b>	27 States	29 States	30 States <b>NY: 93.75%</b>
Needs Assistance (one year)	16 States <b>NY: 70.00%</b>	21 States	3 States	7 States	8 States <b>NY: 78.13%</b>	12 States	8 States <b>NY: 78.47%</b>	8 States	9 States
Needs Assistance (two or more consecutive years)	Category Not Available	12 States <b>NY: 71.88%</b>	22 States <b>NY: 78.47%</b>	18 States	17 States	17 States	19 States	19 States <b>NY: 78.47%</b>	17 States
Needs Intervention (one year)	4 States	0	0	1 State	0	0	2 States	0	0
Needs Substantial Intervention	Category Not Available	1 State	1 State	0	0	0	0	0	0

\*Meets Requirements = 80% and above  
Needs Assistance = 60% to 80%  
States and Territories

<https://sites.ed.gov/idea/>

<https://sites.ed.gov/idea/files/ideafactsheet-determinations-2021.pdf>

# New York

## 2022 Part C Results-Driven Accountability Matrix

### Results-Driven Accountability Percentage and Determination<sup>1</sup>

Percentage (%)	Determination
93.75	Meets Requirements

2021 Determination: 78.47

Needs Assistance

### Results and Compliance Overall Scoring

	Total Points Available	Points Earned	Score (%)
Results	8	5 7	62.5 87.5
Compliance	18	17 18	94.4 100

Meets Requirements = 80% and above

Needs Assistance = 60% to 80%



## 2022 Part C Compliance Matrix

Part C Compliance Indicator <sup>6</sup>	Performance (%)	Full Correction of Findings of Noncompliance Identified in FFY 2019	Score
Indicator 1: Timely service provision	90.13	Yes	1 2
Indicator 7: 45-day timeline	96.64	Yes	2
Indicator 8A: Timely transition plan	99.73	Yes	2
Indicator 8B: Transition notification	96.27	Yes	2
Indicator 8C: Timely transition conference	98.12	Yes	2
Timely and Accurate State-Reported Data	100		2
Timely State Complaint Decisions	100		2
Timely Due Process Hearing Decisions	100		2
Longstanding Noncompliance			2
Specific Conditions	None		
Uncorrected identified noncompliance	None		

## I. Data Quality

(a) Data Completeness: The percent of children included in your State's 2020 Outcomes Data (Indicator C3)

Number of Children Reported in Indicator C3 (i.e., outcome data)	5319
Number of Children Reported Exiting in 618 Data (i.e., 618 exiting data)	26877
Percentage of Children Exiting who are Included in Outcome Data (%)	N/A
Data Completeness Score <sup>2</sup>	2

(b) Data Anomalies: Anomalies in your State's FFY 2020 Outcomes Data

Data Anomalies Score <sup>3</sup>	1	2
-----------------------------------	---	---

## II. Child Performance

(a) Data Comparison: Comparing your State's 2020 Outcomes Data to other States' 2020 Outcomes Data

Data Comparison Score <sup>4</sup>	1
------------------------------------	---

(b) Performance Change Over Time: Comparing your State's FFY 2020 data to your State's FFY 2019 data

Performance Change Score <sup>5</sup>	1	2
---------------------------------------	---	---

Scoring Percentages for the 10th and 90th Percentile for Each Outcome and Summary Statement, FFY 2020

Percentiles	Outcome A SS1	Outcome A SS2	Outcome B SS1	Outcome B SS2	Outcome C SS1	Outcome C SS2
10	43%	34.95%	53.26%	26.46%	57.28%	36.45%
90	85.22%	72.37%	80.57%	57.54%	84.71%	78.3%

Child outcome A  
Positive social emotional skills

Data Comparison Score	Total Points Received Across SS1 and SS2
0	0 through 4 points
1	5 through 8 points
2	9 through 12 points

Child outcome B  
Acquisition and use of knowledge and skills

Your State's Summary Statement Performance FFY 2020

Summary Statement (SS)	Outcome A: Positive Social Relationships SS1	Outcome A: Positive Social Relationships SS2	Outcome B: Knowledge and Skills SS1	Outcome B: Knowledge and Skills SS2	Outcome C: Actions to meet needs SS1	Outcome C: Actions to meet needs SS2
Performance (%)	73.24	41.74	80.06	39.82	79.26	37.43
Points	1	1	1	1	1	0 1

Child outcome C  
Use of appropriate behaviors to meet their needs

Total Points Across SS1 and SS2(*)	5	6
------------------------------------	---	---

Your State's Data Comparison Score	1
------------------------------------	---

## Comparing This Year's Data to Last Year's

Summary Statement/ Child Outcome	FFY 2019 N	FFY 2019 Summary Statement (%)	FFY 2020 N	FFY 2020 Summary Statement (%)	Difference between Percentages (%)	Std Error	z value	p-value	p<=.05	Score: 0 = significant decrease 1 = no significant change 2 = significant increase
SS1/Outcome A: Positive Social Relationships	5791	71.44	4668	73.24	1.8	0.0088	2.054	0.04	Yes	2
SS1/Outcome B: Knowledge and Skills	6186	78.1	4935	80.06	1.97	0.0077	2.5369	0.0112	Yes	2
SS1/Outcome C: Actions to meet needs	6292	77.15	5073	79.26	2.12	0.0078	2.7237	0.0065	Yes	2
SS2/Outcome A: Positive Social Relationships	6652	39.33	5319	41.74	2.41	0.009	2.6688	0.0076	Yes	0 2
SS2/Outcome B: Knowledge and Skills	6652	38.08	5319	39.82	1.74	0.009	1.9402	0.0524	No	0 1
SS2/Outcome C: Actions to meet needs	6652	36.61	5319	37.43	0.83	0.0089	0.9302	0.3523	No	1

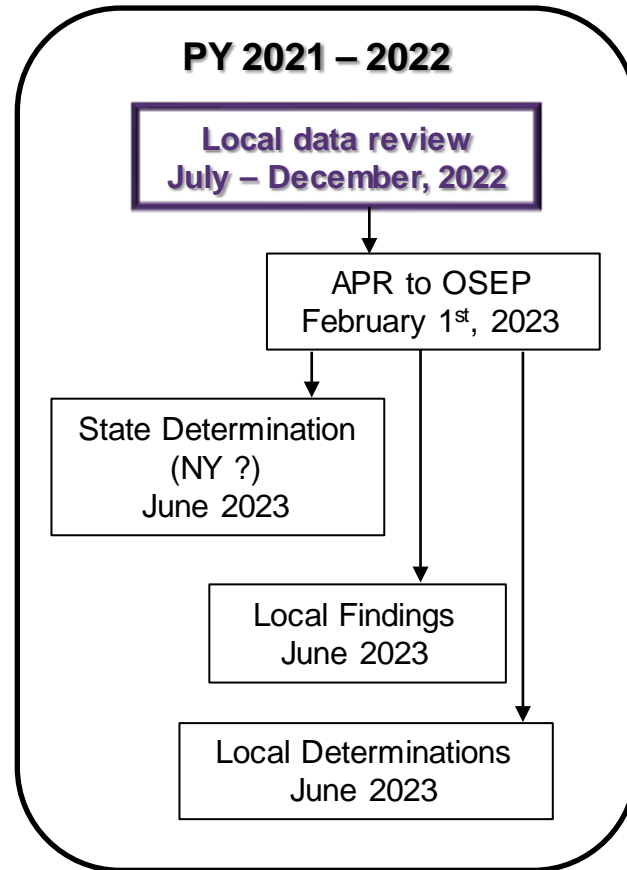
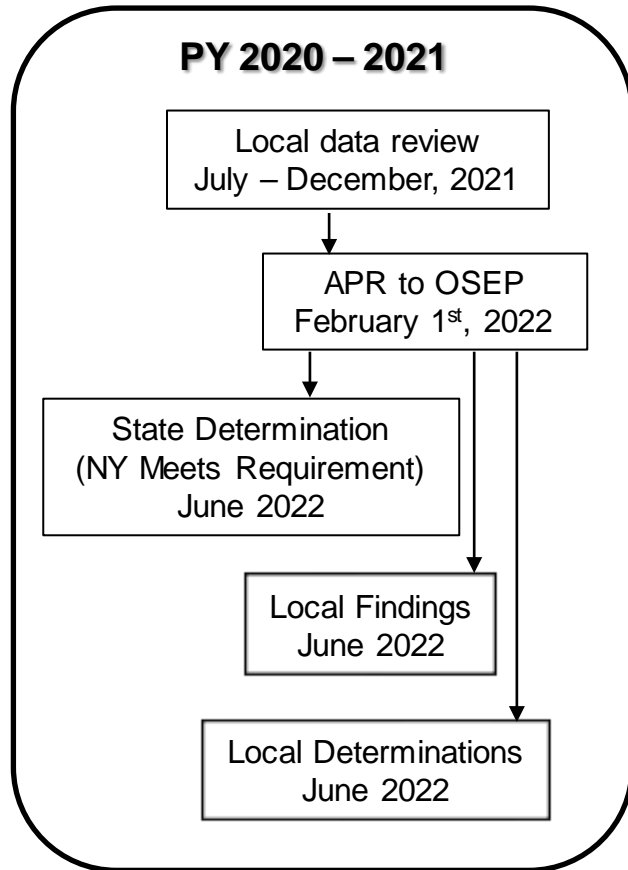
Indicator 2 Overall Performance Change Score	Cut Points for Change Over Time in Summary Statements Total Score
0	Lowest score through 3
1	4 through 7
2	8 through highest

Total Points Across SS1 and SS2	7	10
---------------------------------	---	----

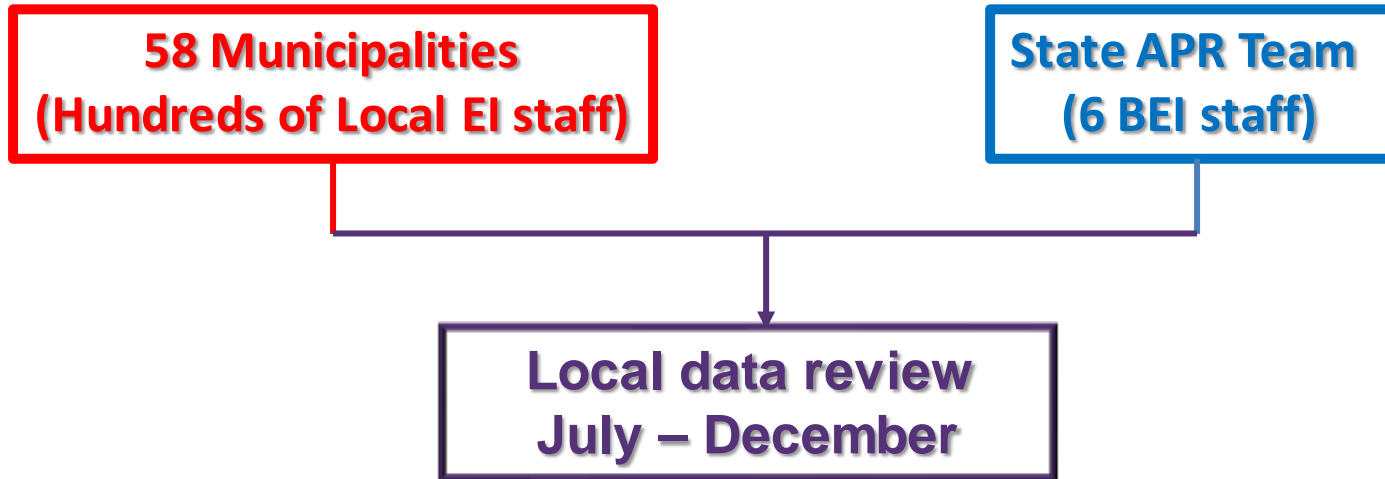
Your State's Performance Change Score	1	2
---------------------------------------	---	---

PY 2021-2022 APR  
Due February 1, 2023

# A full APR cycle



# APR Local Data Review Effort for Complete and Accurate Reporting



# APR Indicators

1. Timely services
2. Receive early intervention services in home or community-based setting
3. Child outcomes
4. Family outcomes
5. Infants less than 1 with an Individualized Family Service Plan (IFSP)
6. Infants and toddlers less than 3 with an IFSP
7. Timely IFSP
8. Timely transition steps and services
9. Hearing requests that went to resolution sessions (not applicable for NY)
10. Mediations held that resulted in mediation agreements
11. State Systemic Improvement Plan (SSIP) – positive family outcomes



# Indicator 1: Timely Service Initiation

- OSEP Definition: Percent of infants and toddlers with IFSPs who receive the early intervention services on their IFSPs in a timely manner
- NYS has set the benchmark for timely services = 30 days
- Data are from NYEIS
- Supplemented by delay reasons provided by the municipalities for services that did not occur within 30 days from the IFSP meeting date or the service authorization amendment start date

# Updated Delay Reasons for Compliance Indicators

## Indicator 1 – Timely Service Initiation

### Non-discountable delay reasons:

- Provider scheduling problem
- Provider capacity issue
- **Telehealth refused and no in-person service available within 30 days**
- **EIO/D and/or municipal data entry error and/or delay**
- **Service coordinator data entry error and/or delay**
- **Transportation issue**

### Discountable delay reasons due to exceptional family circumstances:

- Family – problem scheduling appointment
- Family – missed/canceled appointment
- Family – delayed response/consent for appointment
- **Family exited EIP prior to timely service due date**
- Weather/other emergency declared
- COVID-19 (effective 1/1/2020)\*
- Natural disaster

\*As advised by OSEP, delays in service provision caused by the public health response to the COVID-19 pandemic can meet the definition of exceptional family circumstances, as defined under 34 C.F.R. § 303.310(b), when the child and family are effectively unavailable.

# Indicator 1: Timely Service Initiation

Program Year	Sampled Children (Denominator)	Children with Timely Services	Children with Discountable Delays**	Children with Timely and Discountable Delayed Services (Numerator)	Percent	Target	National Mean***
2013-2014	10,700	8,345	1,158	9,503	88.8%	100%	96%
2014-2015	11,165	8,739	1,250	9,989	89.5%	100%	95%
2015-2016	12,027	9,004	1,419	10,423	86.7%	100%	94%
2016-2017	11,617	8,509	1,452	9,961	85.7%	100%	95%
2017-2018	11,141	8,130	1,476	9,606	86.2%	100%	95%
2018-2019	11,603	8,033	1,562	9,595	82.7%	100%	95%
2019-2020	8,578	4,805	2,323	7,128	83.1%	100%	95%
2020-2021	10,613	7,029	2,536	9,565	90.1%	100%	96%
2021-2022*	12,457	6,594	2,162	8,756	70.3%	100%	N/A

\*Preliminary. January – March 2022 is used to represent PY2021-2022.

\*\*In PY2021-2022, there were 49 children with their service initiation delayed by COVID-19.

N/A – Not Available

\*\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

NYS APR Indicator 1 - Timeliness of service initiation (PY2021-2022*)	Services		Children with at least one service in the category		Children to be reported in the APR	
	Count	Percent	Count	Percent	Count	Percent
Timely service initiated within 30 days	19,843	69.11%	11,083	88.97%	6,594	52.93%
Service delayed due to exceptional family circumstances	3,601	12.54%	2,569	20.62%	2,162	17.36%
<b>Timely services + Discountable delays</b>	<b>23,444</b>	<b>81.65%</b>	<b>11,743</b>	<b>94.27%</b>	<b>8,756</b>	<b>70.29%</b>
<b>Non-discountable delay reason</b>						
<b>Telehealth refused and no in person service available</b>	<b>4,010</b>	<b>13.97%</b>	<b>2808</b>	<b>22.54%</b>	<b>2,808</b>	<b>22.54%</b>
Provider capacity issue	908	3.16%	742	5.96%	742	5.96%
Provider scheduling problem/wait list	164	0.57%	155	1.24%	155	1.24%
Service coordinator data entry error and/or delay	118	0.41%	103	0.83%	103	0.83%
EIO/D and/or municipal data entry error and/or delay	53	0.18%	45	0.36%	45	0.36%
Transportation issue	17	0.06%	14	0.11%	14	0.11%
<b>Non-discountable delays</b>	<b>5,270</b>	<b>18.35%</b>	<b>3,701</b>	<b>29.71%</b>	<b>3,701</b>	<b>29.71%</b>
Statewide total	28,714	100.00%	12,457	>100.00%	12,457	100.00%

\*Preliminary. January – March 2022 is used to represent PY2021-2022.

Service type delayed by provider capacity issue	PY 2021-2022*		
	Total consented	Delayed	%
Speech Language	6,897	305	4.4%
Special Instruction	5,359	169	3.2%
Service Coordination	8,212	157	1.9%
OT	3,688	99	2.7%
PT	2,688	90	3.3%
Family Training	589	47	8.0%
Group	855	28	3.3%
Nutrition	65	5	7.7%
Social Work	191	3	1.6%
Assistive Technology	104	3	2.9%
Family Counseling	7	1	14.3%
Vision	30	1	3.3%

Service type delayed by telehealth refused and no in person service available	PY 2021-2022*		
	Total consented	Delayed	%
Speech Language	6,897	1,515	22.0%
Special Instruction	5,359	1,022	19.1%
OT	3,688	869	23.6%
PT	2,688	512	19.0%
Family Training	589	51	8.7%
Social Work	191	22	11.5%
Assistive Technology	104	11	10.6%
Vision	30	3	10.0%
Nutrition	65	2	3.1%
Family Counseling	7	1	14.3%
Family Support	9	1	11.1%
Audiology	17	1	5.9%

\*Preliminary. January – March 2022 is used to represent PY2021-2022.

# Indicator 2: Natural Environment

Program Year	Total Children Enrolled on (Oct. 1 <sup>st</sup> )	Children Served in Home or Community	Percent	Target	National Mean**
2013-2014	28,325	26,537	93.7%	90.0%	97%
2014-2015	28,852	27,043	93.7%	90.0%	97%
2015-2016	30,025	28,150	93.8%	90.0%	97%
2016-2017	30,317	28,119	92.7%	90.0%	98%
2017-2018	31,097	28,704	92.3%	90.0%	98%
2018-2019	31,202	28,849	92.5%	90.0%	98%
2019-2020	31,152	28,569	91.7%	90.0%	98%
2020-2021	24,988	23,882	95.6%	91.0%	98%
2021-2022	29,550	27,470	93.0%	91.0%	N/A

N/A – Not Available. TBD – To Be Determined.

\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

# OSEP Definitions for Indicator 3

## Child Outcomes

- 3A: Percent of infants and toddlers with IFSPs who demonstrate improved positive social-emotional skills (including social relationships)
- 3B: Percent of infants and toddlers with IFSPs who demonstrate improved acquisition and use of knowledge and skills (including early language/communication)
- 3C: Percent of infants and toddlers with IFSPs who demonstrate improved use of appropriate behaviors to meet their needs

# Indicator 3: Child Outcomes

- Based on a sample of infants and toddlers each year
- Infants and toddlers must receive at least 6 months of EI services
- Child Outcome Summary (COS) form completed at initial IFSP for entry COS form and close to transition for exit COS form
  - Scores from 1 to 7 for each of the three outcome areas

Completely		Somewhat		Emerging		Not Yet
7	6	5	4	3	2	1

- Summarize the entry and exit scores into OSEP progress categories

a. Infants and toddlers who did not improve functioning

b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers

c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it

d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers

e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers



# Summary Statements

- Summary Statement 1: the percent of children who made improvements in the area, among children who **entered** the early intervention program **below** age expectation level (excludes children who entered at age expectation in that area)

$$\frac{c + d}{a + b + c + d}$$

- Summary Statement 2: the percent of children who **exited** the early intervention program **at** age expectation among all children in the sample

$$\frac{d + e}{a + b + c + d + e}$$

## Indicator 3A: Social Emotional

Program Year	Total Children Surveyed	% OSEP Category a	% OSEP Category b	% OSEP Category c	% OSEP Category d	% OSEP Category e
2013-2014	452	4.6%	28.1%	27.0%	18.6%	21.7%
2014-2015	1,096	3.9%	22.4%	28.8%	25.4%	19.4%
2015-2016	1,472	6.9%	22.6%	25.5%	26.1%	19.0%
2016-2017	1,315	8.3%	25.9%	24.9%	24.0%	17.0%
2017-2018	1,357	6.9%	22.3%	26.1%	26.5%	18.3%
2018-2019	2,951	8.3%	18.8%	29.3%	27.2%	16.5%
2019-2020	6,652	7.3%	17.5%	35.8%	26.4%	12.9%
2020-2021	5,319	2.4%	21.1%	34.8%	29.5%	12.2%
2021-2022*	4,553	2.2%	21.0%	35.4%	28.1%	13.3%

a. Infants and toddlers who did not improve functioning

b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers

c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it

d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers

e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers

\*Preliminary data.

# Indicator 3A1: Social Emotional

## Summary Statement 1

Program Year	Total Children Below Age Expectation	Children with Improved Social Emotional Skills	Percent	Target	National Mean**
2013-2014	354	206	58.2%	58.2%	65%
2014-2015	883	594	67.3%	59.0%	66%
2015-2016	1,193	759	63.6%	60.0%	65%
2016-2017	1,092	643	58.9%	61.0%	66%
2017-2018	1,109	713	64.3%	62.0%	66%
2018-2019	2,464	1,666	67.6%	63.0%	66%
2019-2020	5,791	4,137	71.4%	64.0%	64%
2020-2021	4,468	3,419	73.2%	66.0%	64%
2021-2022	3,947	2,894	73.3%	66.5%	N/A

Child outcome A:  
Positive social  
emotional skills

SS1: the percent of  
children who made  
improvements

$$\frac{c + d}{a + b + c + d}$$

\*Preliminary data.

N/A – Not Available. TBD – To Be Determined.

\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

# Indicator 3A2: Social Emotional

## Summary Statement 2

Program Year	Total Children Surveyed	Children with Social Emotional Skills at age expectation	Percent	Target	National Mean**
2013-2014	452	182	40.3%	40.3%	59%
2014-2015	1,096	491	44.8%	41.0%	59%
2015-2016	1,472	663	45.0%	42.0%	59%
2016-2017	1,315	538	40.9%	43.0%	58%
2017-2018	1,357	607	44.7%	44.0%	57%
2018-2019	2,951	1,289	43.7%	45.0%	56%
2019-2020	6,652	2,616	39.3%	45.0%	54%
2020-2021	5,319	2,220	41.7%	40.3%	53%
2021-2022	4,553	1,887	41.5%	40.5%	N/A

Child outcome A:  
Positive social  
emotional skills

SS2: the percent of  
children exited at  
age expectation  
among all children  
in the sample

$$\frac{d + e}{a + b + c + d + e}$$

\*Preliminary data.

N/A – Not Available. TBD – To Be Determined.

\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

# Indicator 3B: Knowledge and Skills

Program Year	Total Children Surveyed	% OSEP Category a	% OSEP Category b	% OSEP Category c	% OSEP Category d	% OSEP Category e
2013-2014	452	4.2%	22.3%	34.7%	31.0%	7.7%
2014-2015	1,096	3.3%	19.3%	37.2%	28.9%	11.2%
2015-2016	1,470	5.7%	16.5%	36.0%	29.8%	12.0%
2016-2017	1,315	5.8%	19.2%	33.2%	30.3%	11.6%
2017-2018	1,355	6.6%	16.5%	37.6%	29.1%	10.3%
2018-2019	2,951	6.9%	16.2%	36.7%	31.2%	9.1%
2019-2020	6,652	5.8%	14.5%	41.6%	31.1%	7.0%
2020-2021	5,319	2.2%	16.3%	41.7%	32.6%	7.2%
2021-2022*	4,553	2.1%	17.7%	42.2%	31.1%	6.9%

a. Infants and toddlers who did not improve functioning

b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers

c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it

d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers

e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers

\*Preliminary data.

# Indicator 3B1: Knowledge and Skills

## Summary Statement 1

Program Year	Total Children Below Age Expectation	Children with Improved Knowledge	Percent	Target	National Mean**
2013-2014	417	297	71.2%	71.2%	71%
2014-2015	973	725	74.5%	71.5%	71%
2015-2016	1,294	967	74.7%	72.0%	71%
2016-2017	1,163	835	71.8%	72.5%	72%
2017-2018	1,216	903	74.3%	73.0%	71%
2018-2019	2,682	2,002	74.7%	73.5%	72%
2019-2020	6,186	4,831	78.1%	74.0%	69%
2020-2021	4,935	3,951	80.1%	74.5%	69%
2021-2022*	4,239	3,338	78.7%	75.0%	N/A

Child outcome B:  
Acquisition and use of  
knowledge and skills  
(including early  
language/  
communication)

SS1: the percent of  
children who made  
improvements

$$\frac{c + d}{a + b + c + d}$$

\*Preliminary data.

N/A – Not Available. TBD – To Be Determined.

\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

# Indicator 3B2: Knowledge and Skills

## Summary Statement 2

Program Year	Total Children Surveyed	Children with Knowledge at age expectation	Percent	Target	National Mean**
2013-2014	452	175	38.7%	38.7%	51%
2014-2015	1,096	440	40.1%	39.0%	50%
2015-2016	1,470	614	41.8%	40.0%	50%
2016-2017	1,315	550	41.8%	41.0%	48%
2017-2018	1,355	533	39.3%	42.0%	48%
2018-2019	2,951	1,189	40.3%	43.0%	47%
2019-2020	6,652	2,533	38.1%	43.0%	45%
2020-2021	5,319	2,118	39.8%	39.2%	43%
2021-2022	4,553	1,729	38.0%	39.4%	N/A

\*Preliminary data.

N/A – Not Available. TBD – To Be Determined.

\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

Child outcome B:  
Acquisition and use  
of knowledge and  
skills  
(including early  
language/  
communication)

SS2: the percent of  
children exited at age  
expectation among  
all children in the  
sample

$$\frac{d + e}{a + b + c + d + e}$$

# Indicator 3C: Appropriate Behaviors

Program Year	Total Children Surveyed	% OSEP Category a	% OSEP Category b	% OSEP Category c	% OSEP Category d	% OSEP Category e
2013-2014	452	4.9%	22.8%	34.7%	29.9%	7.7%
2014-2015	1,096	3.4%	22.1%	33.9%	30.0%	10.6%
2015-2016	1,459	7.0%	17.5%	36.3%	31.9%	7.3%
2016-2017	1,317	7.7%	17.1%	39.0%	30.9%	5.3%
2017-2018	1,356	6.9%	18.1%	38.0%	31.7%	5.2%
2018-2019	2,951	8.4%	15.1%	38.2%	32.1%	6.2%
2019-2020	6,652	6.5%	15.1%	41.8%	31.2%	5.4%
2020-2021	5,319	2.6%	17.2%	42.8%	32.8%	4.6%
2021-2022*	4,553	2.4%	17.2%	45.1%	30.4%	4.9%

a. Infants and toddlers who did not improve functioning

b. Infants and toddlers who improved functioning but not sufficient to move nearer to functioning comparable to same-aged peers

c. Infants and toddlers who improved functioning to a level nearer to same-aged peers but did not reach it

d. Infants and toddlers who improved functioning to reach a level comparable to same-aged peers

e. Infants and toddlers who maintained functioning at a level comparable to same-aged peers

\*Preliminary data.



# Indicator 3C1: Appropriate Behaviors

## Summary Statement 1

Program Year	Total Children Below Age Expectation	Children with Improved Behavior	Percent	Target	National Mean**
2013-2014	417	292	70.0%	70.0%	72%
2014-2015	980	701	71.5%	70.5%	73%
2015-2016	1,353	995	73.5%	71.0%	72%
2016-2017	1,247	920	73.8%	71.5%	74%
2017-2018	1,285	945	73.5%	72.0%	74%
2018-2019	2,767	2,073	74.9%	72.5%	74%
2019-2020	6,292	4,854	77.1%	73.0%	72%
2020-2021	5,073	4,021	79.3%	75.5%	72%
2021-2022	4,331	3,438	79.4%	75.75%	N/A

Child outcome C:  
Use of appropriate  
behaviors to meet  
their needs

SS1: the percent of  
children who made  
improvements

$$\frac{c + d}{a + b + c + d}$$

\*Preliminary data.

N/A – Not Available. TBD – To Be Determined.

\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

# Indicator 3C2: Appropriate Behaviors

## Summary Statement 2

Program Year	Total Children Surveyed	Children with Behavior at age expectation	Percent	Target	National Mean**
2013-2014	452	170	37.6%	37.6%	58%
2014-2015	1,096	445	40.6%	38.0%	59%
2015-2016	1,459	572	39.2%	39.0%	59%
2016-2017	1,317	477	36.2%	40.0%	58%
2017-2018	1,356	501	36.9%	41.0%	58%
2018-2019	2,951	1,130	38.3%	42.0%	58%
2019-2020	6,652	2,435	36.6%	42.0%	55%
2020-2021	5,319	1,991	37.4%	37.0%	53%
2021-2022	4,553	1,605	35.3%	37.15%	N/A

Child outcome C:  
Use of appropriate behaviors to meet their needs

SS2: the percent of children exited at age expectation among all children in the sample

$$\frac{d + e}{a + b + c + d + e}$$

\*Preliminary data.

N/A – Not Available. TBD – To Be Determined.

\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2018.pdf>

# Family Outcomes

# Indicator 4: Family Outcomes

## OSEP Definitions

- 4A: Percent of families participating in Part C who report that early intervention services have helped the family know their rights
- 4B: Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs
- 4C: Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn

# Family Outcomes Survey

- Family outcomes are collected using a survey sent to families as they are exiting the Early Intervention Program (EIP)
- A National TA Center\* developed the survey – adapted by NYS
- NYS has collected family outcomes since 2008
- Children received at least six months of services
- Reporting period: July 1, 2021 – June 30, 2022

\*National Center for Special Education Accountability and Monitoring (NCSEAM)

[https://www.health.ny.gov/community/infants\\_children/early\\_intervention/outcomes\\_survey/family/docs/survey.pdf](https://www.health.ny.gov/community/infants_children/early_intervention/outcomes_survey/family/docs/survey.pdf)

## New York State Early Intervention Program Family Outcome Survey

Please check one answer about the services your child and family received in the Early Intervention Program. Please complete either the paper survey or the online survey, not both. Your input will help us to improve the quality of services for all children and families.

Early Intervention services have helped me and/or my family:

	Very Strongly Agree	Strongly Agree	Disagree	Strongly Disagree	Does Not Apply
1. Understand how the Early Intervention system works.					
2. Understand the roles of the people who work with my child and family.					
3. Communicate more effectively with the people who work with my child and family.					
4. Be able to evaluate how much progress my child is making.					
5. Know about my child's and family's rights concerning Early Intervention services.					
6. Get the services that my child and family need.					
7. Feel that I can get the services and supports that my child and family need.					
8. Know where to go for support to meet my child's needs.					
9. Know where to go for support to meet my family's needs.					
10. Understand my child's special needs.					
11. Feel more confident in my skills as a parent.					
12. Feel that my efforts are helping my child.					
13. Be more effective in managing my child's behavior.					
14. Make changes in family routines that will benefit my child with special needs.					
15. Do things with and for my child that are good for my child's development.					
16. Do activities that are good for my child even in times of stress.					
17. Improve my family's quality of life.					
18. Keep up friendships for my child and family.					
19. Feel that my child will be accepted and welcomed in the community.					
20. Feel that my family will be accepted and welcomed in the community.					
21. Know about services in the community.					
22. Participate in typical activities for children and families in my community.					
23. Think back on your child and family's time in the Early Intervention Program. If your child received Telehealth services, they worked well. Telehealth services mean your child received services through a computer, tablet, or smartphone.					
24. Think back on your child and family's time in the Early Intervention Program. Telehealth services may not have been available to your child and family. If they were available, would you have used them? Telehealth services mean your child received services through a computer, tablet, or smartphone.	Yes	No	Maybe		

## Changes in PY 2019-2020 and PY 2020-2021 to Improve Number of Returned Family Outcome Surveys

1. 36 survey items => 24 survey items
2. 11<sup>th</sup> grade reading level => 8<sup>th</sup> grade reading level
3. Paper survey upon request in addition to online => paper survey with returning postage readily available in addition to online
4. Four batches of surveys sent upon families exiting the EIP

Program Year	# Surveys Sent	# Surveys Delivered	# Surveys Returned			% Return Rate
			Online	Paper	Total Returned	
PY2019-2020	20,771	19,173	1,305	1,725	3,030	14.59%
PY2020-2021	17,700	16,639	1,169	1,316	2,485	14.04%
PY2021-2022*	18,217	17,638	882	810	1,692	9.6%

\*Preliminary data.

[https://www.health.ny.gov/community/infants\\_children/early\\_intervention/outcomes\\_survey/family/docs/survey.pdf](https://www.health.ny.gov/community/infants_children/early_intervention/outcomes_survey/family/docs/survey.pdf)

[https://www.health.ny.gov/community/infants\\_children/early\\_intervention/outcomes\\_survey/family/](https://www.health.ny.gov/community/infants_children/early_intervention/outcomes_survey/family/)

## New York State Early Intervention Family Outcome Survey

### 22 IFS Items from NCSEAM + 2 Telehealth Survey Items

Question: Early Intervention services helped me and/or my family:	% of Positive Responses
15. Do things with and for my child that are good for my child's development.	97.07%
2. Understand the roles of the people who work with my child and family.	96.93%
1. Understand how the Early Intervention system works.	96.33%
12. Feel that my efforts are helping my child.	96.17%
10. Understand my child's special needs.	94.77%
4. Be able to evaluate how much progress my child is making.	94.38%
3. Communicate more effectively with the people who work with my child and family.	94.35%
14. Make changes in family routines that will benefit my child with special needs.	93.53%
16. Do activities that are good for my child even in times of stress.	93.46%
6. Get the services that my child and family need.	93.31%
19. Feel that my child will be accepted and welcomed in the community.	93.04%
11. Feel more confident in my skills as a parent.	92.88%
13. Be more effective in managing my child's behavior.	92.65%
17. Improve my family's quality of life.	92.65%
7. Feel that I can get the services and supports that my child and family need.	92.64%
20. Feel that my family will be accepted and welcomed in the community.	92.25%
5. Know about my child's and family's rights concerning Early Intervention services.	92.09%
8. Know where to go for support to meet my child's needs.	90.64%
9. Know where to go for support to meet my family's needs.	89.10%
18. Keep up friendships for my child and family.	86.48%
21. Know about services in the community.	83.43%
22. Participate in typical activities for children and families in my community.	80.88%

IFS – Impact of Early Intervention Services on Your Family Scale  
 NCSEAM – National Center for Special Education Accountability Monitoring

Positive % = Very Strongly Agree % + Strongly Agree % + Agree %

\*Preliminary data.

#### Telehealth survey items:

23. Think back on your child and family's time in the Early Intervention Program. If your child received Telehealth services, they worked well. Telehealth services mean your child received services through a computer, tablet, or smartphone.

Positive %\* = 64%

24. Think back on your child and family's time in the Early Intervention Program. Telehealth services may not have been available to your child and family. If they were available, would you have used them? Telehealth services mean your child received services through a computer, tablet, or smartphone.

Yes %\* = 47%

No %\* = 36%

Maybe %\* = 16%

# Equity in Family Outcomes



# Strategies to Improve Representativeness

- Identifying additional survey methodology changes to support increasing survey return response rates and representativeness of family outcomes data
- Identifying and strengthening relationships with community partners and targeted community groups, to enhance family engagement from all families
- Developing outreach materials that promote family engagement and feedback



# Equity of Family Outcomes

## **Reported Representativeness in APR:**

- Race
- Ethnicity
- Age at referral
- Sex

## **Added to APR in 2020-2021:**

- Region
- Language

# Equity of Family Outcomes

## Reported Representativeness in APR – Race

Family Outcome Survey by Race		PY2020-2021				PY2021-2022*			
		White	Black	Other	Total	White	Black	Other	Total
All families (population) vs. families returned survey (returned)	Population	9,454	1,774	6,472	17,700	9,930	1,764	6,549	18,243
	Expected to return	1,327	249	909	2,485	921	164	607	1,692
	Returned	1,480	183	822	2,485	1,072	95	525	1,692
	Returned %**	16%	10%	13%	14%	11%	5%	8%	9%
4A Know Their Rights	Positive response %	95%	95%	95%	95%	93%	88%	92%	92%
4B Effective Communication	Positive response %	96%	95%	96%	96%	95%	92%	94%	94%
4C Help Develop and Learn	Positive response %	93%	88%	94%	93%	94%	92%	95%	94%

\*Preliminary data.

\*\*The highlighted difference was statistically significant with  $p < .0001$ .

# Equity of Family Outcomes

## Reported Representativeness in APR – Ethnicity

Family Outcome Survey by Ethnicity		PY2020-2021			PY2021-2022*		
		Hispanic	Non-Hispanic	Total	Hispanic	Non-Hispanic	Total
All families (population) vs. families returned survey (returned)	Population	4,593	13,107	17,700	4605	13638	18243
	Expected to return	645	1,840	2,485	427	1265	1692
	Returned	553	1,932	2,485	328	1364	1692
	Returned %**	12%	15%	14%	7%	10%	9%
4A Know Their Rights	Positive response %	95%	95%	95%	92%	92%	92%
4B Effective Communication	Positive response %	96%	96%	96%	94%	94%	94%
4C Help Develop and Learn	Positive response %	94%	93%	93%	95%	94%	94%

\*Preliminary data.

\*\*The highlighted difference was statistically significant with  $p < .0001$ .

# Equity of Family Outcomes Reported Representativeness in APR – Sex

Family Outcome Survey by Sex		PY2020-2021			PY2021-2022*		
		Male	Female	Total	Male	Female	Total
All families (population) vs. families returned survey (returned)	Population	11,834	5,866	17,700	12184	6059	18243
	Expected to return	1,661	824	2,485	1130	562	1692
	Returned	1,697	788	2,485	1185	507	1692
	Returned %**	14%	13%	14%	10%	8%	9%
4A Know Their Rights	Positive response %	95%	96%	95%	92%	92%	92%
4B Effective Communication	Positive response %	96%	97%	96%	94%	95%	94%
4C Help Develop and Learn	Positive response %	93%	94%	93%	95%	93%	94%

\*Preliminary data.

\*\*The highlighted difference was statistically significant with  $p < .003$ .

# Equity of Family Outcomes

## Reported Representativeness in APR – Age at Referral

Age at referral in months	PY2020-2021		PY2021-2022*	
	N	Mean	N	Mean
Families not returned survey	15,215	18.13	16,551	19.15
Families returned survey	2,485	18.27	1,692	19.55
4A - Know Their Rights				
Families with positive response	2,334	18.24	1,546	19.44
Families with negative response	113	18.76	133	20.77
4B - Effective Communication				
Families with positive response	2,352	18.25	1,569	19.56
Families with negative response	97	18.58	93	20.44
4C - Help Develop and Learn				
Families with positive response	2,202	18.20	1,547	19.62
Families with negative response	163	19.04	95	19.88

\*Preliminary data.

# Equity of Family Outcomes Proposed to Be Added in APR – Region

Family Outcome Survey by Region		PY2020-2021			PY2021-2022*		
		NYC	ROS	Total	NYC	ROS	Total
All families (population) vs. families returned survey (returned)	Population	8,383	9,317	17,700	8129	10114	18243
	Expected to return	1,177	1,308	2,485	748	938	1692
	Returned	877	1,608	2,485	597	1095	1962
	Returned %**	10%	17%	14%	7%	11%	9%
4A Know Their Rights	Positive response %	95%	96%	95%	92%	92%	92%
4B Effective Communication	Positive response %**	95%	97%	96%	93%	95%	94%
4C Help Develop and Learn	Positive response %	93%	93%	93%	94%	94%	94%

\*Preliminary data.

\*\*The highlighted difference was statistically significant with  $p < .0001$ .

NYC – New York City. ROS – Rest Of State.

# Equity of Family Outcomes Proposed to Be Added in APR – Language

Family Outcome Survey by Language***		PY2020-2021				PY2021-2022*			
		English	Spanish	Other	Total	English	Spanish	Other	Total
All families (population) vs. families returned survey (returned)	Population	13,622	2,024	2054	17,700	14197	1979	2067	18243
	Expected to return	1,913	284	288	2,485	1317	183	192	1692
	Returned	2,030	241	214	2,485	1354	154	184	1692
	Returned %**	15%	12%	10%	10%	9%	9%	8%	9%
4A Know Their Rights	Positive response %**	95%	94%	97%	95%	91%	97%	94%	92%
4B Effective Communication	Positive response %	96%	97%	98%	96%	94%	96%	95%	94%
4C Help Develop and Learn	Positive response %	93%	95%	94%	93%	94%	97%	95%	94%

\*Preliminary data.

\*\*The highlighted difference was statistically significant with  $p < .03$ .

\*\*\*The language is based on the child's record in New York Early Intervention System (NYEIS), not the language of the survey returned.



# Indicator 4A: Know Their Rights

Program Year	Denominator	Numerator	Percent	Target	National Mean***
2013-2014	1,345	1,022	75.99%	75.0%	88%
2014-2015	405	281	69.38%	76.0%	90%
2015-2016	1,091	799	73.24%	77.0%	89%
2016-2017	1,456	1,142	78.43%	78.0%	90%
2017-2018	1,848	1,400	75.80%	79.0%	90%
2018-2019*	1,584	1,462	92.30%	93.0%	91%
2019-2020	2,992	2,837	94.82%	93.0%	91%
2020-2021	2,446	2,333	95.38%	93.1%	89%
2021-2022**	1,680	1,547	92.08%	93.2%	N/A

OSEP Family Outcome 4A: Percent of families participating in Part C who report that early intervention services have helped the family know their rights

\*Starting PY2018-2019, reporting methodology changed from Rasch model to the positive response from families.

\*\*Preliminary data.

N/A – Not Available. TBD – To Be Determined.

\*\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

# Indicator 4B: Effective Communication

Program Year	Denominator	Numerator	Percent	Target	National Mean***
2013-2014	1,345	968	71.97%	71.0%	89%
2014-2015	405	273	67.41%	72.0%	90%
2015-2016	1,091	742	68.01%	73.0%	90%
2016-2017	1,456	1,080	74.18%	74.0%	91%
2017-2018	1,848	1,323	71.59%	75.0%	91%
2018-2019*	1,559	1,414	90.70%	91.0%	91%
2019-2020	2,969	2,851	96.03%	91.0%	91%
2020-2021	2,448	2,351	96.04%	91.1%	90%
2021-2022**	1,663	1,569	94.35%	91.2%	N/A

OSEP Family Outcome 4B: Percent of families participating in Part C who report that early intervention services have helped the family effectively communicate their children's needs

\*Starting PY2018-2019, reporting methodology changed from Rasch model to the positive response from families.

\*\*Preliminary data.

N/A – Not Available. TBD – To Be Determined.

\*\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

# Indicator 4C: Help Develop and Learn

Program Year	Denominator	Numerator	Percent	Target	National Mean***
2013-2014	1,345	1,132	84.16%	83.0%	91%
2014-2015	405	324	80.00%	84.5%	92%
2015-2016	1,091	888	81.39%	85.0%	92%
2016-2017	1,456	1,256	86.26%	86.0%	92%
2017-2018	1,848	1,568	84.85%	87.0%	92%
2018-2019*	1,604	1,486	92.60%	93.0%	93%
2019-2020	2,937	2,790	94.99%	93.0%	93%
2020-2021	2,364	2,201	93.10%	93.05%	91%
2021-2022**	1,643	1,547	94.21%	93.10%	N/A

OSEP Family Outcome 4C: Percent of families participating in Part C who report that early intervention services have helped the family help their children develop and learn

\*Starting PY2018-2019, reporting methodology changed from Rasch model to the positive response from families.

\*\*Preliminary data.

N/A – Not Available. TBD – To Be Determined.

\*\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

# Indicator 5: Infants less than 1

**OSEP Definition:**  
Percent of infants and toddlers birth to 1 with IFSPs compared to Census data provided by OSEP

Program Year	Total Infants (Census from OSEP)	Total Infants with IFSP (NY snapshot on Oct. 1 <sup>st</sup> )	Percent	Target	National Mean*
2013-2014	239,298	2,615	1.09%	1.22%	1.3%
2014-2015	239,804	2,654	1.11%	1.22%	1.3%
2015-2016	238,315	2,808	1.18%	1.22%	1.4%
2016-2017	233,692	2,636	1.13%	1.22%	1.4%
2017-2018	234,658	2,723	1.16%	1.22%	1.4%
2018-2019	227,883	2,452	1.08%	1.22%	1.4%
2019-2020	223,930	2,271	1.01%	1.22%	1.6%
2020-2021	220,972	1,494	0.68%	1.11%	1.2%
2021-2022	211,231	1,829	0.87%	1.11%	1.3%

N/A – Not Available. TBD – To Be Determined.

\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2018.pdf>

# Indicator 6: Infants/Toddlers less than 3

**OSEP Definition:**  
Percent of infants and toddlers birth to 3 with IFSPs compared to Census data provided by OSEP

Program Year	Total Infants (Census from OSEP)	Total Infants with IFSP (NY snapshot on Oct. 1 <sup>st</sup> )	Percent	Target	National Mean*
2013-2014	716,997	28,325	3.95%	3.95%	3.0%
2014-2015	715,058	28,852	4.03%	4.00%	3.1%
2015-2016	711,133	30,025	4.22%	4.00%	3.1%
2016-2017	696,276	30,317	4.35%	4.00%	3.2%
2017-2018	703,115	31,097	4.42%	4.00%	3.3%
2018-2019	684,604	31,202	4.56%	4.00%	3.6%
2019-2020	673,026	31,152	4.63%	4.00%	3.7%
2020-2021	663,334	24,988	3.77%	4.25%	3.3%
2021-2022	649,646	29,550	4.55%	4.25%	3.7%

N/A – Not Available. TBD – To Be Determined.

\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2018.pdf>

# Indicator 7 – Timely initial IFSP meeting

## Updated Delay Reasons

### **Non-discountable delay reasons:**

- Evaluator sent report late
- Evaluator conducted late evaluation
- EIO/D referred child late to ISC
- EIO/D scheduling problem
- ISC high caseload
- Translation difficulty
- **Child eligible through mediation/impartial hearing**
- **ISC unable to facilitate transportation to eval and/or IFSP for family**

### **Discountable delay reasons due to exceptional family circumstances:**

- Family - problem scheduling evaluation
- Family - missed/canceled evaluation or IFSP mtg
- Family - unresponsive/moved
- Weather/emergency declared
- COVID-19 (effective 1/1/2020)\*
- EIO/D encountered foster care system problem
- Natural Disaster

\*As advised by OSEP, delays in service provision caused by the public health response to the COVID-19 pandemic can meet the definition of exceptional family circumstances, as defined under 34 C.F.R. § 303.310(b), when the child and family are effectively unavailable.

# Indicator 7: Timely Initial IFSP

**OSEP definition:**  
Percent of eligible infants and toddlers with IFSPs for whom an initial evaluation and initial assessment and an initial IFSP meeting were conducted within Part C's 45-day timeline

Program Year	Eligible Children Sampled (Denominator)	Children with Timely IFSP	Children with Discountable Delayed IFSP**	Children with Timely and Discountable Delayed IFSP (Numerator)	Percent	Target	National Mean***
2013-2014	6,916	4,254	2,149	6,403	92.6%	100%	97%
2014-2015	7,272	4,263	2,577	6,840	94.1%	100%	96%
2015-2016	7,784	4,958	2,521	7,479	96.1%	100%	96%
2016-2017	7,693	4,566	2,799	7,365	95.7%	100%	97%
2017-2018	7,490	4,606	2,614	7,220	96.4%	100%	96%
2018-2019	7,643	4,752	2,594	7,346	96.1%	100%	96%
2019-2020	6,336	3,664	2,371	6,035	95.2%	100%	96%
2020-2021	7,380	3,860	3,272	7,132	96.6%	100%	97%
2021-2022	8,489	3,946	4,060	8,006	94.3%	100%	N/A

\*Preliminary

\*\*In PY2021-2022, there were 781 children with their initial IFSP delayed by COVID-19.

N/A – Not Available

\*\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

# Indicator 8: Timely Transition Steps & Services

- Children turned three years old between 1/1/2022 and 3/31/2022
- Before 90 days prior to the toddler's third birthday at the discretion of all parties:
  - 8A. Transition steps and services are documented in the IFSP
  - 8B. Notification of local school district
  - 8C. Transition conference



# Updated Delay Reasons for Compliance Indicators

## Indicator 8A – Timely Transition Discussion

## Indicator 8C – Timely Transition Conference

### **Non-discountable delay reasons:**

- Delayed by local program administrators and/or providers

### **Discountable delay reasons due to exceptional family circumstances:**

- Delayed by family
- Weather emergency
- COVID-19 (effective 1/1/2020)\*

## Indicator 8B – Timely Transition Notification to Local Part B Programs

OSEP does not accept any discountable delay reasons due to exceptional family circumstances. Therefore, all delays are non-discountable and reported as delayed by local program administrators and/or providers in the APR for OSEP.

\*As advised by OSEP, delays in service provision caused by the public health response to the COVID-19 pandemic can meet the definition of exceptional family circumstances, as defined under 34 C.F.R. § 303.310(b), when the child and family are effectively unavailable.

# Indicator 8A: Transition Steps in IFSP

Program Year	Total Children Sampled (Denominator)	Children with Timely Transition Discussion	Children with Discountable Delayed Discussion**	Children with Timely and Discountable Delayed Discussion (Numerator)	Percent	Target	National Mean***
2013-2014	1,194	1,172	12	1,184	99.2%	100%	96%
2014-2015	1,192	1,170	18	1,188	99.7%	100%	97%
2015-2016	1,203	1,196	4	1,200	99.8%	100%	97%
2016-2017	1,211	1,198	6	1,204	99.4%	100%	97%
2017-2018	1,625	1,612	9	1,621	99.8%	100%	97%
2018-2019	2,000	1,985	14	1,999	100%	100%	97%
2019-2020	2,017	1,993	22	2,015	99.9%	100%	98%
2020-2021	1,866	1,838	23	1,861	99.7%	100%	97%
2021-2022*	5,400	5,328	56	5,384	99.7%	100%	N/A

\*Preliminary

\*\*In PY2021-2022, there were 9 children with their transition discussion delayed by COVID-19.

N/A – Not Available

\*\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

**OSEP Definition:**  
The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has developed an IFSP with transition steps and services at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday

# Indicator 8B: Notify Local Education Agency

Program Year	Sampled Children Potentially Eligible for Part B	Children Opted Out Notification	Children Needed Notification (Denominator)	Children with Timely Notification (Numerator)	Percent	Target	National Mean**
2013-2014	1,194	188	1,006	972	96.6%	100%	95%
2014-2015	996	149	847	839	99.1%	100%	94%
2015-2016	1,037	159	878	873	99.4%	100%	97%
2016-2017	1,045	134	911	904	99.2%	100%	97%
2017-2018	1,432	280	1,152	1,141	99.1%	100%	98%
2018-2019	1,761	329	1,432	1,426	99.6%	100%	98%
2019-2020	1,816	342	1,474	1,450	98.4%	100%	98%
2020-2021	1,628	313	1,315	1,266	96.3%	100%	97%
2021-2022*	4,766	1,438	3,328	3,051	91.7%	100%	N/A

**OSEP Definition:**  
The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has notified (consistent with any opt-out policy adopted by the State) the LEA where the toddler resides at least 90 days prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services

\*Preliminary

N/A – Not Available

\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

## Indicator 8C: Transition Conference

Program Year	Sampled Children Potentially Part B Eligible	Children with Conference Declined by Parents	Children Who Needed Transition Conference (Denominator)	Children with Timely Conference	Children with Discountable Delayed Conference**	Children with Timely and Discountable Delayed Conference (Numerator)	Percent	Target	National Mean***
2013-2014	1,006	666	340	267	34	301	88.5%	100%	95%
2014-2015	996	685	311	280	20	300	96.5%	100%	96%
2015-2016	1,037	719	318	270	41	311	97.8%	100%	95%
2016-2017	1,045	732	313	276	27	303	96.8%	100%	96%
2017-2018	1,432	1,064	368	310	44	354	96.2%	100%	96%
2018-2019	1,761	1,349	412	344	60	404	98.1%	100%	96%
2019-2020	1,816	1,385	431	372	48	420	97.4%	100%	96%
2020-2021	1,628	1,202	426	310	108	418	98.1%	100%	96%
2021-2022*	4,766	4,016	750	544	167	711	94.8%	100%	N/A

**OSEP Definition:** The percentage of toddlers with disabilities exiting Part C with timely transition planning for whom the Lead Agency has conducted the transition conference held with the approval of the family at least 90 days, and at the discretion of all parties, not more than nine months, prior to the toddler's third birthday for toddlers potentially eligible for Part B preschool services.

\*Preliminary. N/A – Not Available.

\*\*In PY2021-2022, there were 5 children with the transition conference delayed by COVID-19.

\*\*\*<https://sites.ed.gov/idea/files/PartC-IndicatorAnalysis-FFY2019.pdf>

# Indicator 10: Mediations

- **OSEP Definition:**  
Percent of mediations held that resulted in mediation agreements
- Data from Provider Approval and Due Process unit
- Mediations held between July 1, 2021, and June 30, 2022

Program Year	Mediations Held	Mediation Agreements	Percent	Target
2013-2014	68	65	95.6%	90%
2014-2015	66	62	93.9%	90%
2015-2016	41	36	87.8%	90%
2016-2017	56	43	76.8%	90%
2017-2018	47	40	85.1%	90%
2018-2019	51	42	82.4%	90%
2019-2020	34	27	79.4%	90%
2020-2021	36	30	83.3%	80-85%
2021-2022	16	11	68.8%	80-85%

# Indicator 11 – State Systemic Improvement Plan (SSIP)

## Indicator 11 – State Systemic Improvement Plan (SSIP)

- Improving family outcomes by ensuring the Program is family-centered
- Three phases: 2014 to 2020
- Continuation of Phase Three: 2020 – 2025
- SiMR – to increase the percentage of positive responses from families on the Impact of Early Intervention Services on Your Family Scale (IFS)

# Indicator 11: State Systemic Improvement Plan (SSIP) Reported Data and Targets

	2016-2017	2017-2018	2018-2019	2019-2020*	2020-2021	2021-2022**
	70.12%	66.99%	63.67%	93.91%*	92.86%*	92.38%*
<b>Numerator/ Denominator</b>	(1021/1456)	(1238/1848)	(1034/1624)	(59596/63460)	(47949/51634)	(32698/35395)
<b>Target</b>	65.09%	65.50%	66.50%	87.00%	87.10%	87.20%

\*Starting PY2019-2020, reporting methodology changed from Rasch model to the positive response from families.

\*\*Preliminary data.



# Questions?

